

Romain Fleury  
Assistant Professor  
Laboratory of Wave Engineering  
EPFL

May 18, 2023

**12'576**

Students

**366**

Professors

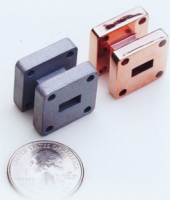
**+6'400**

Employees (incl. Phd)

**+130**

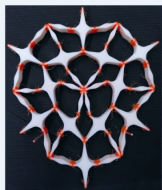
Nationalities

## Subwavelength wave control

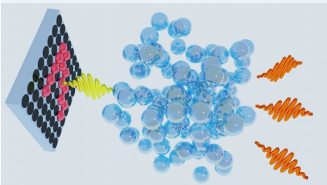


Miniaturized RF devices  
*Physical Review Applied* (2021)  
*MinWave start-up* (2021)

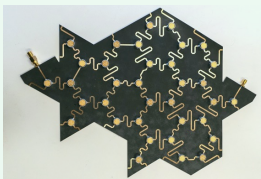
Nonlocal (elastic)  
 metamaterials  
*Phys. Rev. Lett.* (2023)  
 arXiv 2209.02618



Sub- $\lambda$  acoustic  
 imaging  
*Physical Review X* (2019)

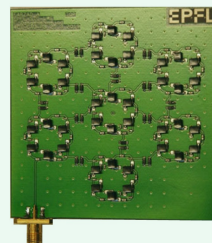


## Topologically robust wave devices

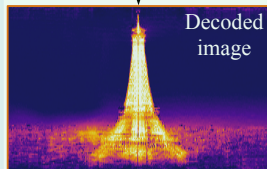


One-way RF transport  
*Nature* (2022)  
*Science Advances* (2023)

Protected resonances  
*Phys. Rev. Lett.* (2019)  
*Phys. Rev. Lett.* (2019)

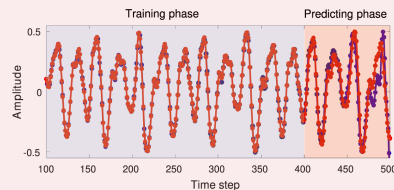


disorder

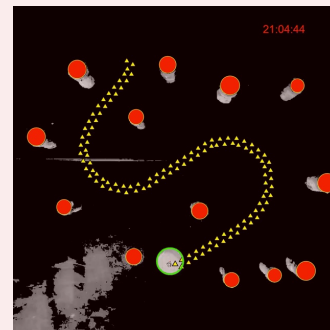


Robust signal  
 processing  
*Nature Communications* (2019)  
*Advanced Materials* (2023)

## Active wave systems



Wave-based Neuromorphic computing,  
*Nature Communications* (2022)  
 arXiv 2304.11042 (2023)



Active sound control  
*Nature Physics* (2018)  
*Phys. Rev. Applied* (2019)



Ph.D. students: Zhe Zhang, Qialu Chen, Aleksi Bossart, Rongrong Xiang, Mathieu Padlewski, Ali Momeni, Tinggui Chen.  
 Postdocs: Matthieu Malléjac, Janez Rus, Maliheh Khatibi, Benjamin Apffel



Zhe Zhang, Ph.D.  
2019-now



Pierre Delplace, CNRS  
ENS de Lyon (France)



# Introduction to topology

Topological scattering

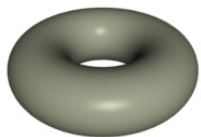
From graphs to topological networks

Conclusion and perspectives

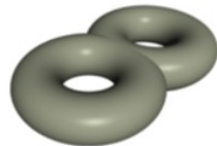
# What is topology?



$g=0$



$g=1$



$g=2$

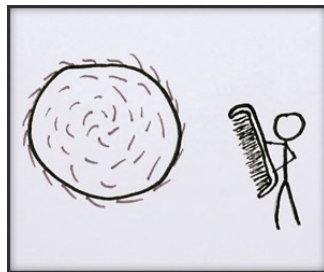
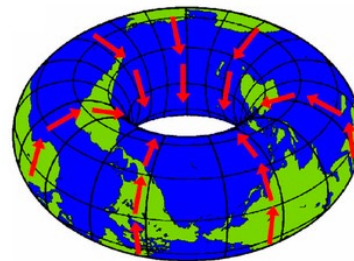
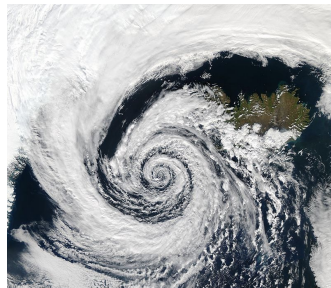
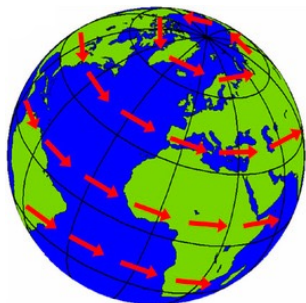


**Topology** = mathematics of continuous transformations

study of topological equivalences

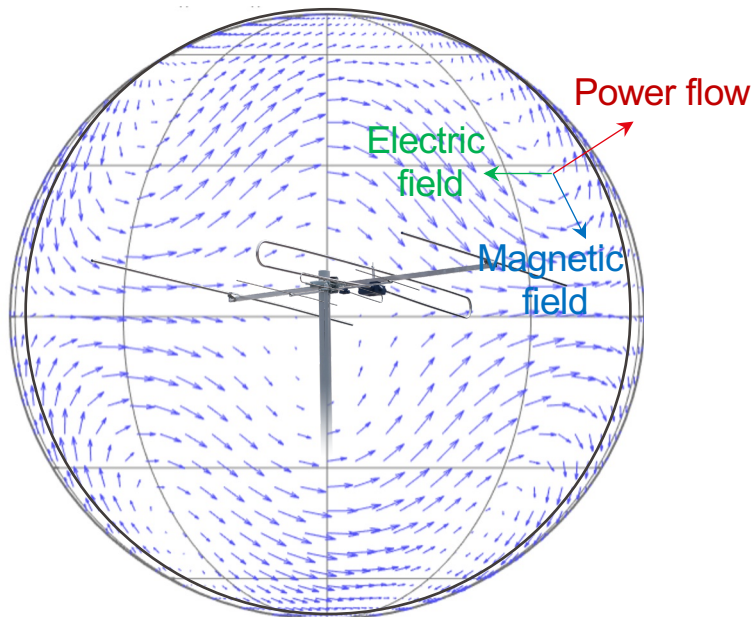
described by topological invariants

# Topology guarantees some properties

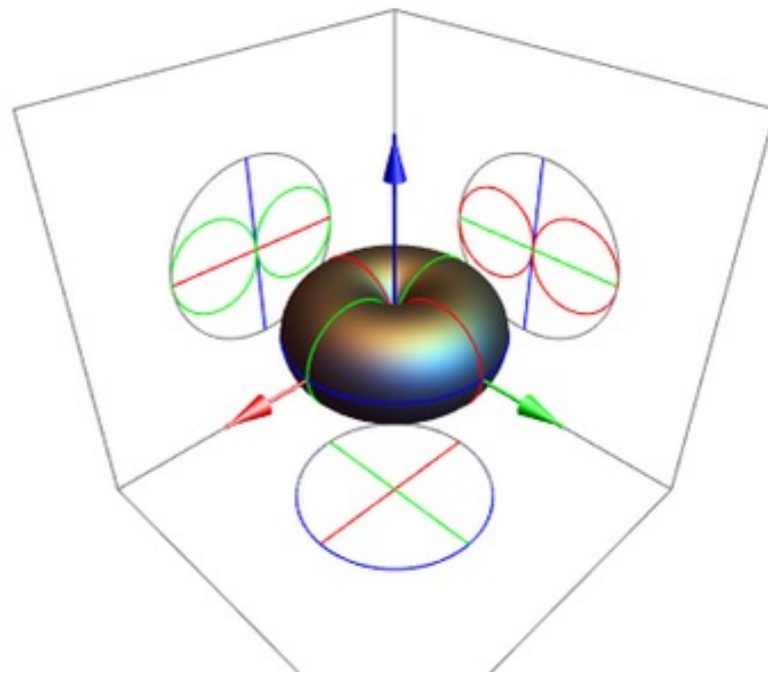


$$2(1 - g) = \sum v$$

# Example in electromagnetism



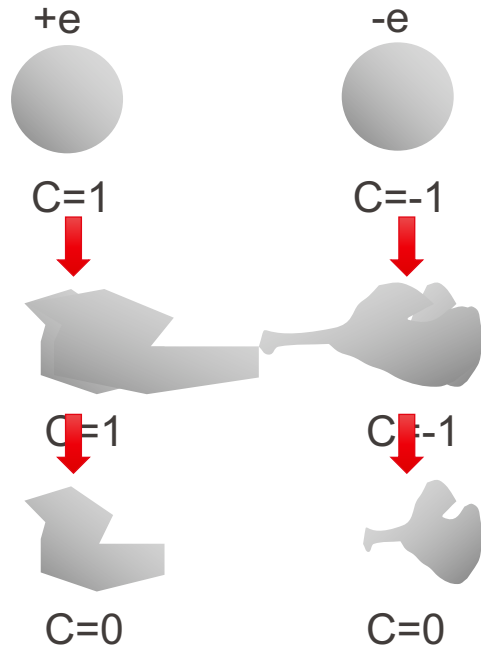
Magnetic far field  
Tangential vector bundle

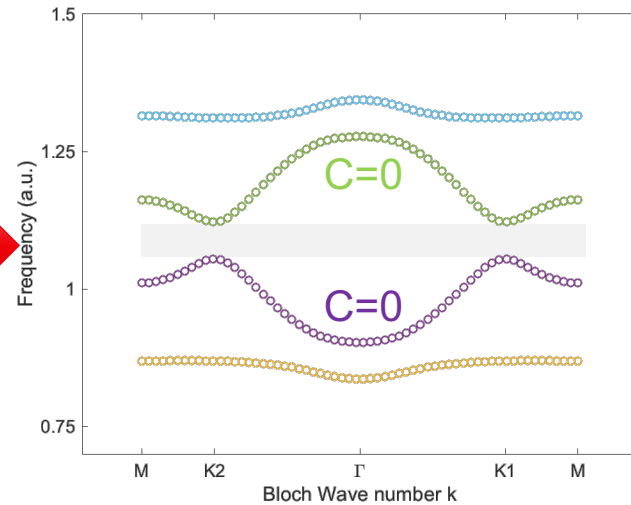
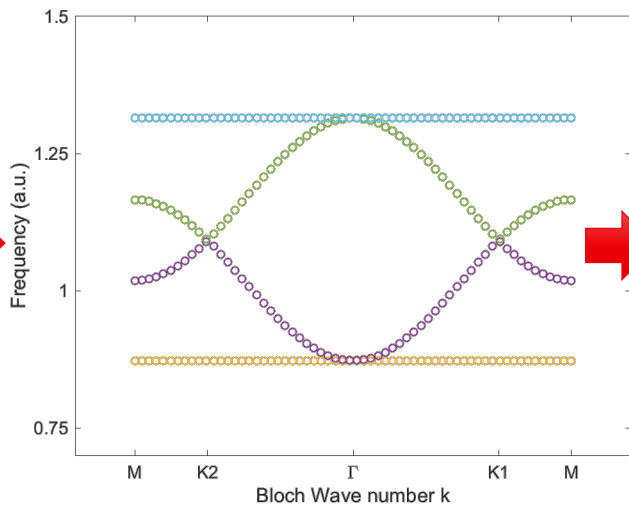
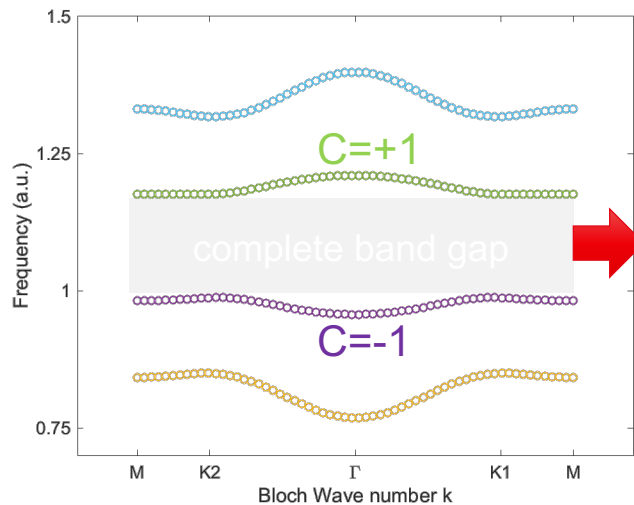
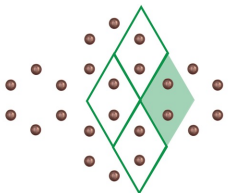


Field vortices = dead zones  
cannot be avoided !  
=no monopolar radiation

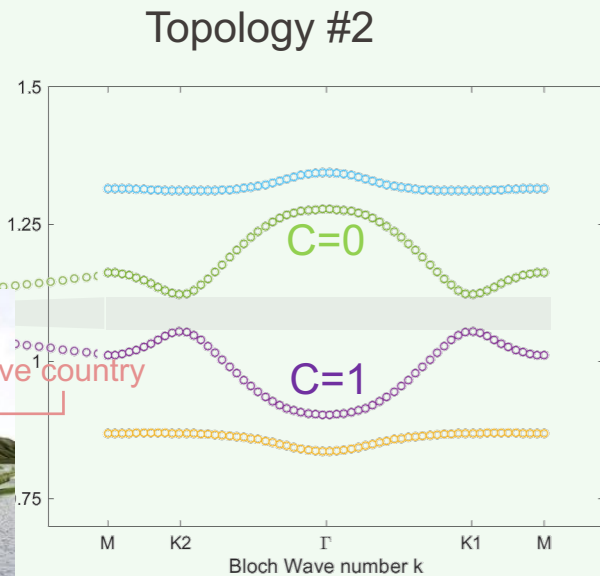
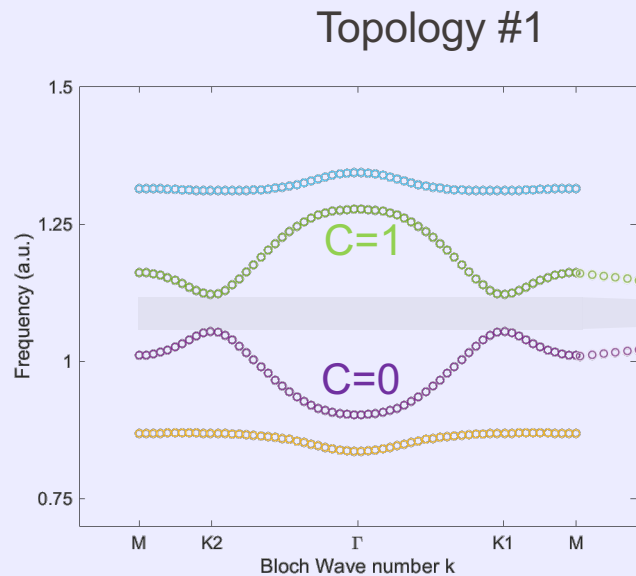


# Example in electrostatics



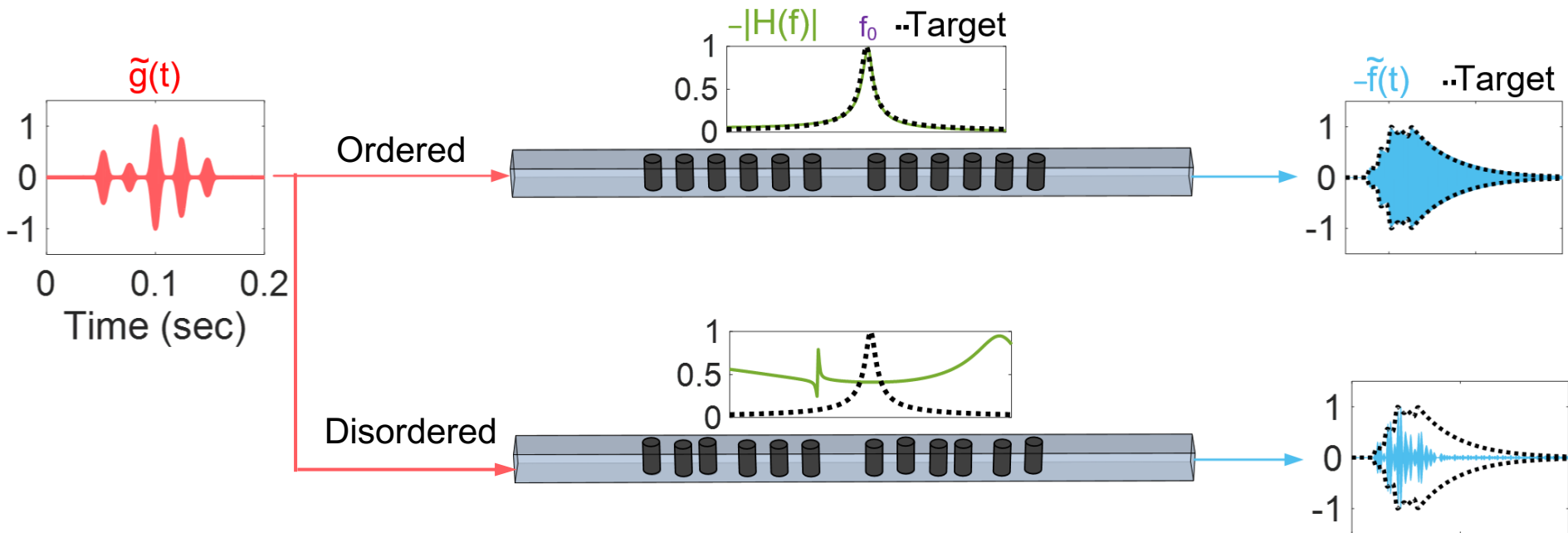


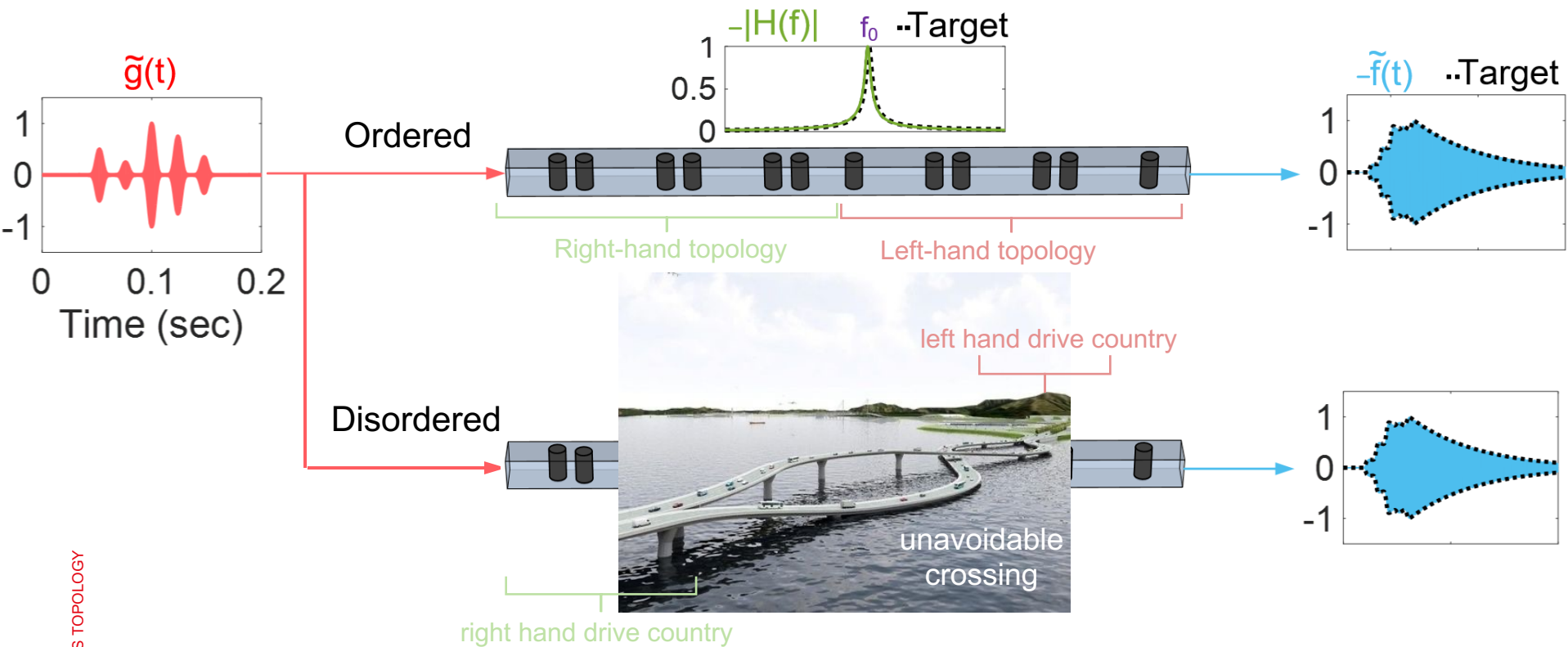
# Topological edge modes

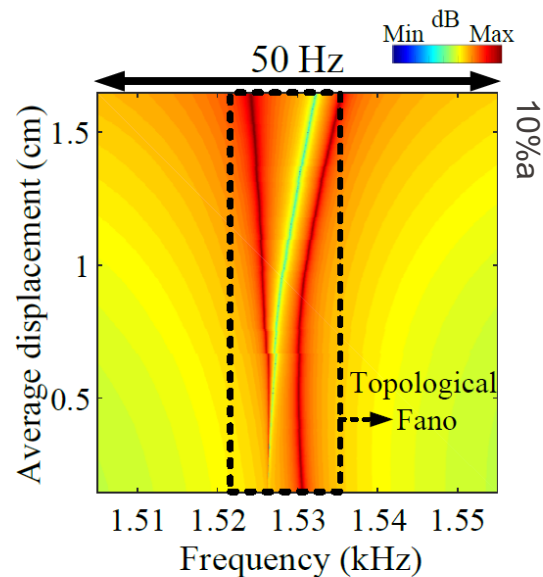
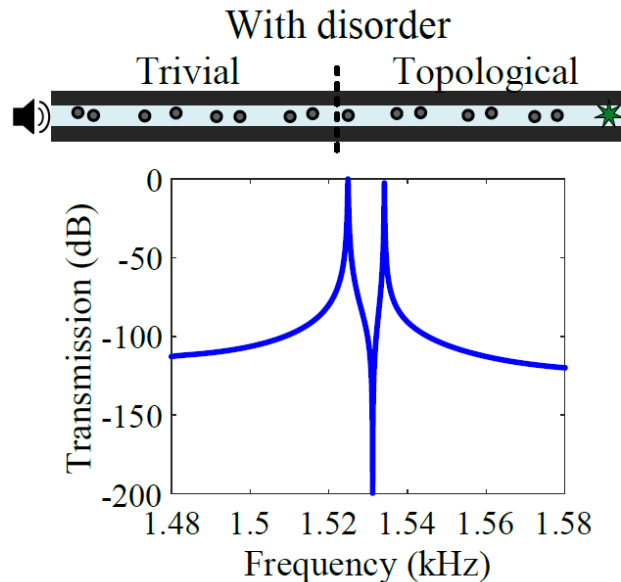
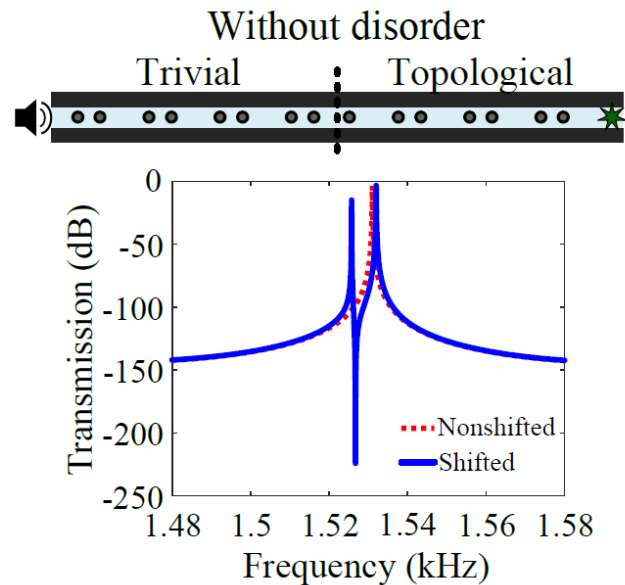


left hand drive country

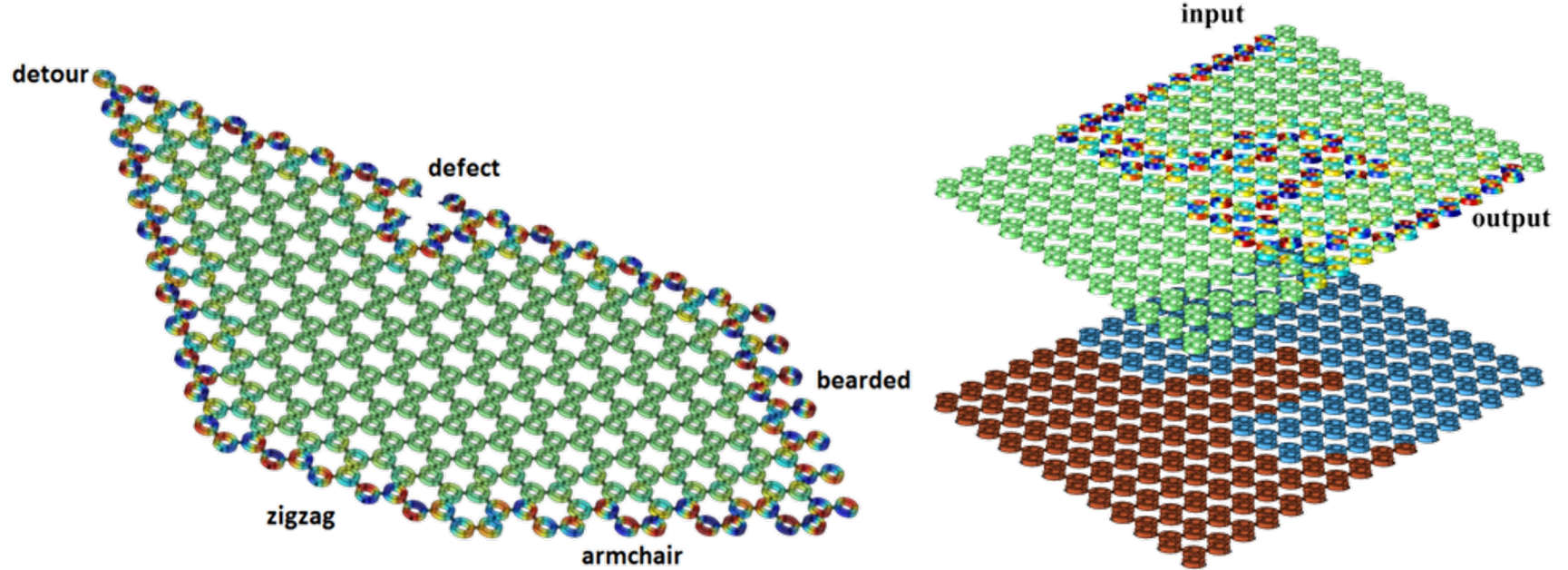
right hand drive country



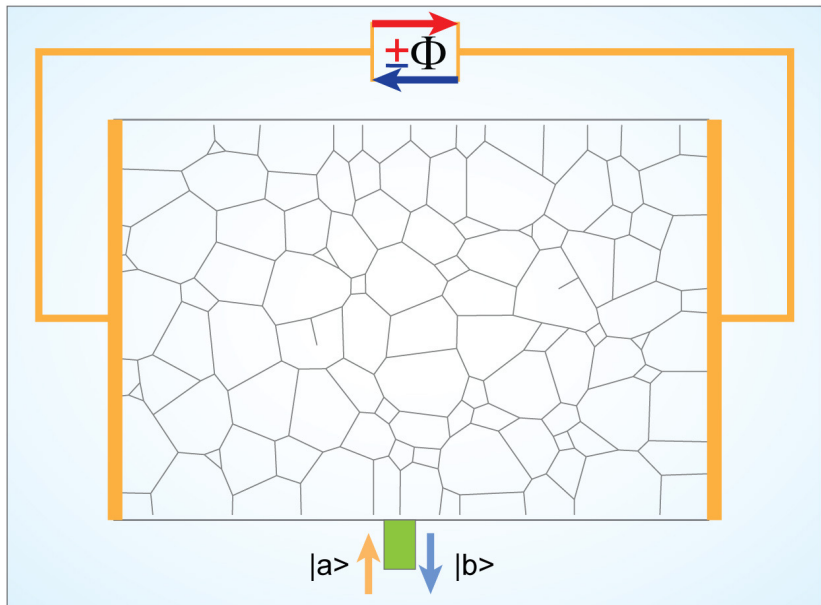




Zangeneh, Fleury, Phys. Rev. Lett. 122, 014301 (2019)



Nat. Comm. 6, 8260 (2015)



Introduction to topology

# Topological scattering

From graphs to topological networks

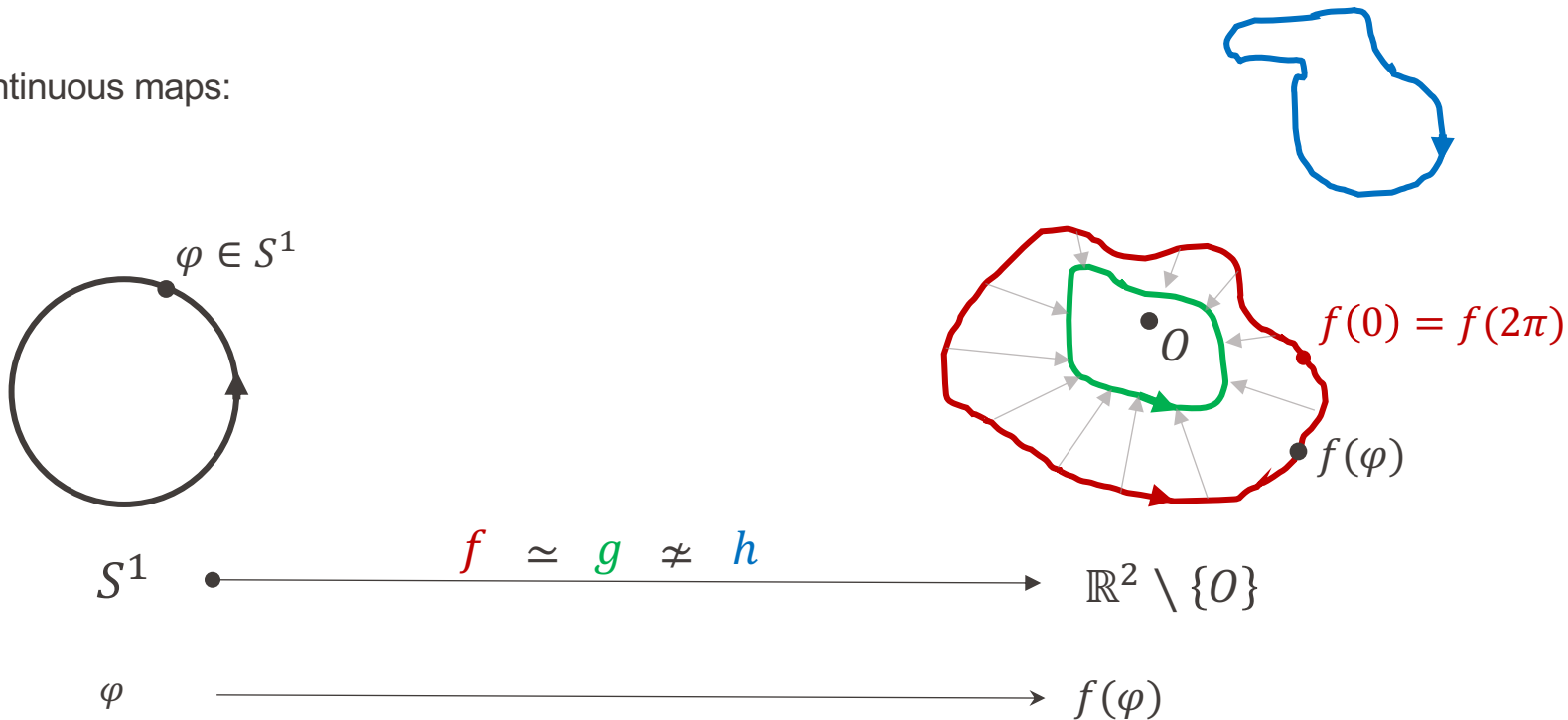
Conclusion



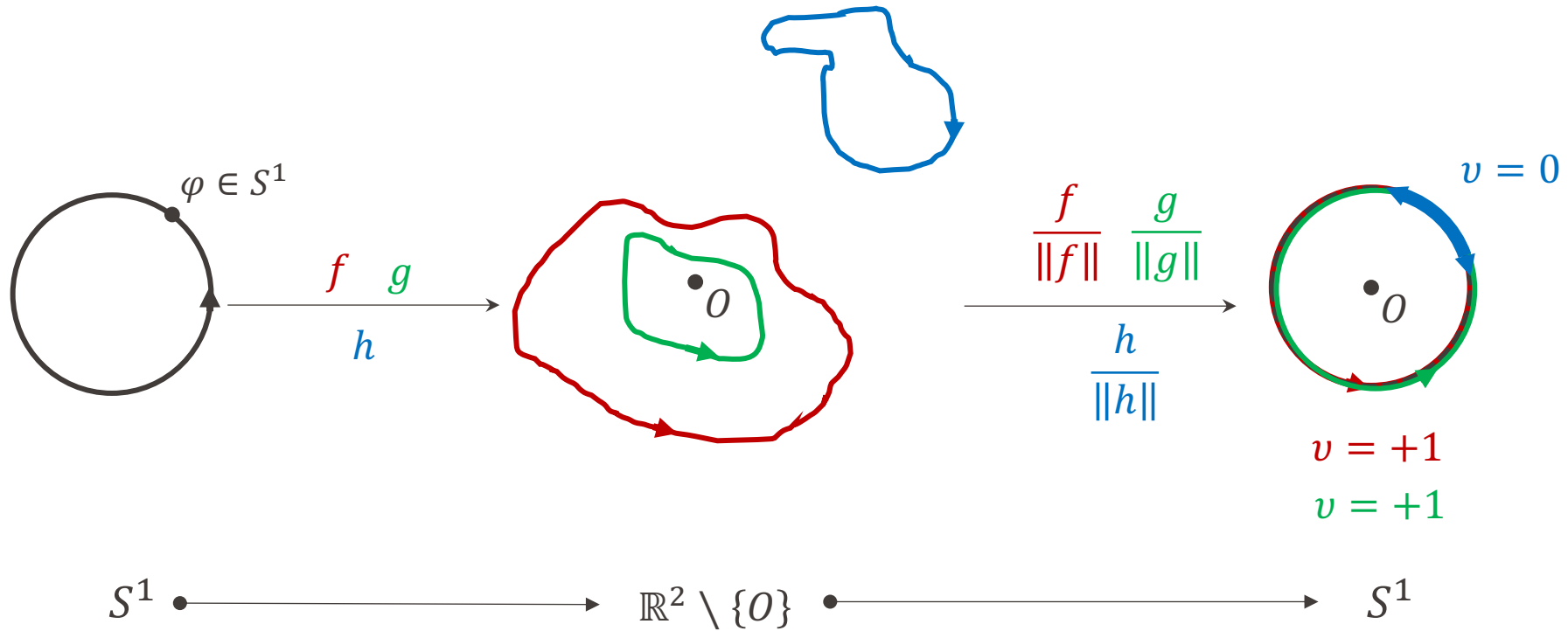
# How to define topological charges ?

## The notion of Homotopy invariants

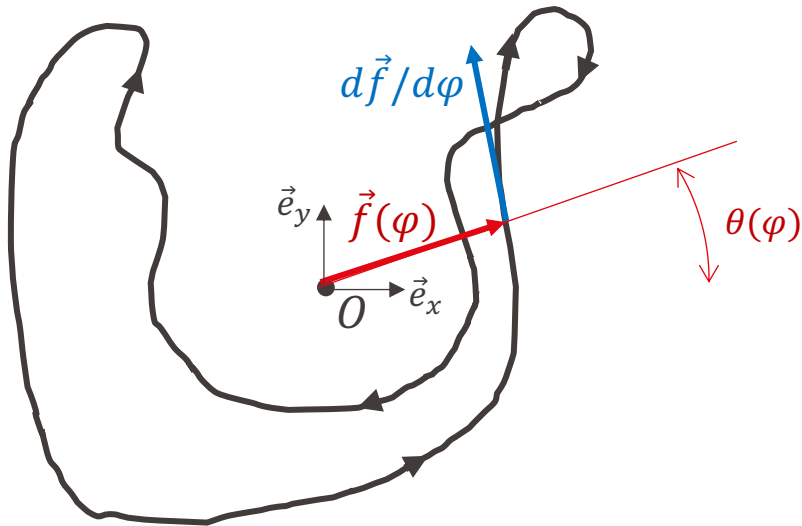
Continuous maps:



Homotopy classes characterized by the winding number of the map = homotopy invariant



# The winding number of the map

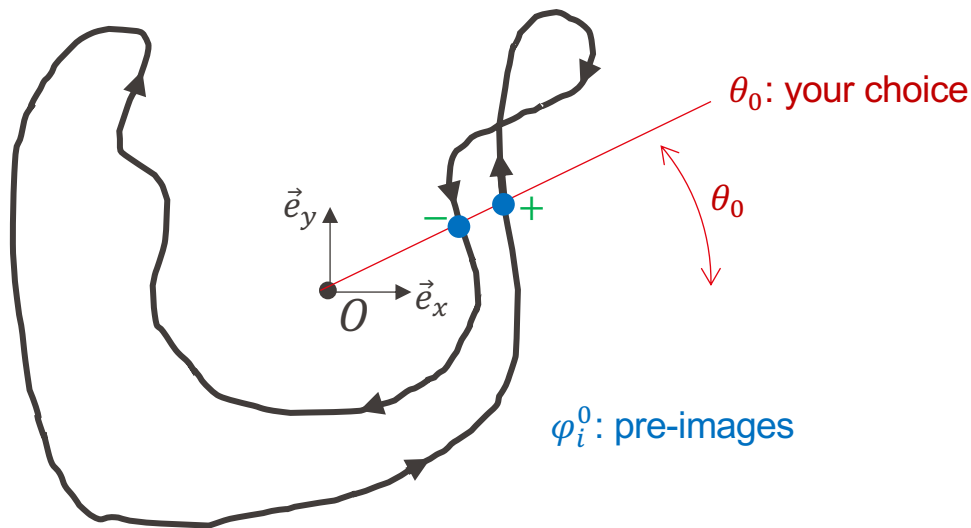


$$\left( \vec{f} \times \frac{d\vec{f}}{d\varphi} \right) \cdot \vec{e}_z \quad \text{Orbital moment, do I go right or left ?}$$

$$v(f) = \frac{1}{2\pi} \oint_{S^1} d\varphi \frac{\left( \vec{f} \times \frac{d\vec{f}}{d\varphi} \right) \cdot \vec{e}_z}{\|\vec{f}\|^2} \quad \text{Winding number}$$

$$\text{Proof: } \vec{f} = \|\vec{f}\| \begin{pmatrix} \cos \theta(\varphi) \\ \sin \theta(\varphi) \end{pmatrix} \quad \Rightarrow \quad v(f) = \frac{1}{2\pi} \oint_0^{2\pi} d\theta \in \mathbb{Z}$$

( $v = 0$  here)

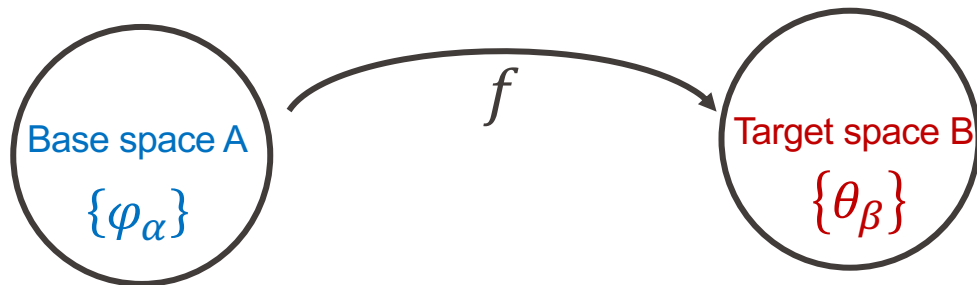


$$v = -1 + 1 = 0$$

Winding number:

$$v(f) = \sum_{\substack{\varphi_i^0 \text{ such that} \\ \text{Arg}(f(\varphi_i^0)) = \theta_0}} \text{sgn} \left( \vec{f} \times \frac{d\vec{f}}{d\varphi} \right) \cdot \vec{e}_z$$

# Generalization : the degree of a map



A, B orientable

A, B:  $S^1, S^2, T^2$ , etc

$\dim A = \dim B$

$$\deg f = \sum_{\varphi_i^0} \operatorname{sgn} \det \left( \frac{\partial \theta_\beta}{\partial \varphi_\alpha} \right)_{f(\varphi_i^0) = \theta_i^0}$$

Ex: The winding number  $\nu(f) = \deg f$  where  $f$  is a map from  $S^1$  to  $S^1$

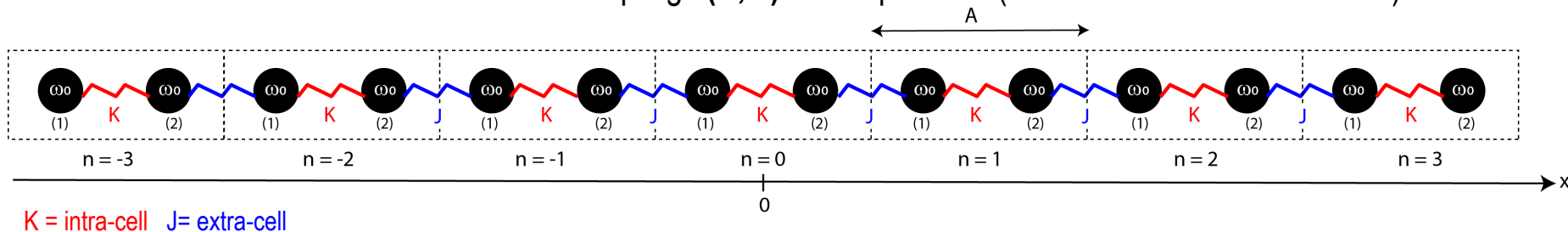
$$\prod_1 S^1 = \mathbb{Z} \quad \text{Homotopy group from } S^1 \text{ to } S^1$$

$$\prod_n S^m = \dots \left\{ \begin{array}{l} 0 \text{ when } n < m \text{ (trivial all maps can be deformed into each other)} \\ \prod_1 S^2 \quad \begin{array}{c} \text{[Five spheres showing a loop being deformed on } S^2\text{]} \end{array} \\ \mathbb{Z} \text{ when } n = m \text{ (the case we've seen)} \\ \prod_1 S^1 \quad \begin{array}{c} \text{[Three circles with } +1, +2, +3 \text{ windings]} \end{array} \quad \prod_2 S^2 \quad \begin{array}{c} \text{[Two stacked spheres]} \end{array} \\ n > m \text{ it depends, open question in general} \end{array} \right.$$

Ex:  $\prod_3 S^2 = \mathbb{Z}$ , Hopf insulators

$\prod_{10} S^3 = \mathbb{Z}_{15} \dots$

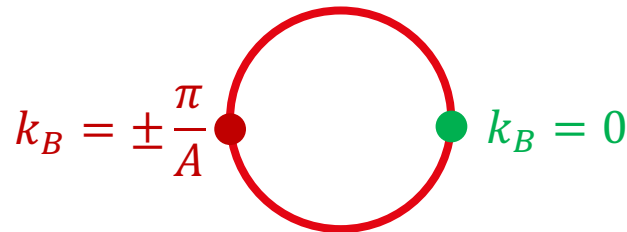
Infinite chain of identical resonators with 2 couplings ( $K$ ,  $J$ ) and of period  $A$  (2 resonators in the unit cell)



Coupled harmonic oscillators equations + Bloch theorem  $\rightarrow$  Tight-binding Hamiltonian describing the chain

$$H_{TB}(k_B) = \begin{bmatrix} \text{Resonance 1} & K_{\text{tot}} \\ \omega_0 & K + J e^{-jk_B A} \\ K_{\text{tot}}^* & \omega_0 \\ \text{Resonance 2} & \end{bmatrix}$$

1) The Bloch wave number lives on a circle :  $k_B \in \left[-\frac{\pi}{A}, \frac{\pi}{A}\right]$

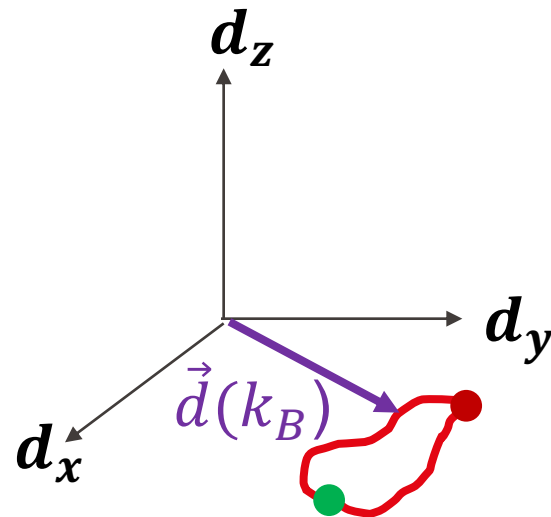


2) The Hamiltonian lives in the space of 2 by 2 Hermitian matrices

$$H_{TB}(k_B) = d_0 \sigma_0 + d_x(k_B) \sigma_x + d_y(k_B) \sigma_y + d_z(k_B) \sigma_z$$

3) The eigenvalues of the Hamiltonian give the two frequency bands

$$\omega_{\pm} = d_0 \pm |\vec{d}(k_B)|$$





# Before defining topology - Things to keep in mind

1) The Bloch wave number lives on a circle :  $k_B \in \left[-\frac{\pi}{A}, \frac{\pi}{A}\right]$   $k_B = \pm \frac{\pi}{A}$    $k_B = 0$

2) The Hamiltonian lives in the space of 2 by 2 Hermitian matrices (closed path parametrized by  $k_B$ )

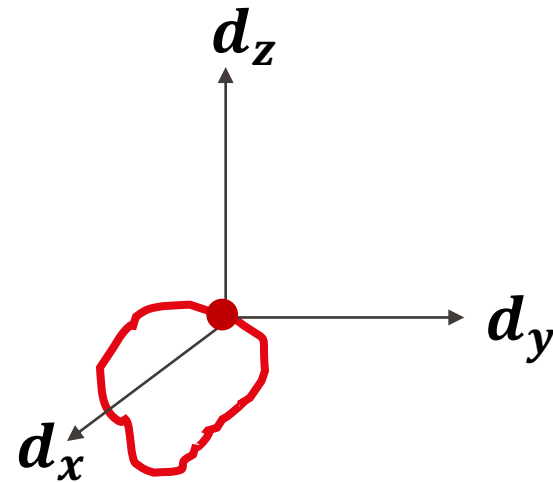
$$H_{TB}(k_B) = d_0 \sigma_0 + d_x(k_B) \sigma_x + d_y(k_B) \sigma_y + d_z(k_B) \sigma_z$$

3) The eigenvalues of the Hamiltonian give the two frequency bands

$$\omega_{\pm} = d_0 \pm |\vec{d}(k_B)|$$

4) The band gap closes when  $|\vec{d}(k_{B,close})| = 0$

Restricting to insulators excludes the origin



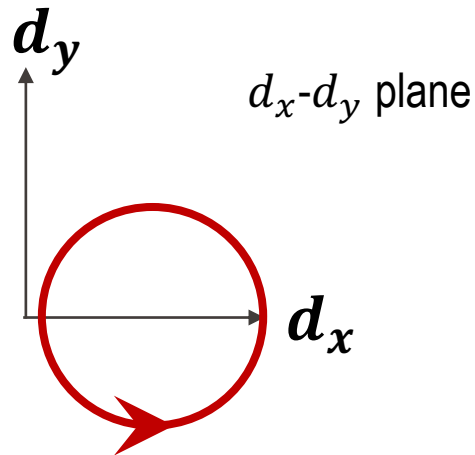
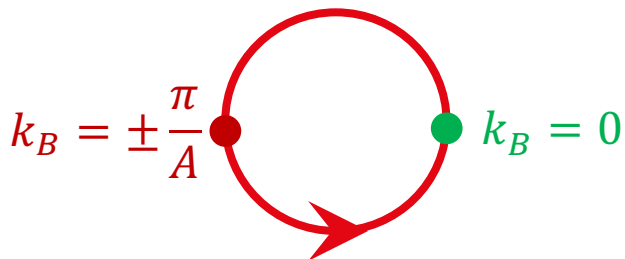
# Defining topology under chiral symmetry

$$H_{TB}(k_B) = d_0 \sigma_0 + d_x(k_B) \sigma_x + d_y(k_B) \sigma_y + \cancel{d_z(k_B)} \sigma_z \quad \text{identical resonators = chiral symmetry}$$

$$d_x(k_B) = \Re(K_{tot}) = K + J \cos k_B A$$

$$d_y(k_B) = \Im(K_{tot}) = J \sin k_B A$$

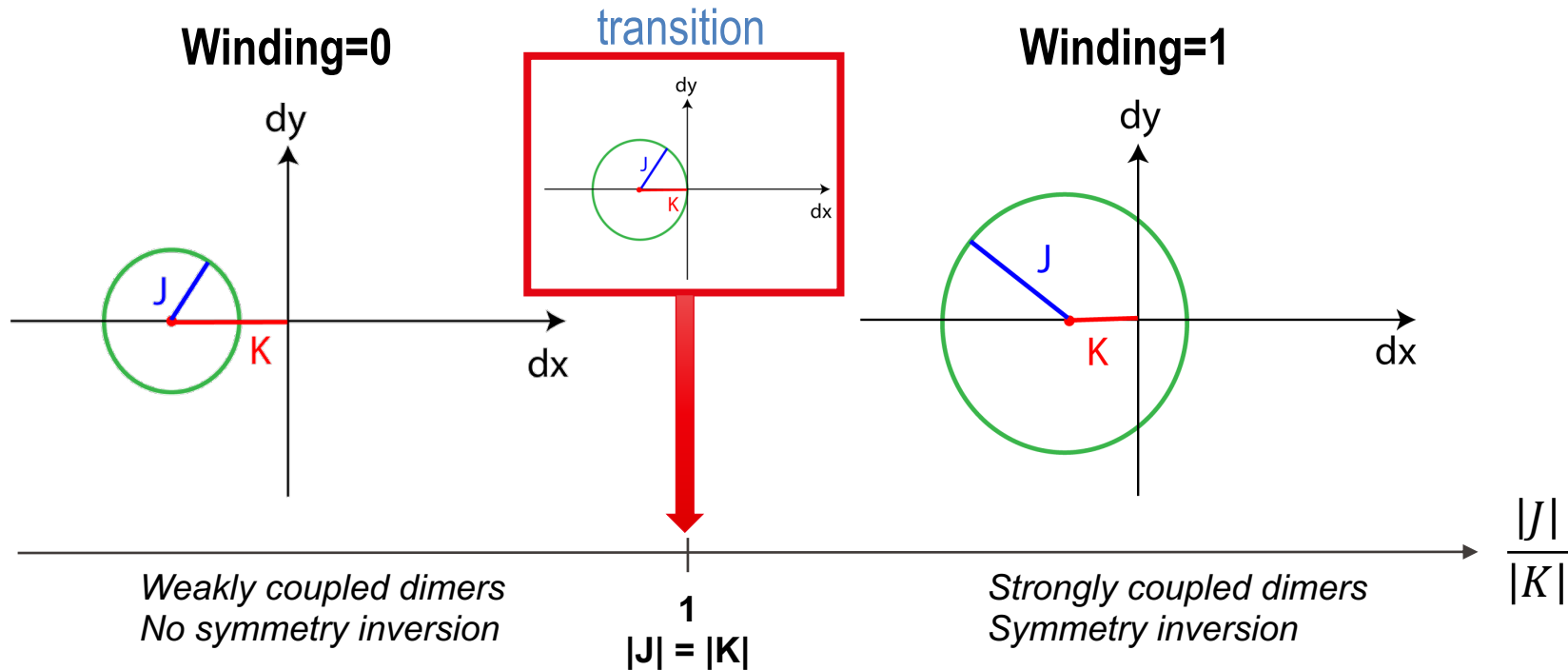
Brillouin zone

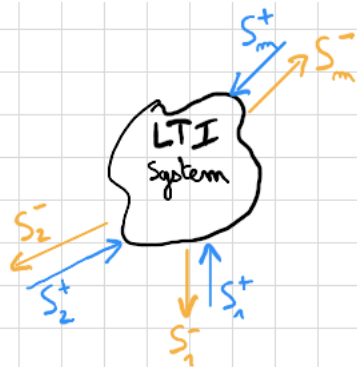


$$\prod_1 S^1 = \mathbb{Z}$$

Homotopy group from  $S^1$  to  $S^1$

# The winding number as a topological invariant





LTI: linear time-invariant,

$S_i^+ \in \mathbb{C}$ : incident wave amplitude at port  $i \in \llbracket 1, m \rrbracket$

$S_i^- \in \mathbb{C}$ : outgoing — — — — —

Convention:  $S_i^+$  is normalized such that  $|S_i^+|^2 = S_i^{+*} S_i^+$  is the incident/outgoing power at port  $i$ .

Linearity  $\Rightarrow \exists S /$

$$\vec{S}_- = S \vec{S}_+$$

$m \times m$  scattering matrix

$$\vec{S}_\pm = [S_1^\pm, S_2^\pm, \dots, S_m^\pm]^T \quad m \times 1 \text{ column vector}$$

Goal: define topology directly from S

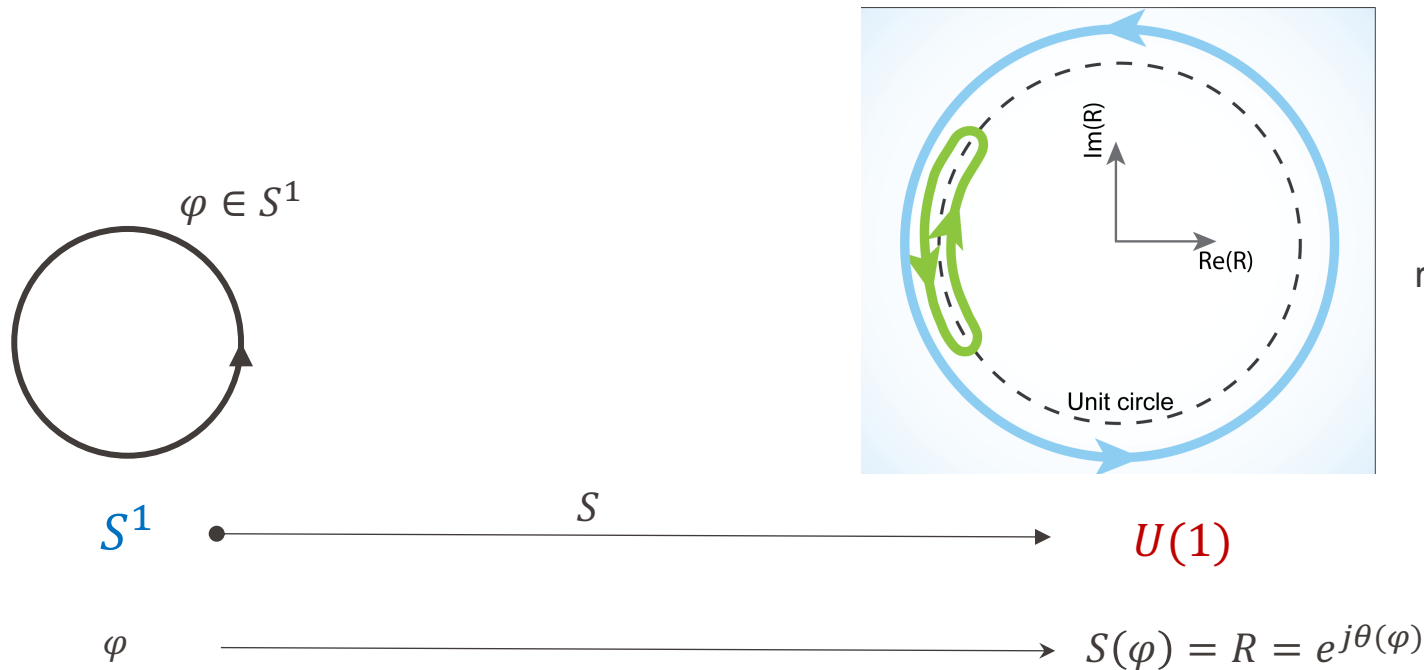
S conserves power flux  
(ex: lossless system)  $\Leftrightarrow$  S is unitary:  $(S^\dagger S) = \mathbb{I}_m, S \in U(m)$

Proof: For all input  $S_+$ ,  $S_+^\dagger S_+ = S_-^\dagger S_- \Leftrightarrow S_+^\dagger S_+ = S_+^\dagger (S^\dagger S) S_+ \Leftrightarrow S_+^\dagger (S^\dagger S - \mathbb{I}_m) S_+ = 0$

One port example:  $S = R = e^{j\theta} \in U(1)$

Two port example:  $S = \begin{pmatrix} j \cos \theta & \sin \theta \\ \sin \theta & j \cos \theta \end{pmatrix} \in U(2)$

# Homotopy of unitary matrices

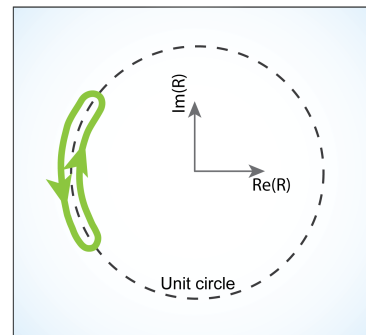
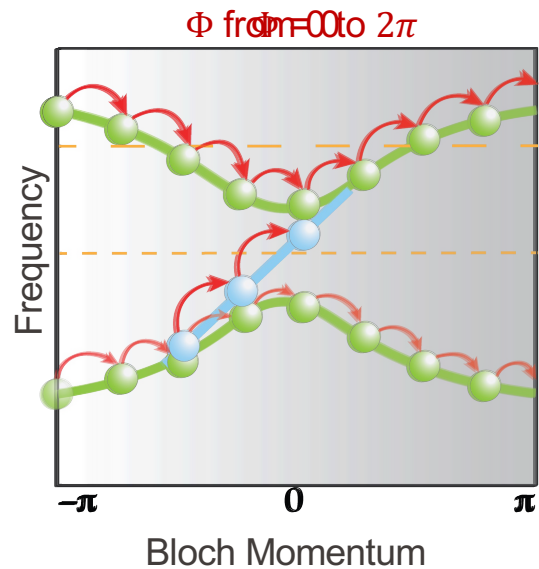
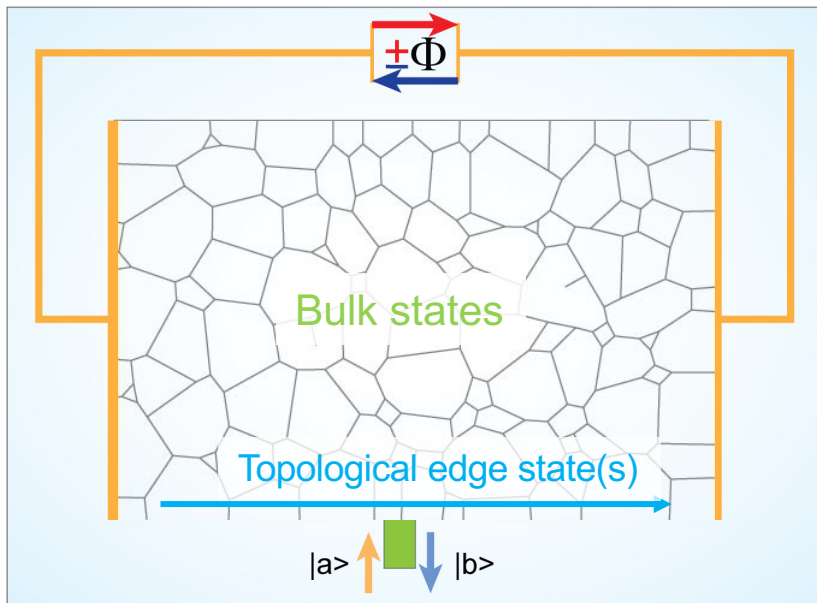


Homotopy group and invariant:

$$\Pi_1(U[m]) = \mathbb{Z} \quad \Rightarrow \quad v(S) = \frac{1}{2\pi} \oint_0^{2\pi} d\theta(\varphi) \quad \Rightarrow \quad v(S) = \frac{1}{2\pi j} \oint_0^{2\pi} d\varphi \frac{d \ln \det S}{d\varphi} = \frac{1}{2\pi j} \oint_0^{2\pi} d\varphi \operatorname{Tr}(S^\dagger \frac{dS}{d\varphi})$$

■

# Scattering topological invariants in the lab



We can define and measure topological invariants from probe scattering.

- Topological pumps and scattering: See works by Laughlin, Akhmerov, Brower, Nascimbene, Chong, Hafezi, and many others.

Coupled mode theory:  
(Mahaux-Weidenmüller formula)

$$S(\omega) = C + D [j(\omega \mathbb{I} - H) + \Gamma]^{-1} K^T$$

Real excitation frequency

Scattering matrix

Direct path

Closed system Hamiltonian

Decay matrix

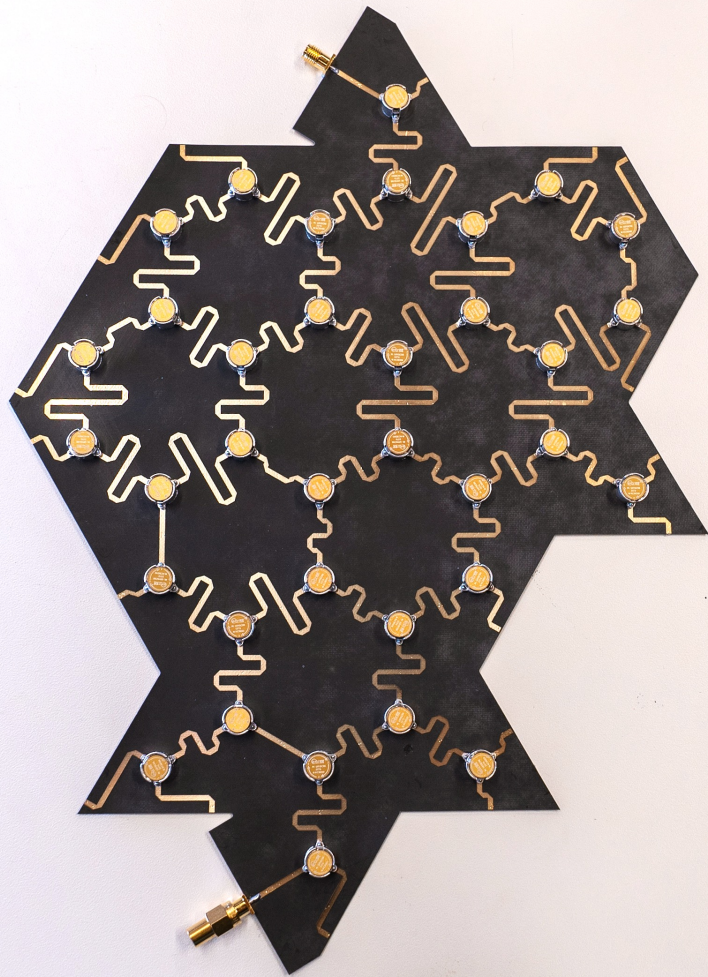
K, D, Coupling matrices

Topological transition  
= Singularity

$$\Rightarrow j(\omega \mathbb{I} - H) + \Gamma \text{ non invertible} \Leftrightarrow \exists \psi_\infty \text{ such that } (H + j\Gamma) \psi_\infty = \omega \psi_\infty$$

Topological transition = Bound state in continuum !



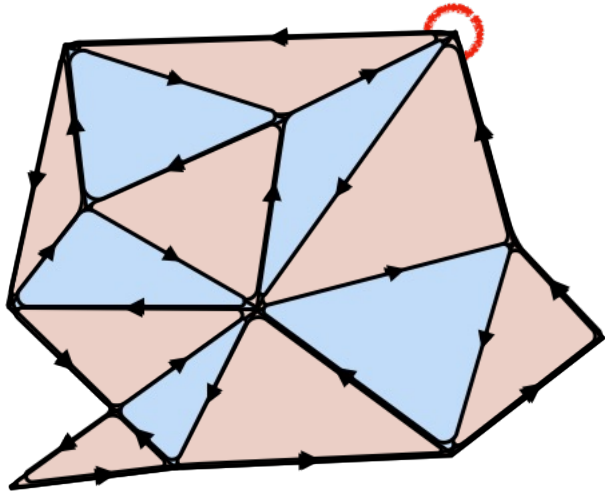


Introduction to topology

Topological scattering

**From graphs to topological networks**

Conclusion

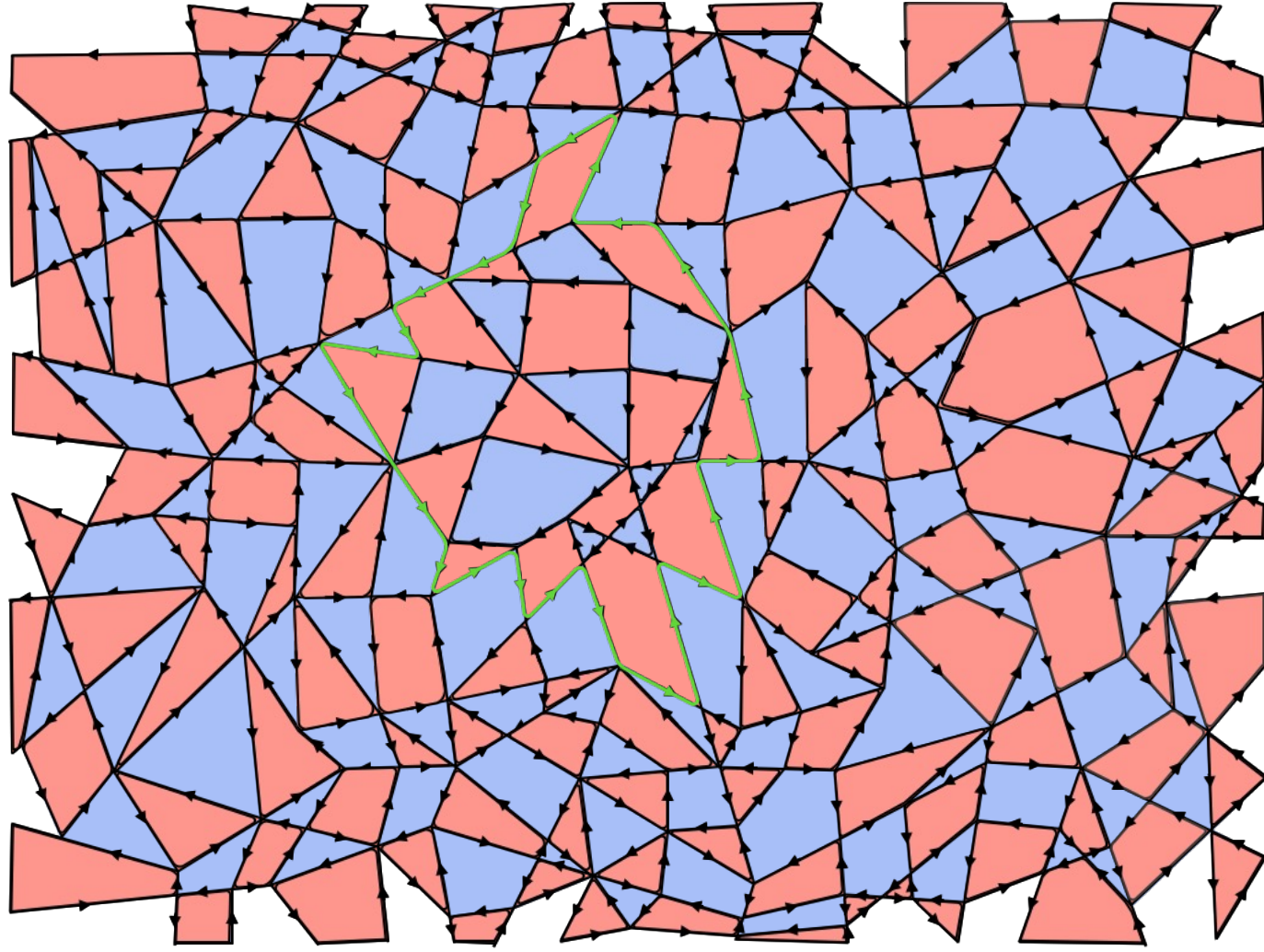


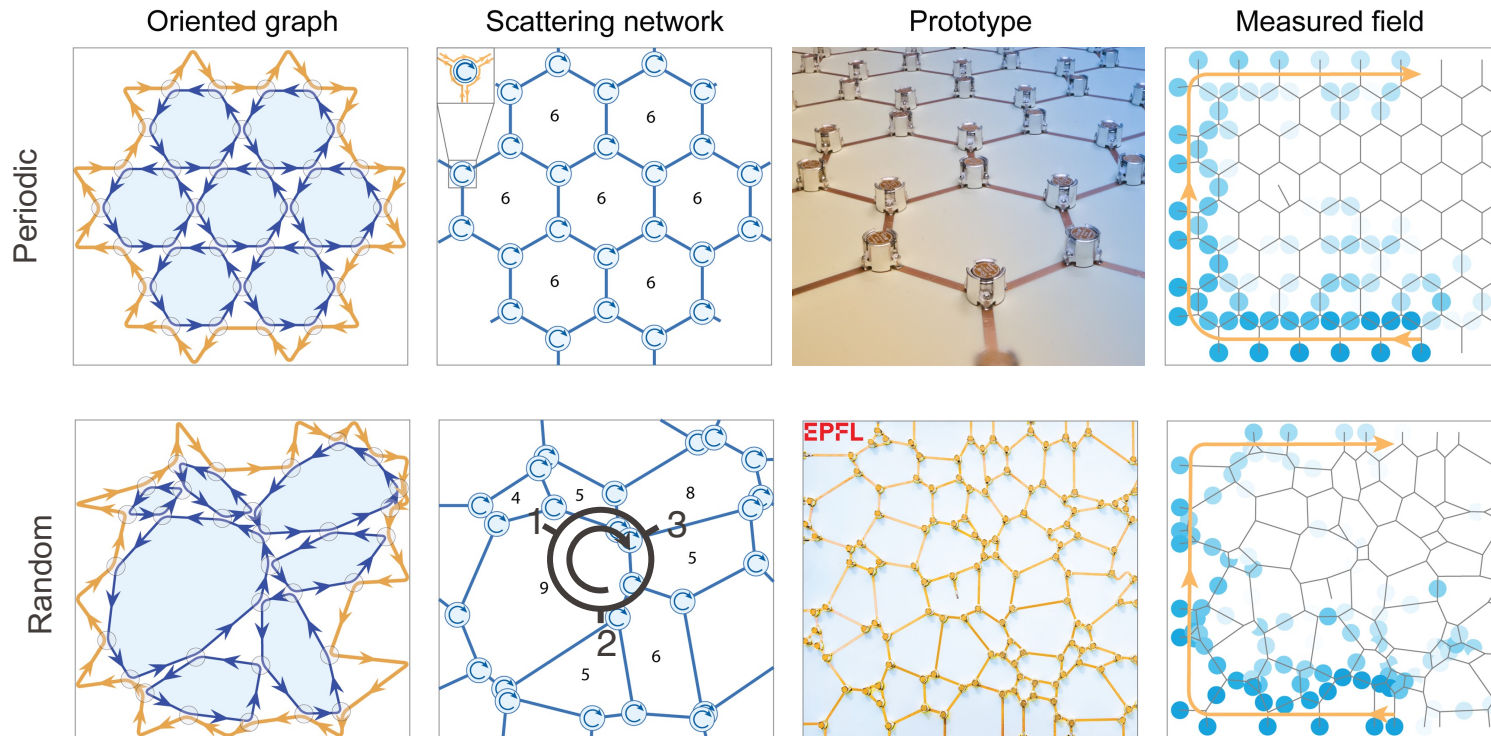
The seven bridges of Königsberg, Wikipedia.

Delplace, SciPost Phys. (2021), doi: 10.21468/SciPostPhys.8.5.081

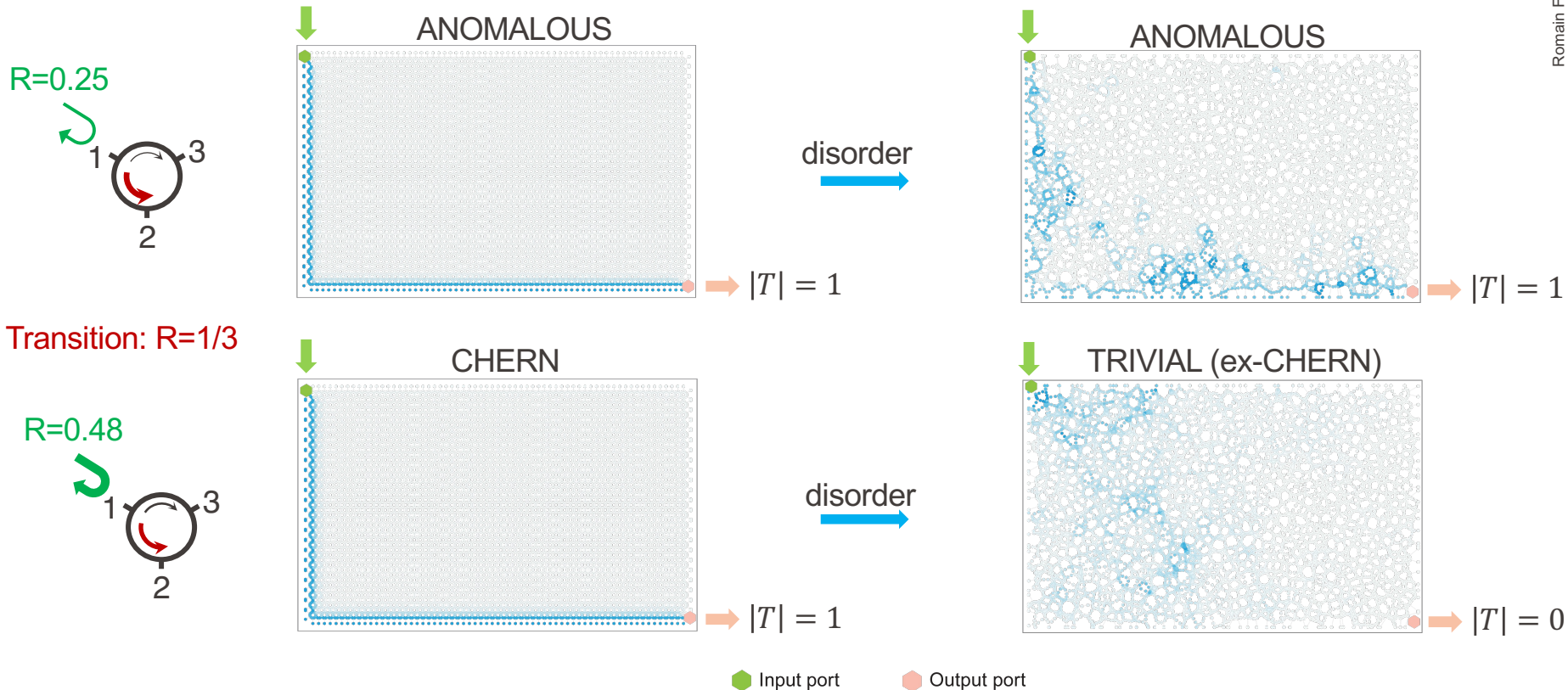
Zhang, Delplace, Fleury, Science Advances (2023), DOI : 10.1126/sciadv.adg3186

Zhang, Delplace, Fleury, Nature (2021), DOI : 10.1038/s41586-021-03868-7

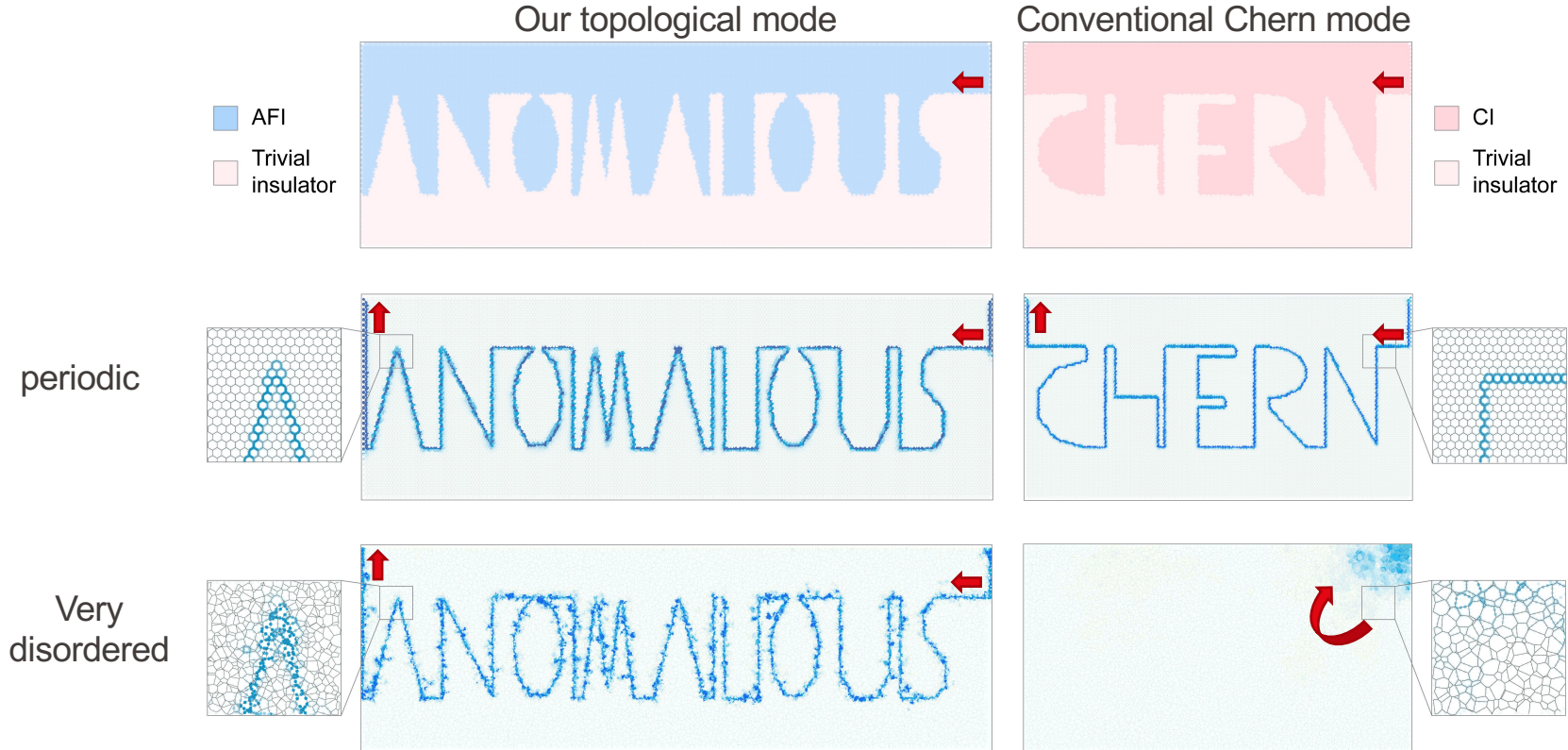




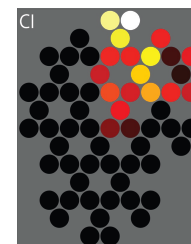
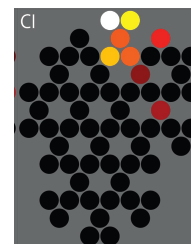
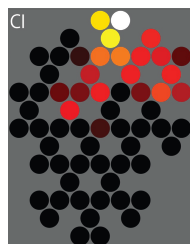
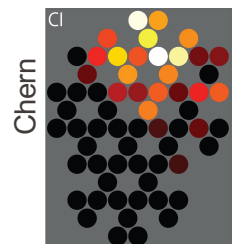
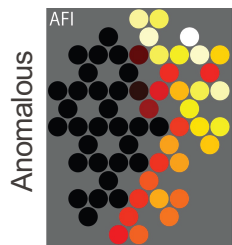
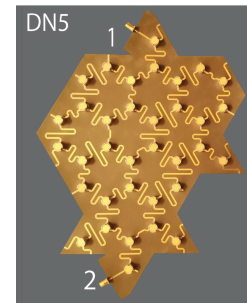
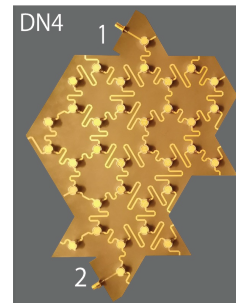
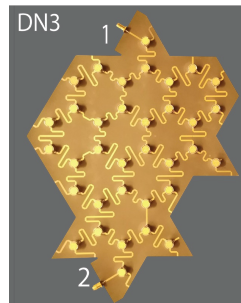
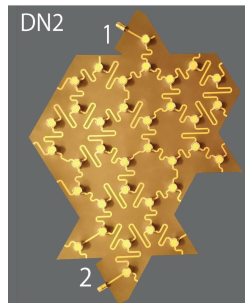
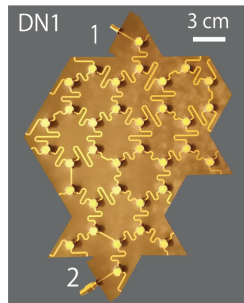
# EPFL The rich physics of non-reciprocal networks



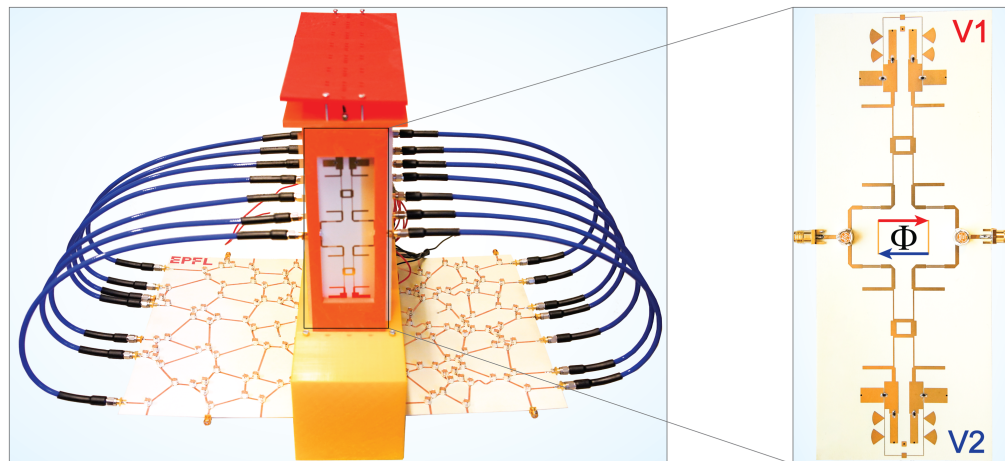
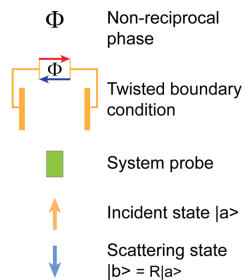
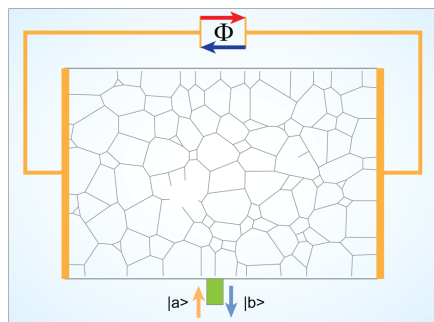
- We want to define and measure topological invariants from scattering measurements



# Other disorder types

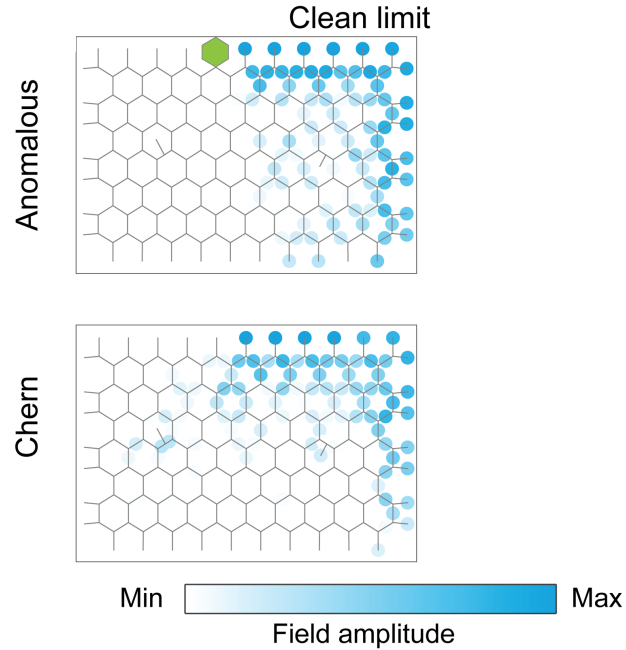


# Topological invariant measurements

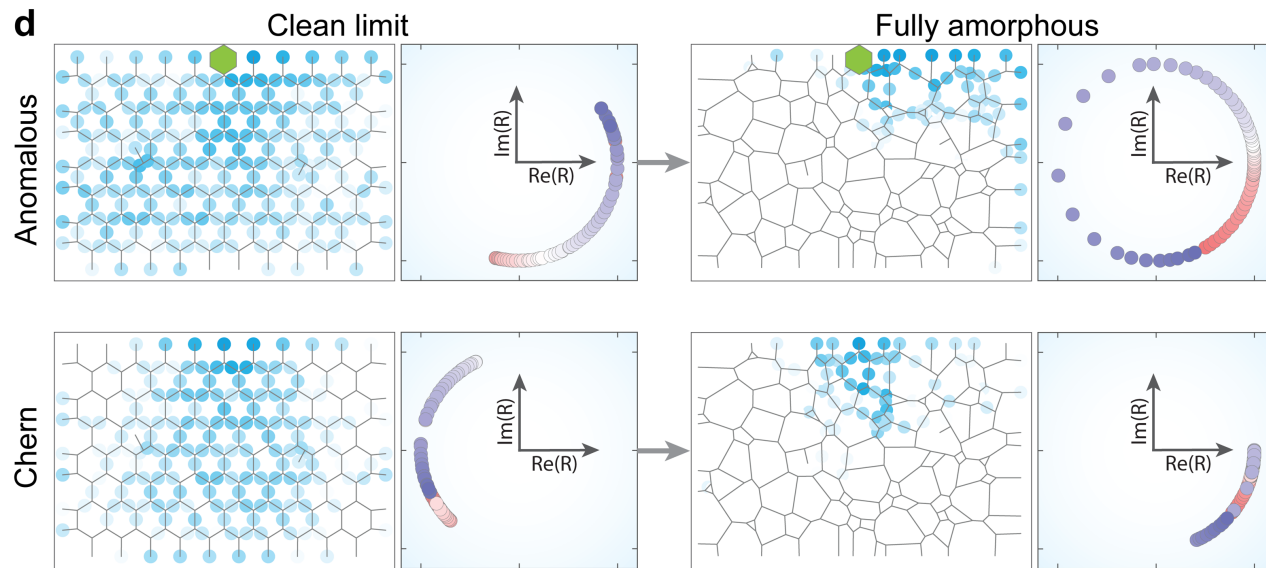


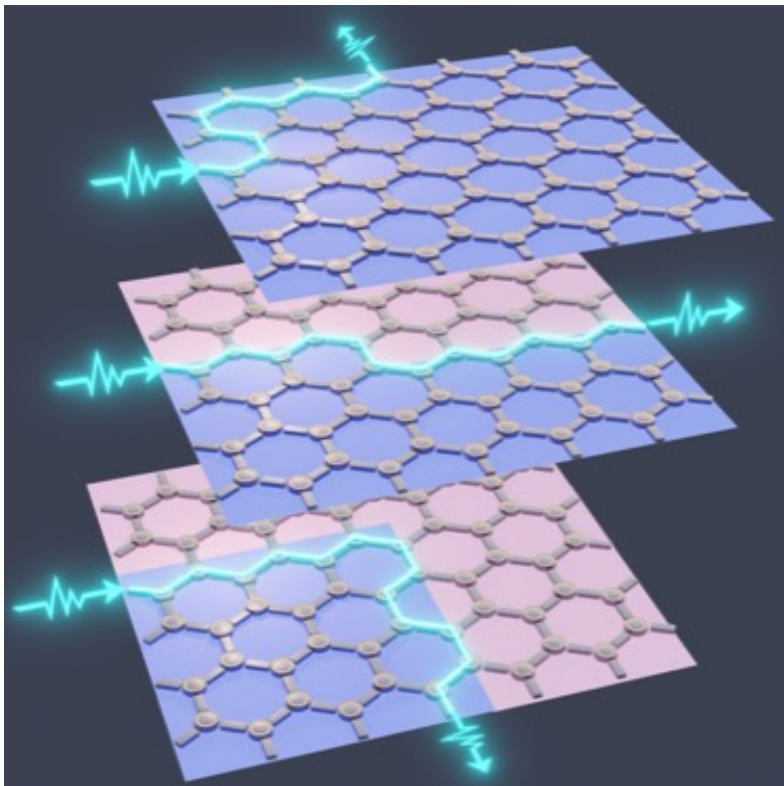


# Topological invariant measurements



# Topological invariant measurements





Introduction to topology

Topological scattering

From graphs to networks

**Conclusion**

## Topological waves

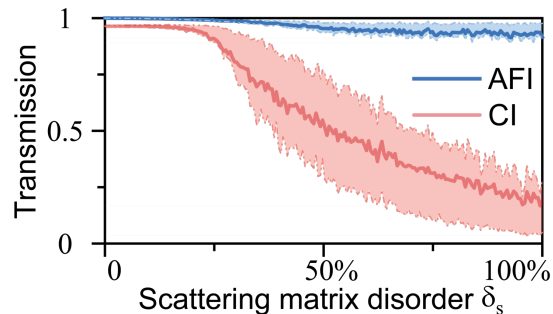
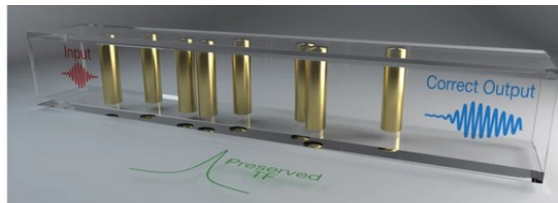
Topology can guarantee nice wave properties:

- mode presence
- scattering resonance
- unidirectional transport



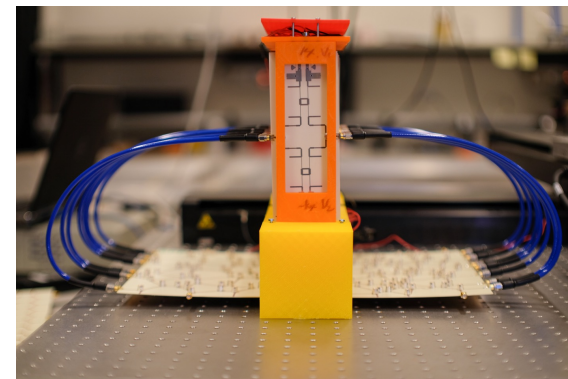
## Robust wave scattering

Topological insulators can be used to create scattering signatures/transport channels that can be very robust. Applications ?



## Measuring scattering invariants

Practical proof of topology  
From a theory-driven field to an experimentally-driven one ?





To all my team, current and past students and postdocs, and collaborators!

To EPFL for continuous support!

To Optica for inviting me !

To you for attending this webinar !

# Thank you