

**Technical Groups** 

# Metamaterial-Inspired Directive Radiators and Scatterers

Featuring Richard W. Ziolkowski, University of Technology Sydney

04 May 2022





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Photonic Metamaterials Technical Group

# Metamaterial-Inspired Directive Radiators and Scatterers

Richard W. Ziolkowski, University of Technology Sydney 4 May 2022



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## **About the Photonic Metamaterials Technical Group**

Our technical group provides a forum for those working on problems related to fundamental and applied aspects of waves in random and periodically nanostructured materials as well as plasmonics.

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- 12 previous webinars available to view on-demand
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### 

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    - Prof. S. Torquato (Princeton)
    - Prof. C Kagan (Upenn)

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Stay tuned!

### **Today's Speaker**



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Photonic Metamaterials Technical Group

# **Richard W. Ziolkowski** University of Technology Sydney

Richard W. Ziolkowski received the Ph.D. degree in physics from the University of Illinois at Urbana-Champaign, Urbana, IL, USA in 1980. He received an Honorary Doctorate degree from the Technical University of Denmark (DTU) in 2012. He was with the Engineering Research Division of LLNL from 1981 until he joined the University of Arizona in 1990. He is currently a Distinguished Professor in the Global Big Data Technologies Centre in the Faculty of Engineering and Information Technologies (FEIT) at the University of Technology Sydney, Ultimo NSW Australia and is a Professor Emeritus at the University of Arizona, ECE Dept and the COS. Prof. Ziolkowski was the recipient of the 2019 IEEE Electromagnetics Award (IEEE Technical Field Award). He is an IEEE Life Fellow as well as a Fellow of OPTICA and APS. He was the 2014-2015 Australian DSTO Fulbright Distinguished Chair in Advanced Science and Technology. He served as the President of the IEEE Antennas and Propagation Society (AP-S) in 2005.





### Metamaterial-inspired Directive Radiators and Scatterers

### **Richard W. Ziolkowski**



University of Technology Sydney Global Big Data Technologies Centre











# Many special thank you's to

### **Dr. Dimitrios C. Tzarouchis**

# for his very kind invitation to me to share with you some of our metamaterial-inspired efforts !!





"Gain" = "Efficiency" × Directivity

Directivity = 
$$4\pi \frac{r^2 \hat{r} \cdot \left[\frac{1}{2} Re\{\vec{E} \times \vec{H}^*\}\right]}{\oint_S \vec{S} \cdot \hat{r} r^2 \sin\theta \, d\theta \, d\varphi}$$



Brief review of how we design our electrically small R&Ss Near-Field Resonant Parasitic (NFRP) Paradigm

Review the concepts of passive and active electrically small photonic R&Ss Core-shell antennas and nano-antennas

Review the concepts of electrically small directive R&Ss Huygens dipole R&Ss

Review the Magic of Multipoles Superdirective R&Ss

> Review some of the more exotic passive and active core-shell based R&Ss

I will emphasize microwave versions and their analogous photonic ones

**DARPA Metamaterials Program – Boeing R&D lead:** Lumped element-based DNG metamaterial designs and experiments were achieved with very small unit cells





Complete NIM slab (~900 Unit Cells)

Juxtaposition of positive and negative materials leads to the possibility of electrically small systems





Analytical Solutions Demonstrate the Existence of Electrically Small Radiating and Reciprocal Scattering Systems





R. W. Ziolkowski and A. D. Kipple, IEEE Trans. AP, vol. 51, no. 10, pp. 2626-2640, October 2003 R. W. Ziolkowski and A. D. Kipple, Phys. Rev. E., vol. 72, 036602, September 2005





Excited by 500nm visible light 10nm, 30nm radius CNPs

entire NTSC and RGB Gamuts

J. A. Gordon and R. W. Ziolkowski

Colors generated by tunable plasmon resonances and their potential applications to ambiently illuminated color displays



Solid State Comm., vol. 146, pp. 228-238, April 2008



### **Optical Metamaterials and Applications Based on Active Resonant Core-shell Nano-Particles (CNPs)**



Joshua A. Gordon & Richard W. Ziolkowski

The design and simulated performance of a coated nano-particle laser Opt. Express., vol. 15, 2622-2653, Mar. 2007

**CNP optical metamaterials** Opt. Express, vol. 16, 6692-6716, Feb. 2008



10nm, 30nm radius CNPs



### **Efficient Electrically Small Antennas:** Metamaterial-based





We found that the location of the dipole is not a critical issue → The MTM shell is an electrically small resonator excited by the driven dipole





S. Arslanagić, R. W. Ziolkowski, and O. Breinbjerg, "Radiation properties of an electric Hertzian dipole located near-by concentric metamaterial spheres" *Radio Science*, 42, RS6S16, doi:10.1029/2007RS003663, November 2007

### Metamaterial-inspired Near-Field Resonant Parasitic (NFRP) Approach





The metamaterial-based antenna paradigm works at microwave frequencies AND for nano-antenna applications



### Center-fed dipole -ENG shell Antenna

### Metamaterial-engineered Nano-antenna approach



A. Erentok & R. W. Ziolkowski IEEE Trans. Antennas Propag. 2006 S. Arslanagić & R. W. Ziolkowski J. Opt. 2010



### Metamaterial-engineered nano-antenna approach: Passive & Active NFRP elements





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### Single (Multi)-band radiating & non-radiating ENG-based ESA designs using one (many) metamaterial shell(s)





**A. Erentok** and R. W. Ziolkowski, A hybrid optimization method to analyze metamaterial-based electrically small antennas, *IEEE Trans. Antennas Propag.*, vol. 55, no. 3, pp. 731-741, March 2007

When the EHD is inside or outside of the active CNP, both super-resonant (enhancement) and non-radiating states (jamming) exist









**EHD** = electric Hertzian dipole

Significant reduction of total radiated power

S. Arslanagić and R. W. Ziolkowski, Jamming of quantum emitters by active coated nano-particles *IEEE J. Sel. Topics Quantum Electron.*, vol. 19, no. 3, 4800506, May/June 2013

### Active Open and Closed Cylindrical Coated Nano-Particles driven by a dipole emitter (molecule)



J. Geng, R. W. Ziolkowski, R. Jin and X. Liang, "Numerical study of active open cylindrical coated nano-particle antennas," IEEE Photon., vol. 3, issue 6, 1093-1110, Dec. 2011

Height = 31.5 nm Core radius = 21.3 nm Silver thickness = 6.0 nm Silica slab: 90 nm × 90 nm × 6 nm





At resonance 500 nm = 600 THz: 65 dBi enhancement of Total Radiated Power

#### A plasma realization of the ENG shell-based ESA: Operate antenna at frequency below the plasma frequency





Fig. 4. Geometry of the coax-fed monopole-(glass-ENG-glass) shell system.

A. Erentok and R. W. Ziolkowski, A hybrid optimization method to analyze metamaterial-based electrically small antennas, *IET Microwaves, Antennas Propag.*, vol. 1, pp. 116-128, February 2007



(a) ESA w/o plasma discharge



(b) ESA with plasma discharge

V. Laquerbe, R. Pascaud, T. Callegari, L. Liard, and O. Pascal, Universite de Toulouse, Towards antenna miniaturization using plasma, 13th European Conference on Antennas and Propagation (EuCAP 2019)



#### SIZE MATTERS: Gain vs Effective Area of Antenna



**Alternatives:** Arrays, Zero-Index Superstrates, AMCs, EBGs, Huygens Sources







**Reflector antennas are high gain antennas** Large area = Very high directivity

 $> 50 \text{ dBi} (10^5)$ 



# We achieved higher directivity with several metamaterial techniques – these were not electrically small



**Zero-Index Superstrates** Zero-n effects give best directivity for given area Patch Antenna (a) Structure 17.2dB Gain (dB) Frequency (GHz)

60 GHz Experimental verification



Bare Patch ~ 3.2dB

S. J. Franson and R. W. Ziolkowski, "Gigabit per second data transfer in high gain metamaterials structures at 60GHz," *IEEE Trans. Antennas Propag.*, vol. 57, pp. 2913-2925, Oct. 2009

#### We have achieved higher directivity with several other Metamaterial-based techniques:



Artificial Magnetic Conductors (AMCs)

**MNG unit cells = CLLs** *NO* ground plane



A. Erentok, P. Luljak, and R. W. Ziolkowski, "Antenna performance near a volumetric metamaterial realization of an artificial magnetic conductor," *IEEE Trans. Antennas and Propagat.*, vol. 53, pp. 160-172, Jan 2005

#### Electromagnetic Bandgap (EBG) Structures

EAD + Mushroom Structured Ground plane HIS = High Impedance Surface



**EBG substrate:** TransTech MCT-40  $\varepsilon_r = 40$ , tan  $\phi \le 0.0015$ 

**Results:** 

ka ~ 1 @ 1575.42 MHz Peak Gain = 4.36 dB FTBR = 4.88 dB OE = 87.82%

P. Jin and R. W. Ziolkowski, "High directivity, electrically small, low-profile, near-field resonant parasitic antennas," *IEEE Antennas Wireless Propag. Lett.*, vol. 11, pp. 305-309, 2012

Can one achieve an *electrically small source* with more directivity than a dipole, i.e., > 1.5 ( 1.76 dB ) ??





## **Electrically Small Dipole** G = 1.5 (1.76 dB)

**More Directivity** 



High directivity from small R&Ss is possible – in theory AND in practice ©



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Anniversary

Superposition of a large number, N, of higher order multipoles leads to super-directivity

Oseen's "Einstein needle radiation"

C. W. Oseen, Ann. Phys. (Leipzig), vol. 69, 202 (1922)

R. F. Harrington, "On the gain and beamwidth of directional antennas," *IRE Trans. Antennas Propag.*, vol. 6, no. 3, pp. 219–225, Jul. 1958

 $D_{\rm max} = N^2 + 2N$  Antenna, Scatterer

Presence of both electric and magnetic multipoles (order N)

Huygens Dipole R&Ss: N = 1  $D_{max} = 3$  (4.77 dB)





# The electric fields radiated into the far field of a combination of balanced, in-phase pair of electric and magnetic sources



an elemental electric current source 
$$\vec{J}$$
 along the y-axis  
and  
an elemental magnetic current source  $\vec{K}$  along the -x-axis

$$\vec{E}^{ff}_{\omega,J}(\vec{r}) = j\,\omega\,\mu I_0 \ell \frac{e^{-jkr}}{4\,\pi\,r} \,\left(\,\hat{r} \times \hat{r} \times \hat{y}\,\right)$$

$$\vec{E}^{ff}_{\omega,K}(\vec{r}) = -j\,\omega\,\mu\,I_0\ell\,\frac{e^{-jkr}}{4\,\pi\,r}\,\left(\,\hat{r}\times\hat{x}\,\right)$$

$$\vec{E}_{\omega,\text{total}}^{ff}(\vec{r}) = j \,\omega \,\mu \,I_0 \ell \,\frac{e^{-jkr}}{4 \,\pi \,r} \,\vec{\mathcal{P}}(\theta,\phi)$$

$$\vec{H}^{ff}_{\omega,\text{total}}(\vec{r}) = \frac{1}{\eta} \ \hat{r} \times \vec{E}^{ff}_{\omega,\text{total}}(\vec{r})$$

$$\vec{\mathcal{P}}(\theta,\phi) = \left[ \left( \hat{r} \times \hat{r} \times \hat{y} - \hat{r} \times \hat{x} \right) \right] = \sin^2 \theta \sin \phi \cos \phi \, \hat{x} \\ -(\cos^2 \theta + \sin^2 \theta \cos^2 \phi + \cos \theta) \, \hat{y} \\ +[\sin \theta \sin \phi + \sin \theta \cos \theta \sin \phi] \, \hat{z}$$






Both endfire and broadside Huygens near-field resonant parasitic (NFRP) antennas have been designed and confirmed experimentally





P. Jin and R. W. Ziolkowski "Metamaterial-inspired, electrically small, Huygens sources," *IEEE Antennas Wirel. Propag. Lett.*, vol. 9, pp. 501-505 May 2010 R. W. Ziolkowski "Low profile, broadside radiating, electrically small Huygens source antennas," *IEEE Access*, vol. 3, pp. 2644-2651 Dec. 2015



#### Huygens metasurface analogue





#### **Original experimentally verified**, *broadside radiating* electrically small, NFRP LP HDA





electrically small, low-profile, Huygens source antennas with broadside radiation performance," *IEEE Trans. Antennas Propag.*, vol. 64, no. 11, pp. 4607-4617, Nov. 2016





W. Lin, R. W. Ziolkowski, and J. Huang, Electrically small, low-profile, highly efficient, Huygens dipole rectennas for wirelessly powering Internet-of-Things devices, IEEE Trans. Antennas Propag., vol. 67, no. 6, pp. 3670-3679, Jun. 2019



### **Multi-functional and Reconfigurable**

### HDAs have been achieved with

### combinations of multiple NFRP elements



#### **Electrically-Small Huygens CP antenna** – 1.575 GHz version Two pairs of EAD and CLL NFRP elements with 90° phase differences







W. Lin and R. W. Ziolkowski, "Electrically-small, low-profile, Huygens circularly polarized antenna," IEEE Trans. Antennas Propag., vol. 66, no. 2, pp. 636-643, Feb. 2018 We have successfully demonstrated a variety of *Reconfigurable* Huygens Dipole Antennas ( HDAs )



#### © Pattern reconfigurable HDA covering entire azimuthal plane

M.-C. Tang, B. Zhou, and R. W. Ziolkowski

Low-profile, electrically small, Huygens source antenna with pattern-reconfigurability that covers the entire azimuthal plane *IEEE Trans. Antennas Propag.*, vol. 65, no. 3, pp. 1063-107, Mar. 2017

#### Control Polarization reconfigurable HDA 2 LP, LHCP, RHCP states

M.-C. Tang, Z. Wu, T. Shi, and R. W. Ziolkowski Electrically small, low-profile, planar, Huygens dipole antenna with quad-polarization diversity IEEE Trans. Antennas Propag., vol. 66, no. 12, pp. 6772-6780, Dec. 2018.

Outrathin (λ<sub>0</sub> / 385) reconfigurable Left-Right unidirectional and Combined bi-directional in azimuthal plane

Z. Wu, M.-C. Tang, M. Li, and R. W. Ziolkowski Ultra-low-profile, electrically small, pattern-reconfigurable metamaterial-inspired Huygens dipole antenna *IEEE Trans. Antennas Propag.*, 04 Jul. 2019









Combining Electric and Magnetic Dipoles Oriented in Parallel Produces an Omni-directional CP Antenna





W. Lin, R. W. Ziolkowski, and T. C. Baum, "28GHz compact omni-directional circularly polarized antenna for device-to-device (D2D) communications in future 5G systems," *IEEE Trans. Antennas Propag.*, vol. 65, no. 12, pp. 6904-6914, Dec. 2017

#### **OCP** prototype measured over 5G 28 GHz Band **Confirmation of simulated performance characteristics**



-5

300



At IR frequencies, polaritonic materials exhibit large permittivity values Simultaneously excite electric and magnetic dipoles

→ Huygens source properties





S. D. Campbell and R. W. Ziolkowski, Simultaneous excitation of electric and magnetic dipole modes in a resonant core-shell particle at infrared frequencies to achieve minimal backscattering, *IEEE J. Sel. Topics Quantum Electron.*, vol. 19, no. 3, 4700209, May/June 2013 Can we combine the Huygens source concepts, basic antenna theory, and our active CNP experiences to achieve a Huygens source nanolaser ??





Can the *BALANCED* electric and magnetic dipoles responses be enhanced by the same gain constant at the same optical frequency?



#### With high eps material to obtain both electric and magnetic dipole modes, we designed a Huygens source nanoparticle laser





I. Liberal, I. Ederra, R. Gonzalo, and R. W. Ziolkowski, Induction theorem analysis of resonant nanoparticles: Design of a Huygens source nanoparticle laser, *Phys. Rev. Applied*, vol. 1, 044002, May 2014.



**Employ a** Mixture of Multipoles to achieve **Unidirectional**, even Higher Directivity **R&Ss** 



#### Determined the currents on a small sphere that would produce needle radiation



**Far-field:** 

$$E_{\theta}^{J,ff}(r,\theta,\phi,\omega) = i\,\omega\,\mu\,\frac{e^{ikr}}{4\,\pi\,r}\,J_0\,a^2\,\mathcal{FJ}_{\theta}(\theta,\phi,\omega)$$

$$\mathcal{FJ}_{\theta}(\theta,\phi,\omega) = 4\pi \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} (-i)^{\ell} c_{\ell m} j_{\ell}(ka) Y_{\ell m}(\theta,\phi)$$

**Define:** 
$$c_{\ell m} = \frac{1}{4\pi} \sqrt{\frac{2\ell+1}{4\pi}} \frac{i^{\ell}}{j_{\ell}(ka)} \delta_{m 0}$$

Pattern: 
$$\mathcal{FJ}_{\theta}(\theta,\phi,\omega) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \sqrt{\frac{2\ell+1}{4\pi}} Y_{\ell m}(\theta,\phi) \,\delta_{m 0}$$
  
$$= \sum_{\ell=0}^{\infty} \left[ \frac{2\ell+1}{4\pi} \right] P_{\ell}(\cos\theta) \,\delta(\phi) \equiv \delta(\hat{r}-\hat{z})$$



R. W. Ziolkowski, "Huygens multipole arrays to realize unidirectional needle-like radiation," *Phys. Rev. X*, vol. 7, 031017, Jul. 2017

#### **Higher-order Mode Patterns:** N = 1, 2, 3, 4, 5







 $N \rightarrow \infty \quad P_N(\theta) \rightarrow \delta(\theta)$ 



A multilayered cylinder can convert an isotropic source field into a superposition of higher order multipoles and produce needle-like radiation







Phys. Rev. Lett., vol. 120, 237401, Jun. 2018

#### Simulated results 5 layer ( ENG, DPS values )

Size:  $\lambda / 10$  total radius at 500 nm





S. Arslanagić and R. W. Ziolkowski, "Highly subwavelength, superdirective cylindrical nanoantenna," *Phys. Rev. Lett.*, vol. 120, 237401, Jun. 2018



#### R. W. Ziolkowski, Mixtures of multipoles – Should they be in your EM toolbox?,

IEEE Open J. Antennas Propag., vol. 3, pp. 154–188, 2022





#### How does one construct multipoles with dipole elements?













## **Planar NFRP Dipole-Quadrupole Antenna**







R. Rodriguez-Cano and R. W. Ziolkowski, "Single-layered, unidirectional, broadside-radiating planar quadrupole antenna for 5G IoT applications," *IEEE Trans. Antennas Propag.*, vol. 69, no. 9, pp. 5524-5533, Sep. 2021.

Because the quadrupoles have a E-field symmetry like a magnetic dipole, can introduce a ground plane to remove the backlobe, yielding higher FTBR











R. W. Ziolkowski and A. Erentok, IET Microwaves, Antennas Propag., vol. 1, pp. 116-128, February 2007

Frequency (MHz)



**Augment either the Driven dipole** or the **NFRP** elements with complex circuits to achieve active radiating systems



# Methods to Overcome Fundamental Bandwidth Limits



Active non-Foster element (negative impedance converter - NIC) First introduced by Linvill in 1953! The resistance, capacitance, and/or inductance can be made to decrease with increasing frequency.

> Non-Foster (NF) matching networks:





**Our method: internal NF matching element** 

P. Jin and R. W. Ziolkowski, *IEEE Trans. Antennas Propag.*, Feb. 2010

N. Zhu and R. W. Ziolkowski, IEEE Antennas Wireless Propag. Lett., Dec. 2011

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#### Enhanced Bandwidth Electrically Small EAD Antenna NFRP element augmented with L-NIC





N. Zhu and R. W. Ziolkowski, *IEEE Antennas Wireless Propag. Lett.*, vol. 11, pp. 1116-1120, 2012 *IEICE Transactions on Communications*, vol. E96-B, no.10, pp. 2399-2409, Oct. 2013



Seamless integration of a passive ESA with an active element enhances its gain without adding any additional space <sup>(2)</sup> !!





Enhances the Gain-Bandwidth Product of the passive antenna by factor of 15.2 Result SURPASSES the Passive Upper Bound !!



Bound States in the Continuum (BIC) related R&Ss

Aperture Coupling (Dual-Series) problems from 1980's 🙂





*Radio Sci.*, vol. 19(12), pp. 1425-1431, 1984





P. M. Kamiński, R. W. Ziolkowski, and S. Arslanagić Riemann-Hilbert technique scattering analysis of metamaterial-based asymmetric 2D open resonators *EPJ Applied Metamaterials*, vol. 4, 10, 2017

S. Arslanagić and R. W. Ziolkowski Cylindrical and spherical active coated nano-particles as nano-antennas *IEEE Antennas Propag. Mag.*, vol. 59, no. 6, pp. 14-29, Dec. 2017

S. Arslanagić and R. W. Ziolkowski Passive and active nano cylinders for enhanced and directive radiation and scattering phenomena

in Nanoantennas and Plasmonics: Modelling, Design and Fabrication IET - Institution of Engineering and Technology, Stevenage UK, 2020 Chap. 2, pp. 53-102 A variety of effects of the offset and open CNP geometries have been investigated





the singularities of the fields at the aperture edges

Line source location can steer the radiated beam S. D. Campbell and R. W. Ziolkowski Near-field directive beams from passive and active asymmetric optical nano-antennas *IEEE J. Sel. Topics Quantum Electron.*, vol. 21, no. 4, 4800112, Jul./Aug. 2014







Briefly reviewed how we design our electrically small R&Ss Near-Field Resonant Parasitic (NFRP) Paradigm

Reviewed concepts of passive and active electrically small photonic R&Ss Core-shell antennas and nano-antennas

Reviewed the concepts of electrically small directive R&Ss Huygens dipole R&Ss

Reviewed the Magic of Multipoles Superdirective R&Ss

> Reviewed some of the more exotic passive and active core-shell based R&Ss

I emphasized the special electromagnetic scaling property: the physics and engineering of microwave and photonic systems are analogous



# **THANK YOU FOR WATCHING**





**Questions:** Richard.Ziolkowski@uts.edu.au



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# **Question & Answer**



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