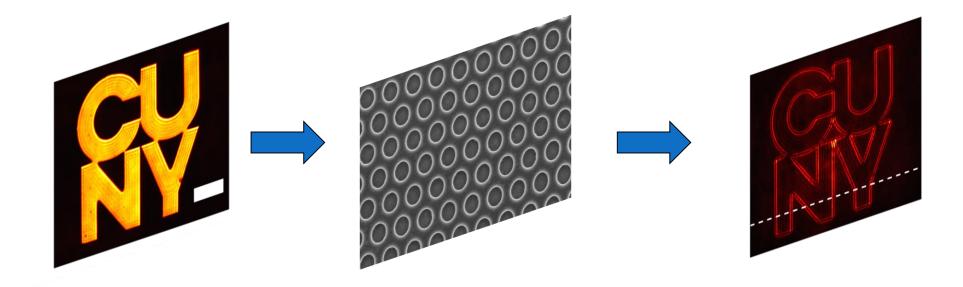




# ANALOG IMAGE PROCESSING WITH METAMATERIALS

Michele Cotrufo, Advanced Science Research Center (CUNY), New York



# OUTLINE

## 1. Introduction

- Why do we need analog computing and image processing?
- How can metamaterials and metasurfaces perform image processing?

## 2. Spatial Image Processing: State of the art and Main challenges

- What are the different approaches used?
- Which figures of merit we need to improve to go from proof-of-principles to useful devices?
- How to overcome the need for coherent illumination?

# 3. What's next?

- Spatio-temporal image processing
- More complex mathematical operations
- Reconfigurable devices

# 4. (Open) Discussion

- Beyond the hype: What are (if any) the <u>real</u> benefits of metasurfaces in image processing?
- Are metasurface-based image-processing devices always worth the effort?

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## DIGITAL VS. ANALOG COMPUTING



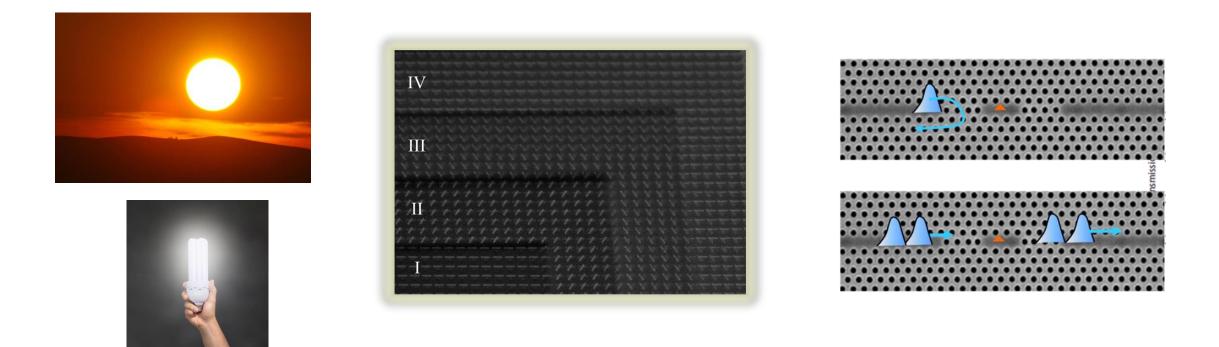




Valve body of an automatic transmission Hydraulic Analog Computer

- Digital computers dominate our world, from data centers to personal computers, cell phones...
- They also require enormous amounts of power. *Information processing and communications consume >10% of total energy in the US, and growing...*
- On average a single Google search produces 0.2-0.3 grams of CO2 emissions
- Analog computers use continuous variations of a physical quantity to process signals – typically electrical, mechanical or hydraulic quantities.
- Their use faded out because of sensitivity to noise and other non-idealities.
- Today there is renewed interest (neuromorphic computing, hardware acceleration...), especially because of improved nanofabrication skills

## USING LIGHT FOR ANALOG COMPUTING



- Light is *ubiquitous*, and *cheap*
- We have learned how to control it very accurately at the nanoscale using photonic crystals and metamaterials
- Light provides an ideal platform for *ultrafast*, *ultralow-energy* signal processing and analog computing

## USING LIGHT FOR ANALOG COMPUTING

$$f_{\rm in}(x,y,t,\dots)\to \pmb{E}_{\rm in}(r,t)e^{i\omega_0 t}$$

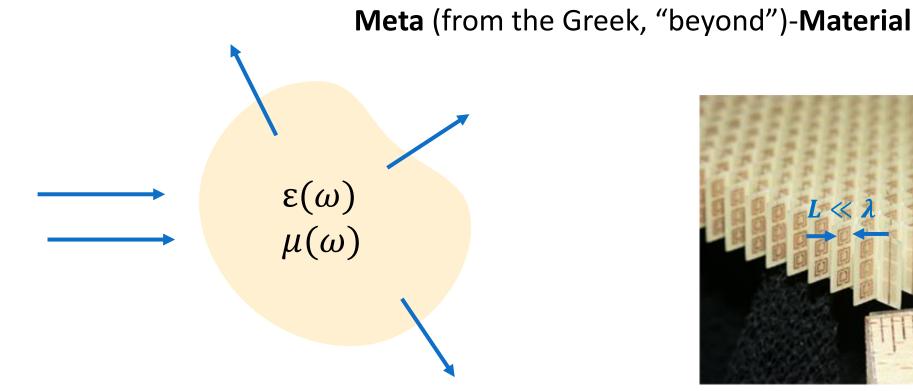
Optical Components, Metamaterials

 $\boldsymbol{E}_{\mathrm{out}}(r,t)e^{i\omega_0 t} \to f_{\mathrm{out}}(x,y,t,\dots)$ 

 $\checkmark$ 

- Light is *ubiquitous*, and *cheap*
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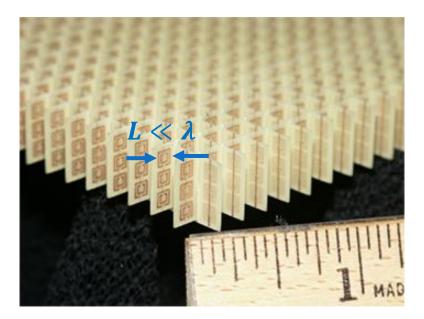
# ELECTROMAGNETIC METAMATERIALS



### "Natural" materials

#### Limited sets of material properties:

- **Refractive Index** •
- **Frequency-Dependence**
- Chirality
- Bianisotropy

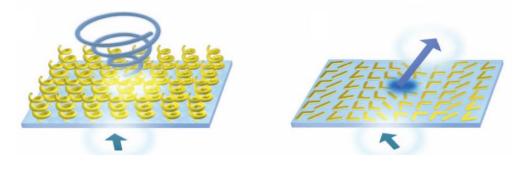


Shelby et al., Applied Physics Letters 78 (4): 489 (2001)

- Artificial materials made by **"meta-atoms"** <<  $\lambda$
- Electromagnetic Radiation sees only an "average" response
- Materials with optical responses not available in nature

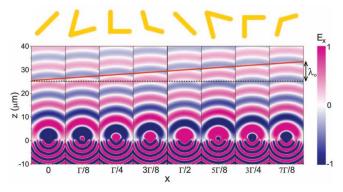
# PHOTONIC METAMATERIALS

### **Optical Metamaterials and Metasurfaces**



A.F. Koenderink, A. Alù, and A.Polman, Science (2015)

K. Wang et al., Phys. Today (2022).

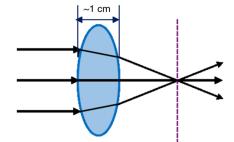


N. Yu et al., Science (2011).

## Advanced Optical Functionalities in very Compact Footprints

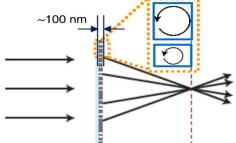
### **Conventional Lens**

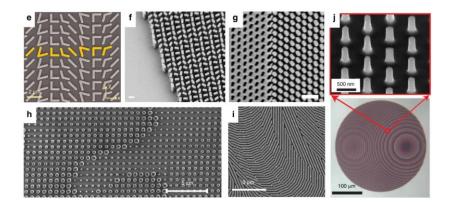
- Phase delay from thickness and shape
- Response mainly dictated by intrinsic material properties



#### **Metalens**

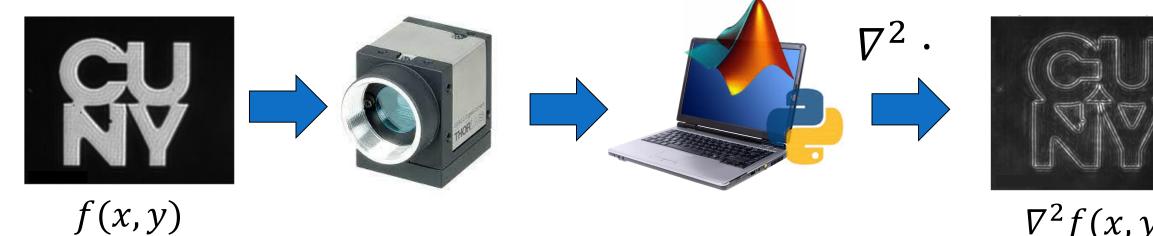
- Phase delay by scattering from sub-wavelength inclusions
- Response dictated by shape, orientation and arrangement of inclusions





## IMAGE PROCESSING

## **Digital Image Processing**



 $\nabla^2 f(x, y)$ 

## **Self-driving cars**

## **Enhance edges to simplify object detection**



https://www.rdworldonline.com/

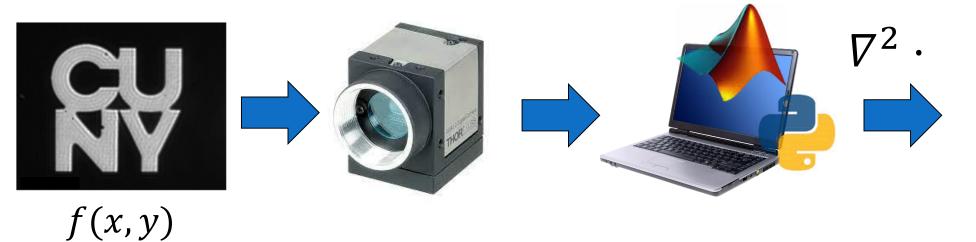




https://towardsdatascience.com/

## Image Processing

## **Digital Image Processing**



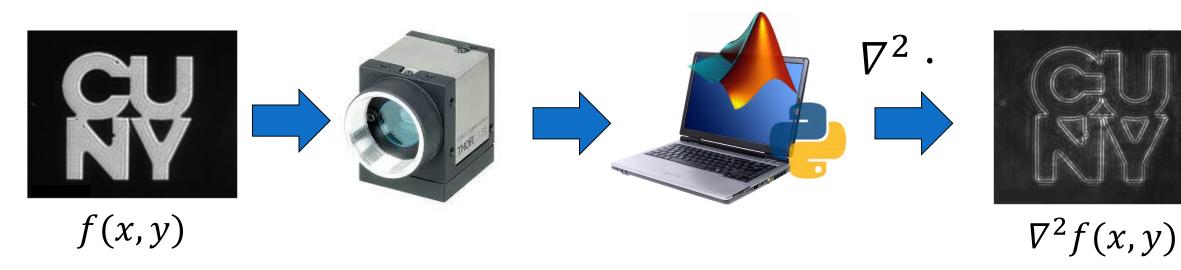


 $\nabla^2 f(x,y)$ 

- Medical Imaging
- Fingerprint Recognition
- Satellite Images
- Robotic Vision
- ...

## IMAGE PROCESSING

## **Digital Image Processing**



## **Pros:**

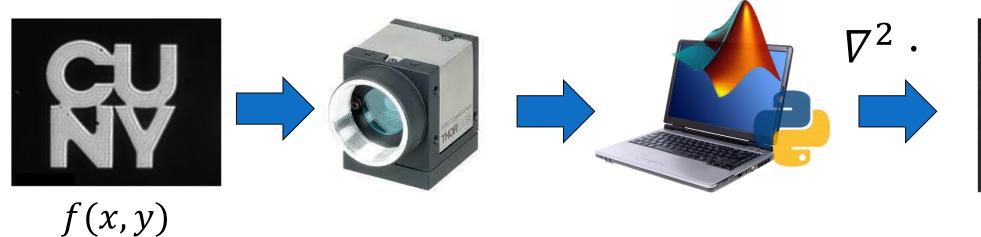
- Programmable
- Highly versatile.

## Cons:

- Require hardware for computation.
- Might be "too slow" for certain applications.
- Energy consumption.

## IMAGE PROCESSING

## **Digital Image Processing**



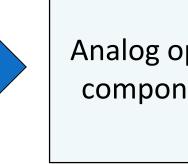


 $\nabla^2 f(x,y)$ 

# Analog Image Processing



 $\boldsymbol{E}_{\rm in}(\boldsymbol{x},\boldsymbol{y},t) = f(\boldsymbol{x},\boldsymbol{y})e^{i\omega_0 t}\boldsymbol{\hat{e}}$ 



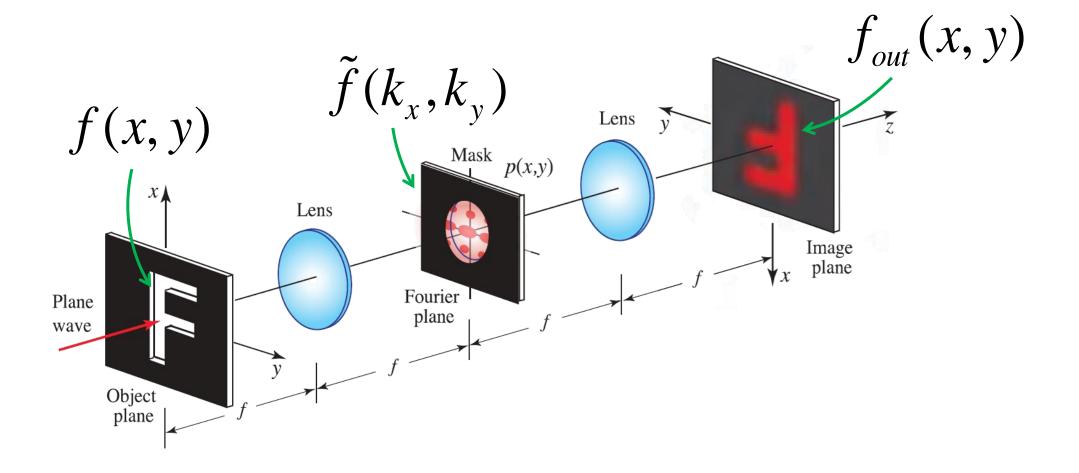
Analog optical components



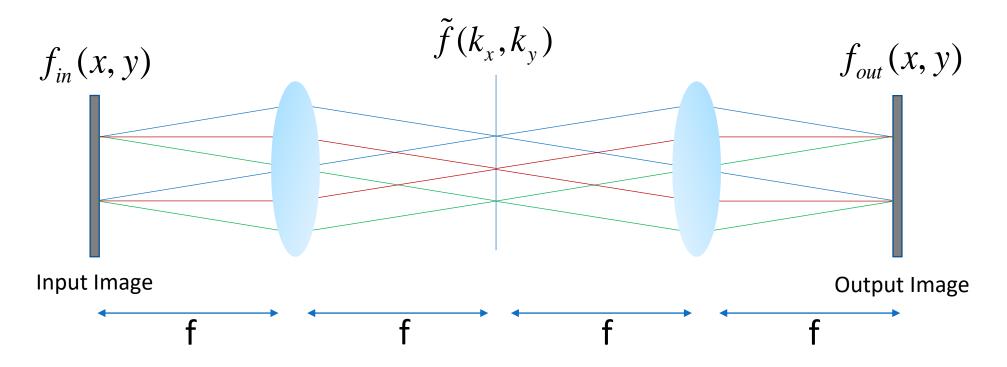


 $\boldsymbol{E}_{\rm out}(\boldsymbol{x},\boldsymbol{y},t) = \nabla^2 f(\boldsymbol{x},\boldsymbol{y}) e^{i\omega_0 t} \hat{\boldsymbol{e}}$ 

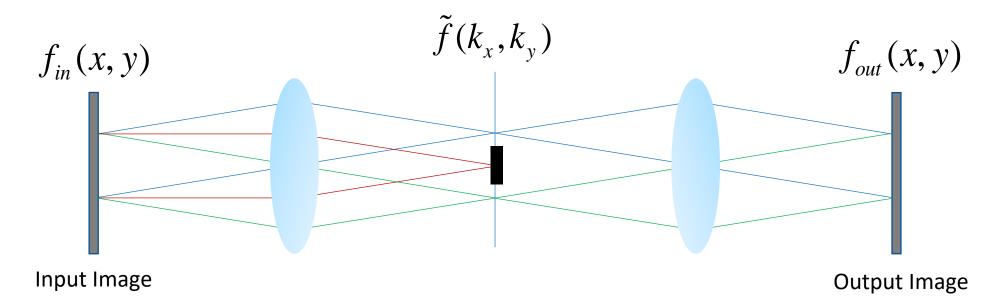
## **Standard Method for K-Space Filtering**



## K-space filtering with a 4F system

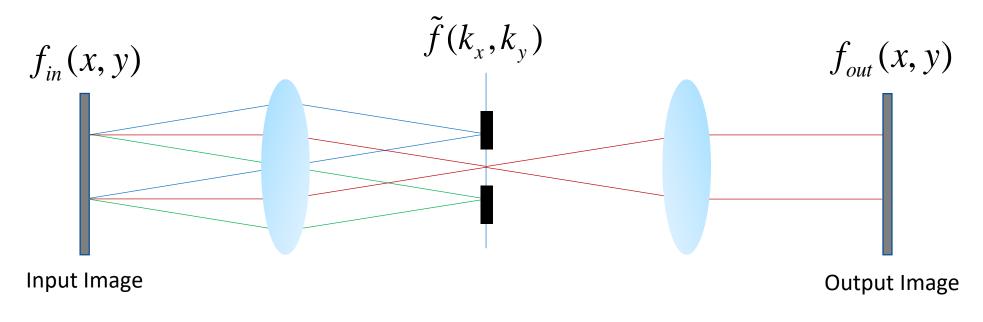


## K-space filtering with a 4F system



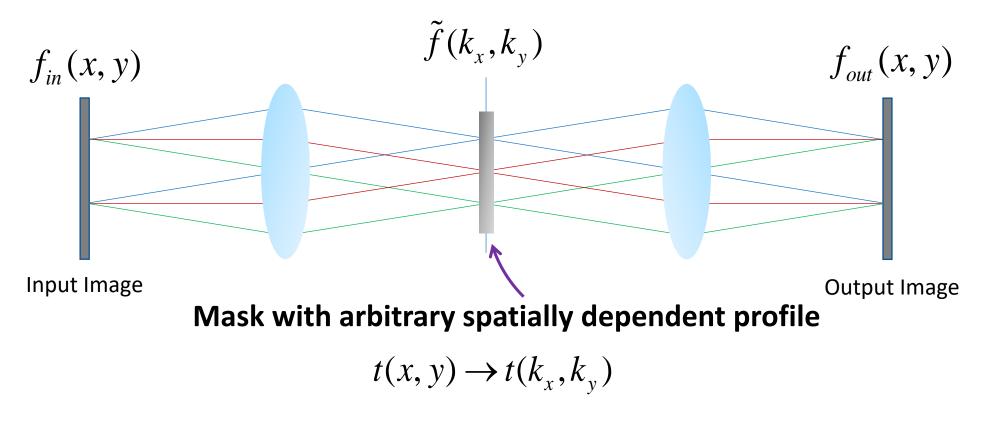
**High-Pass filter** 

## K-space filtering with a 4F system



**Low-Pass filter** 

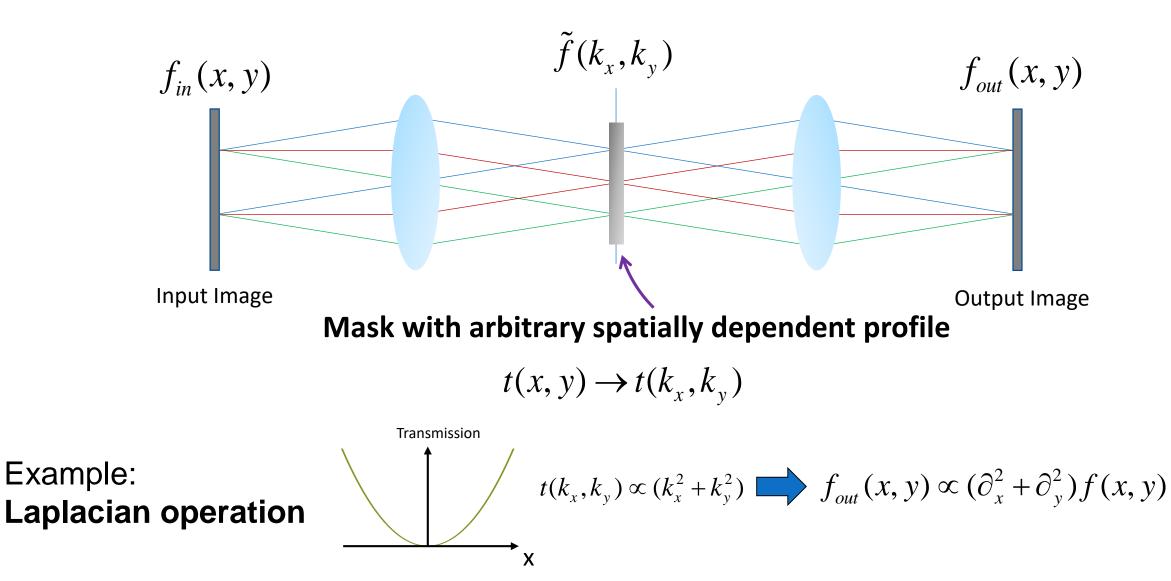
## K-space filtering with a 4F system



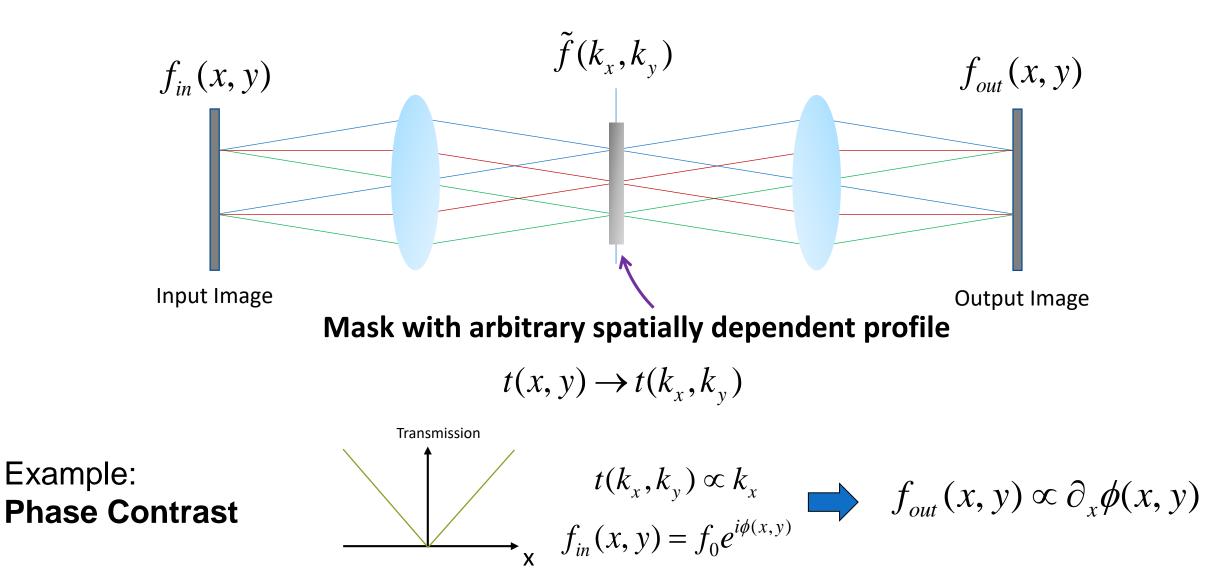
**Transfer Function** 

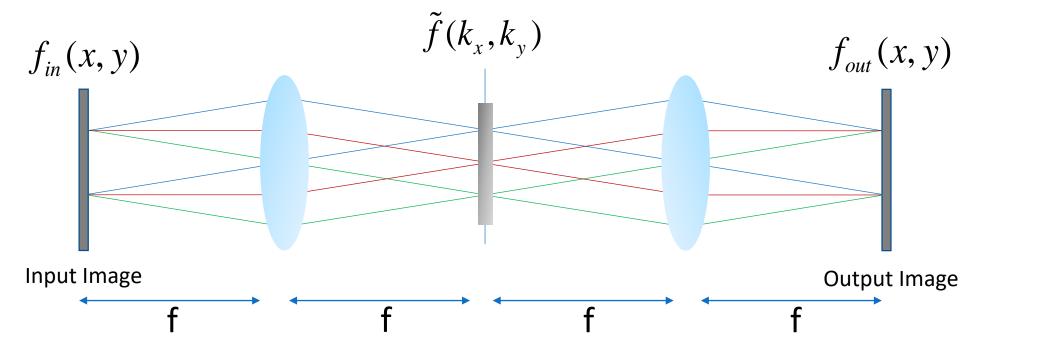
$$f_{out}(x, y) = \iint dx dy \ t(k_x, k_y) \tilde{f}(k_x, k_y) e^{-i(k_x x + k_y y)}$$

## K-space filtering with a 4F system



## K-space filtering with a 4F system





**Pros:** very easy to target a desired k-vector **Cons:** inherently **<u>bulky technique</u>**:

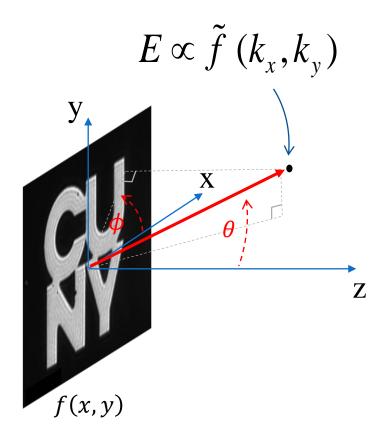
- Need to access the Fourier space
- Total footprint  $\geq 4f$
- Not suited for integrated applications (smartphones, compact microscopes, etc.)

$$f_{out}(x, y) = \iint dx dy \ t(k_x, k_y) \widetilde{f}(k_x, k_y) e^{-i(k_x x + k_y y)}$$



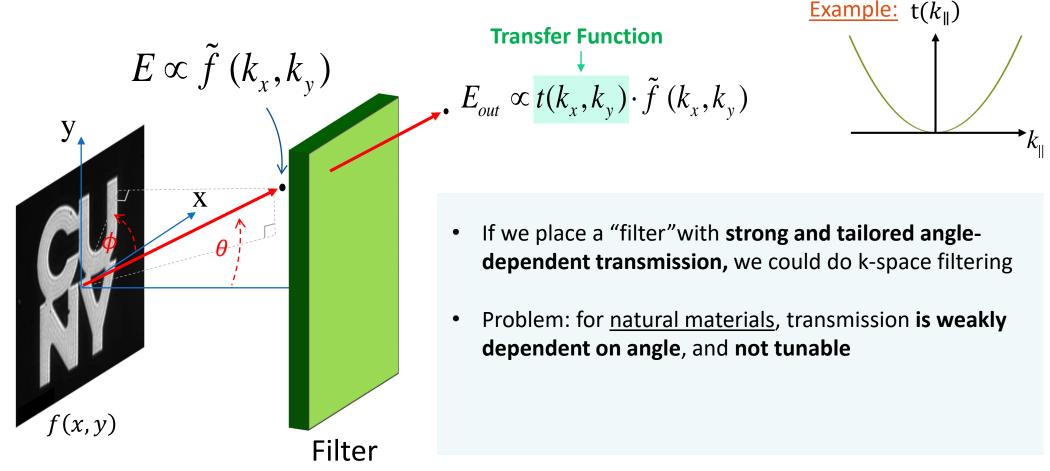
We do not need to physically access the back focal plane to obtain the Fourier transform

Optics gives us the Fourier transform for free (i.e., without lenses)

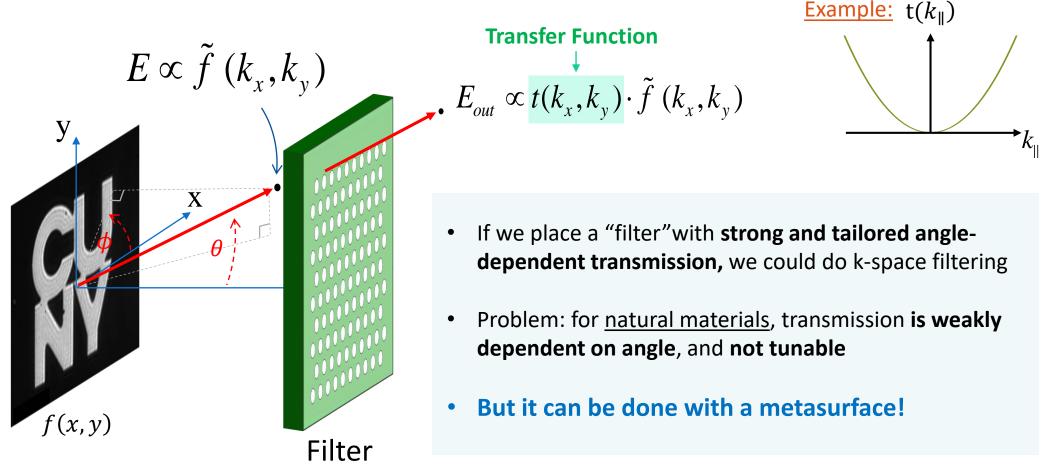


Each diffracted wave, propagating at a specific angle, "carries" a different Fourier component

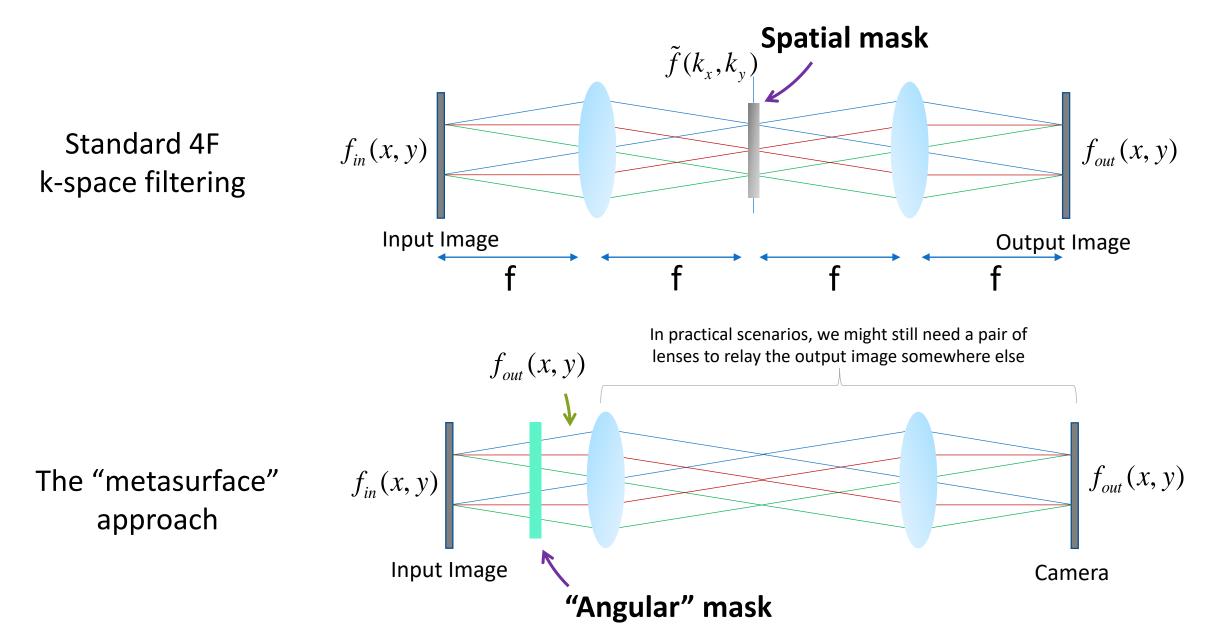
## We do not need to physically access the back focal plane to obtain the Fourier transform



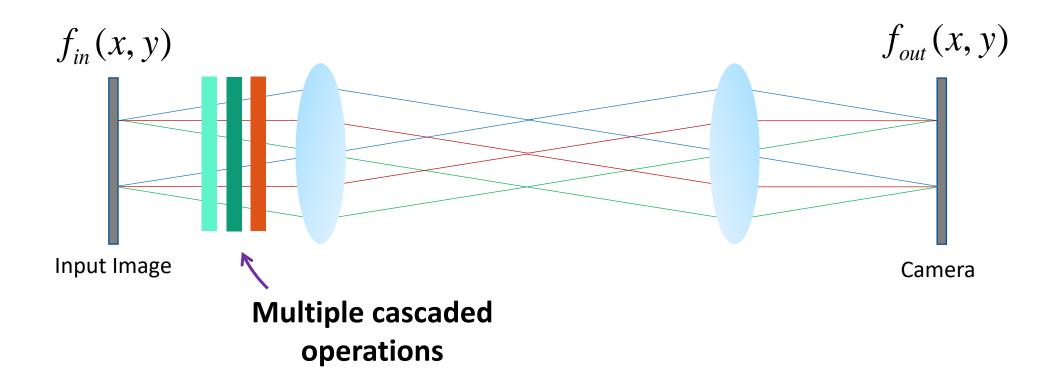
## We do not need to physically access the back focal plane to obtain the Fourier transform



# 4F OR NOT 4F - THIS IS THE QUESTION



# SCALABILITY OF THE 4F-LESS APPROACH



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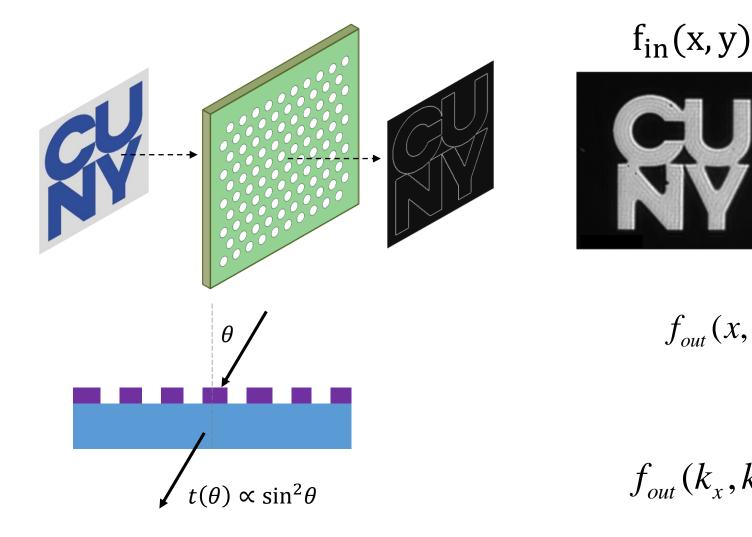
# 3. What's next?

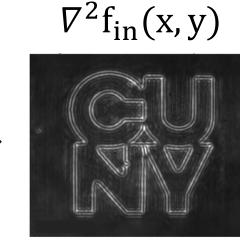
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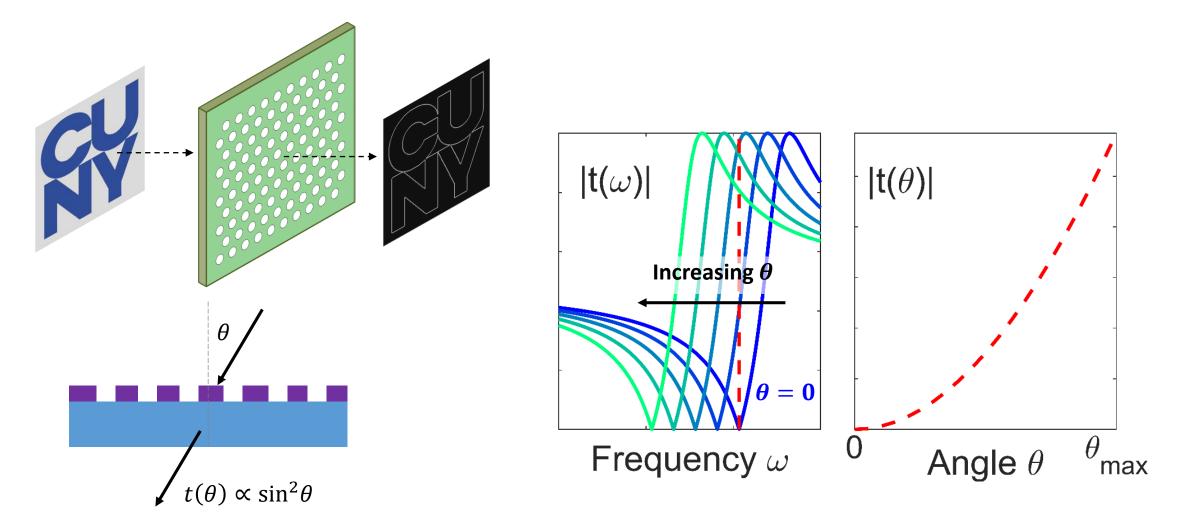
## Standard Approach to obtain a "Laplacian Response"

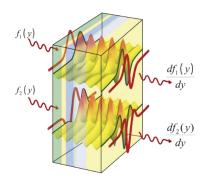




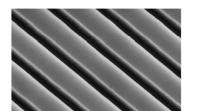
 $f_{out}(x, y) = (\partial_x^2 + \partial_y^2) f_{in}(x, y)$  $f_{out}(k_x, k_y) = -(k_x^2 + k_y^2) f_{in}(k_x, k_y)$ 

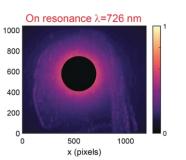
## Standard Approach to obtain a "Laplacian Response"





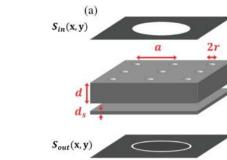
Silva, et al., **Science** 343(6167), 160-163 (2014)





Cordaro et al., Nano Letters 19(12), 8418-8423(2019)

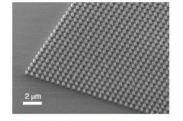
Polman's & Alu's group

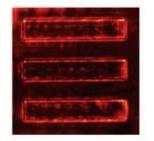


Guo et al., **Optica** 5(3), 251-256 (2018)



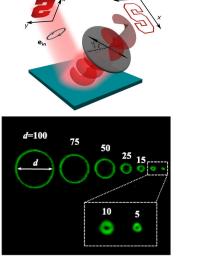
Kwon et al., **Phys. Rev. Lett.** 121(17), 173004 (2018)





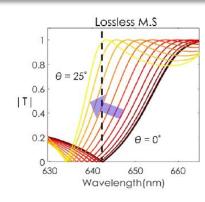
Zhou et al., **Nature Photonics** 14(5), 316-323(2020)

Valentine's group

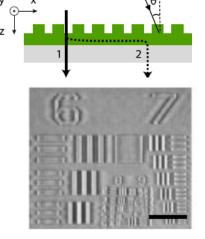


Zhu et al., **Nat. Comm.** 12:680 (2021)

Fan's group

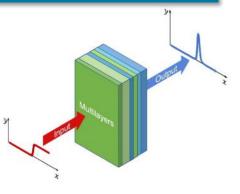


Kwon et al., **ACS Photonics** 7(7), 1799-1805 (2020)



Ji et al., **Nat. Comm.** 13.1, 7848 (2022)

Brongersma's group



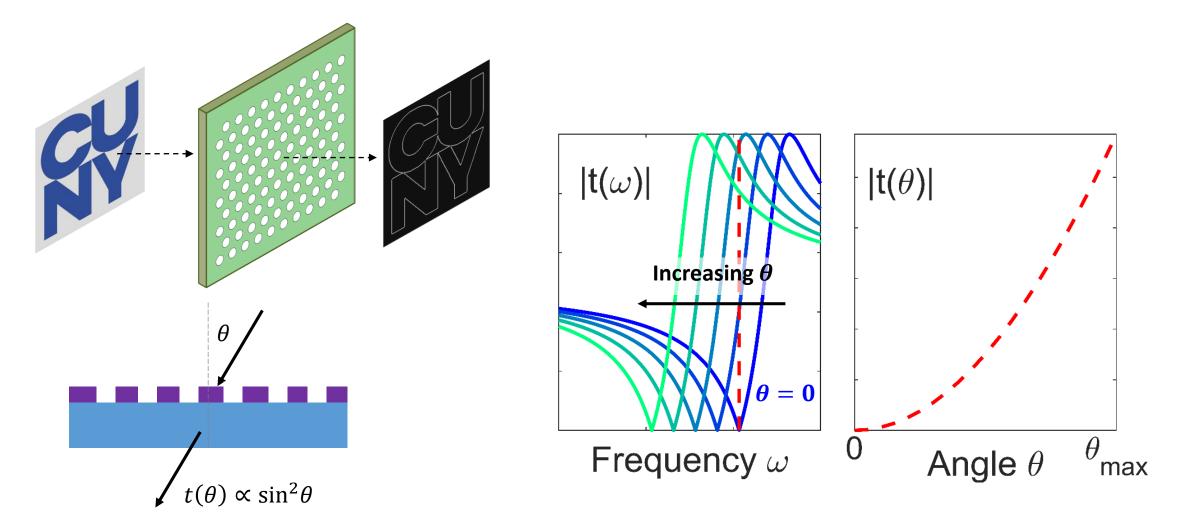
Xue et al., J. of Optics 23(12), 125004 (2021)



Cotrufo et al., *under review* arXiv : 2212.03468

Alu's group

# Standard Approach to obtain a "Laplacian Response"

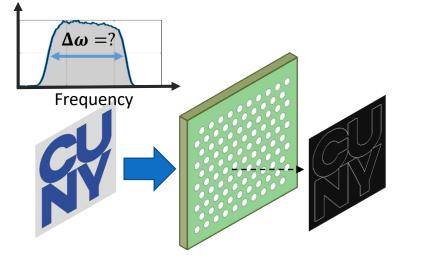


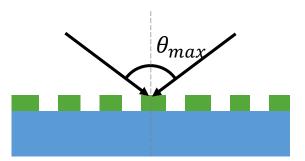
# Important Figures of Merit

#### **1. Spectral Bandwidth**

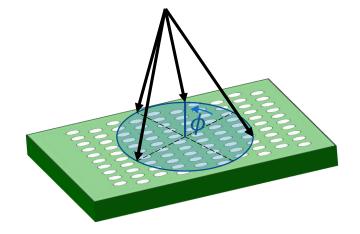
#### **2. Numerical Aperture**

3. Azimuthal Isotropy

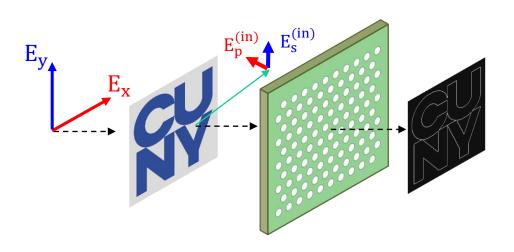


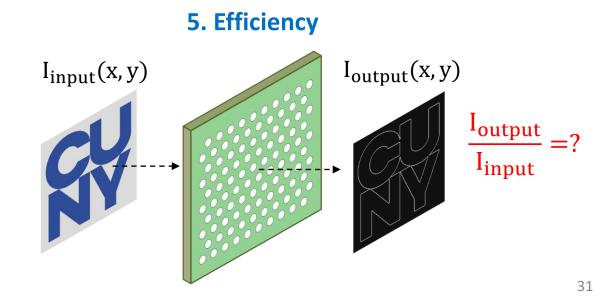


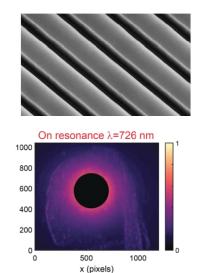
 $NA = \sin\theta_{max}$ 



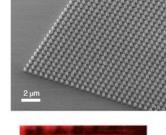
4. Polarization (in)dependence

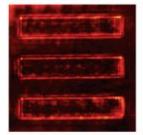




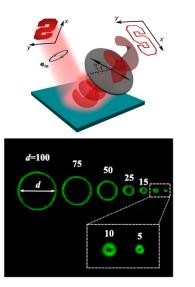


Cordaro et al., Nano Letters 19(12), 8418-8423(2019) Polman's & Alu's group

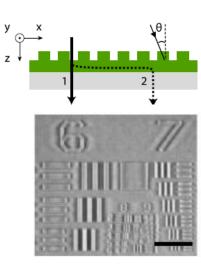




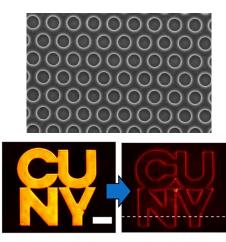
Zhou et al., Nature Photonics 14(5), 316-323(2020) Valentine's group



Zhu et al., **Nat. Comm.** 12:680 (2021) Fan's group



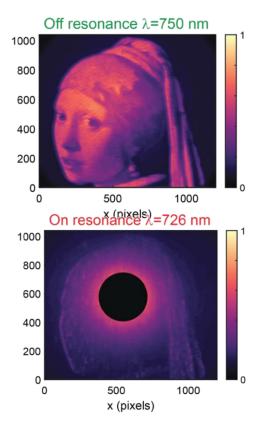
Ji et al., **Nat. Comm.** 13.1, 7848 (2022) Brongersma's group

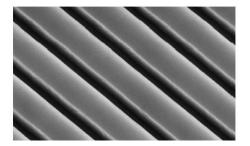


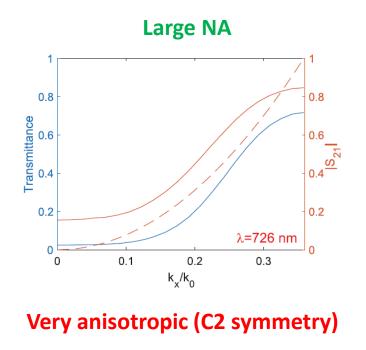
Cotrufo et al., *under review*. arXiv : 2212.03468

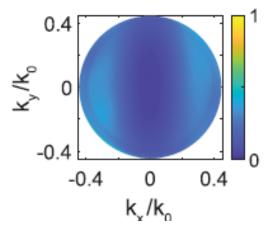
Alu's group

## EXPERIMENTAL WORKS ON 4F-LESS EDGE DETECTION

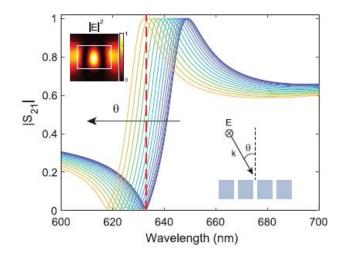




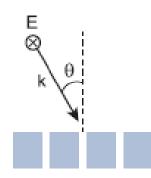




**Small Bandwidth** 

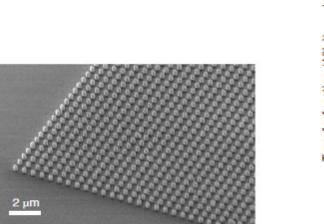


**Strongly Polarization-Dependent** 

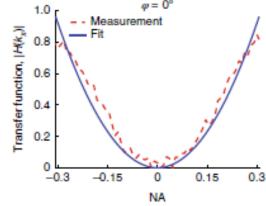


Cordaro et al., Nano Letters (2019) (Andrea Alu's and Albert Polman's groups) 33

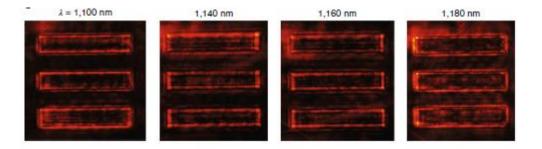
## EXPERIMENTAL WORKS ON 4F-LESS EDGE DETECTION



#### Large NA (0.32)



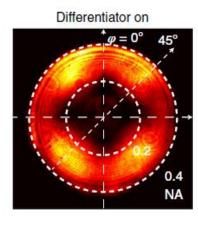
#### Large Bandwidth (~80nm)



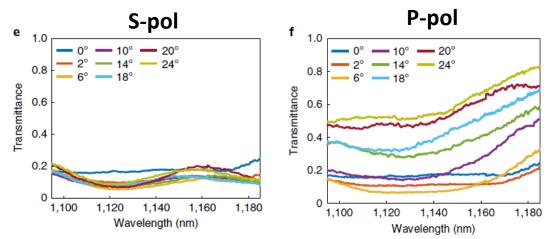
# 3

# Imp

#### Improved Isotropy (C4 symmetry)

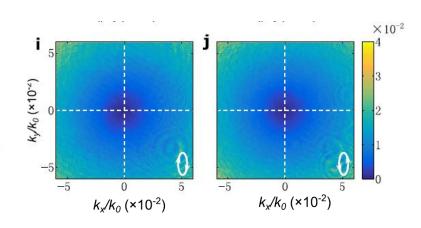


#### **Polarization-Dependent**



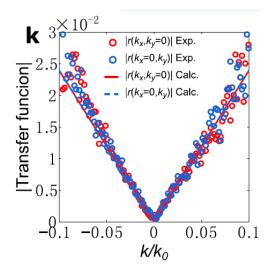
#### Zhou et al., Nature Photonics (2020) (Jason Valentine's group) 34

## EXPERIMENTAL WORKS ON 4F-LESS EDGE DETECTION



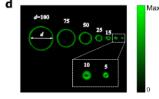
**Excellent Isotropy** 

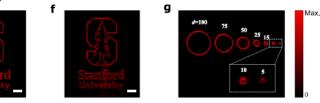
**Limited NA and Efficiency** 



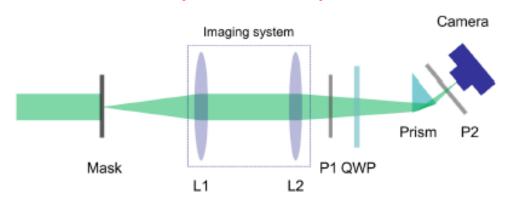
# λ=532 nm b λ=632,8 nm

#### Very Large Bandwidth

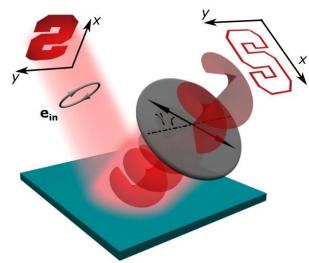




#### Polarization-Dependent, and need for polarization optics



Zhu et al., Nature Communications (2021) (Shanhui Fan's group) 35

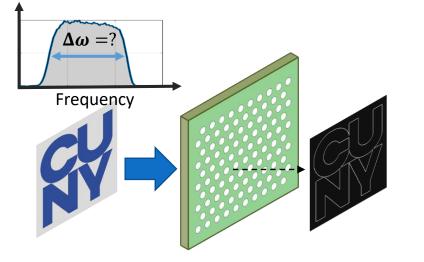


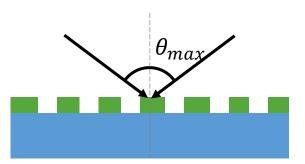
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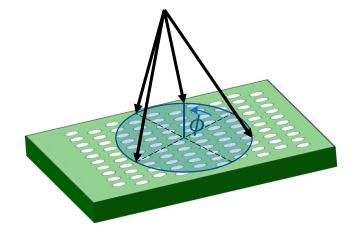
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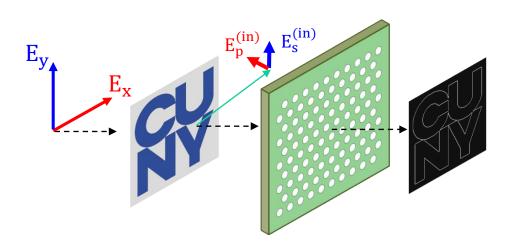


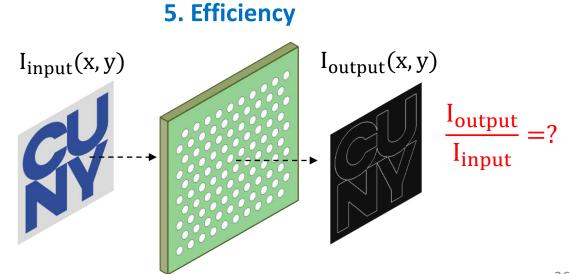


 $NA = \sin\theta_{max}$ 



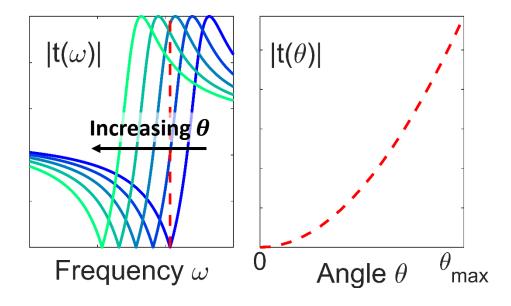
4. Polarization (in)dependence





Ideal transfer function:  $t(\theta, \phi) \propto \sin^2 \theta$ 

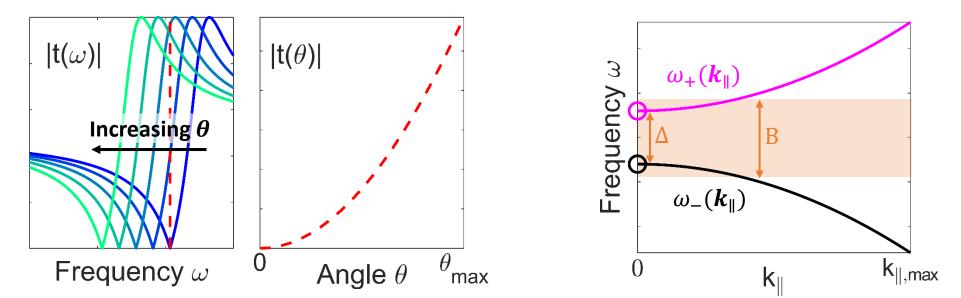
#### **Standard Approach**



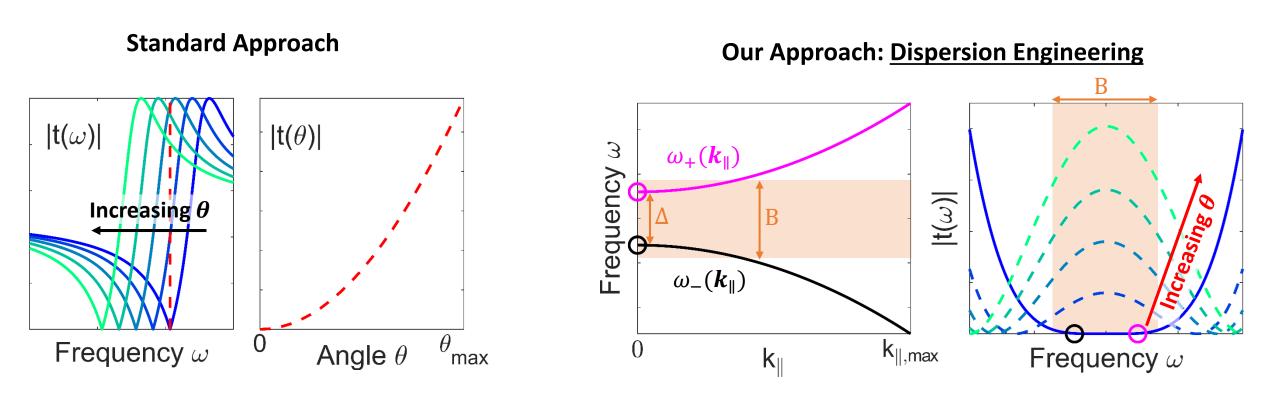
Ideal transfer function:  $t(\theta, \phi) \propto \sin^2 \theta$ 

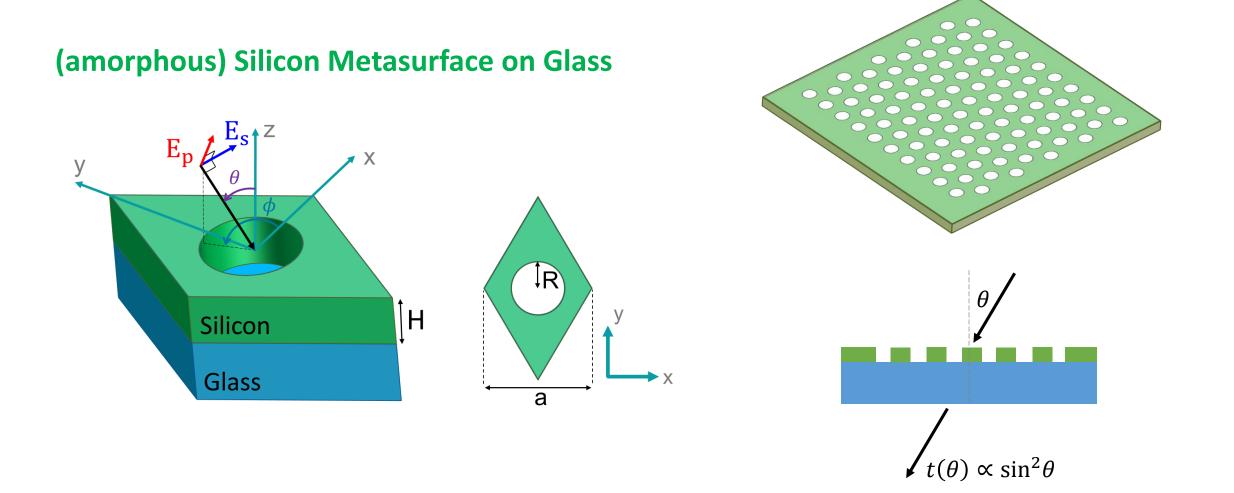
#### **Standard Approach**

#### **Our Approach: Dispersion Engineering**

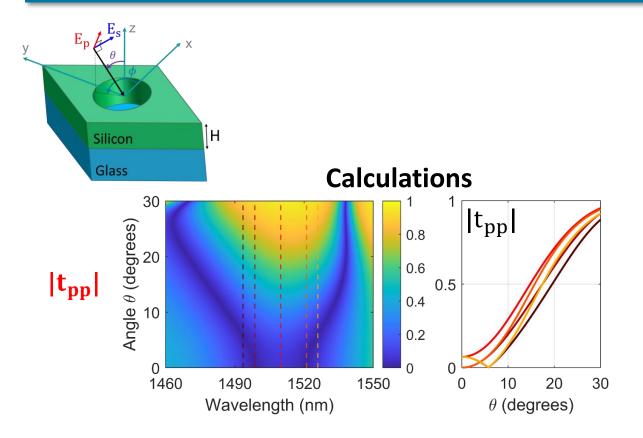


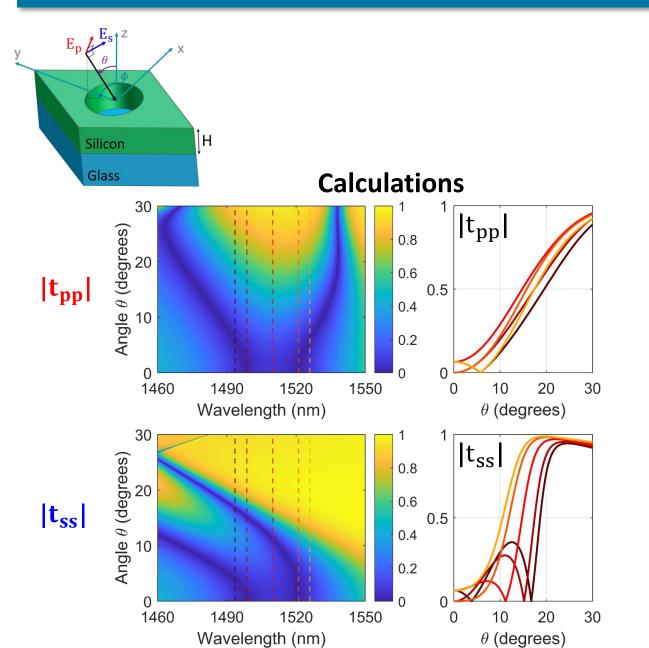
Ideal transfer function:  $t(\theta, \phi) \propto \sin^2 \theta$ 

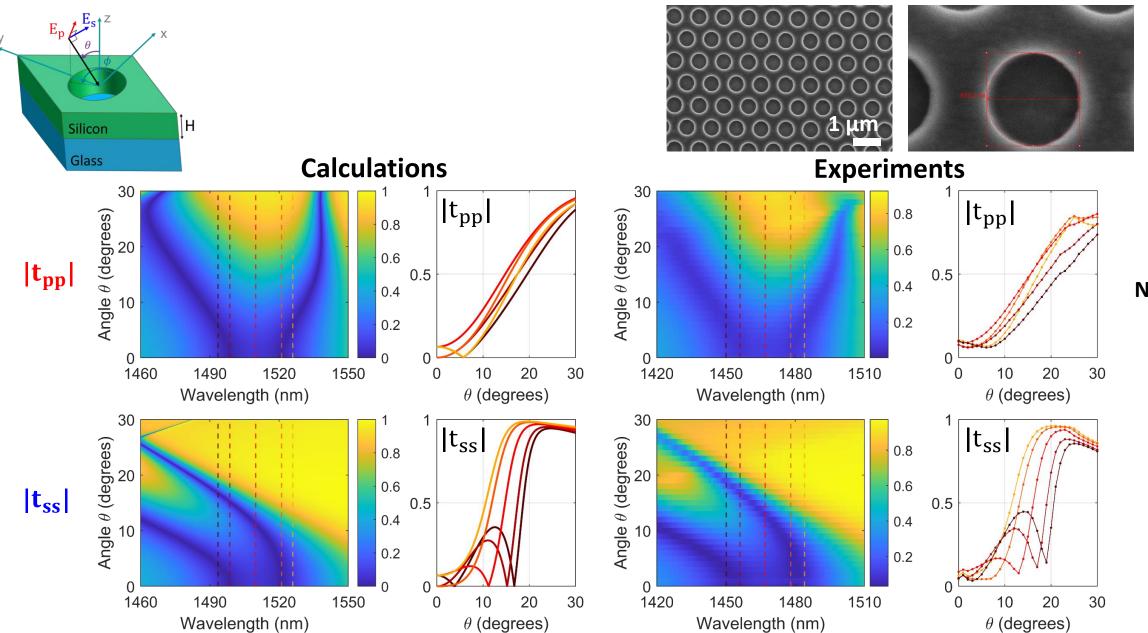




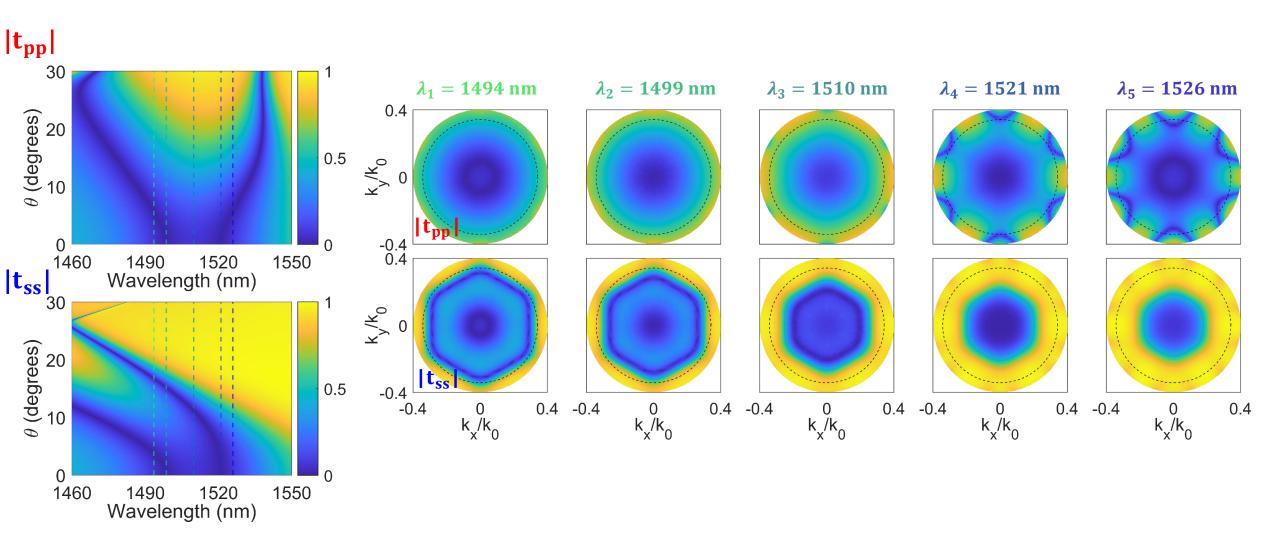
#### MC\*, A. Arora\*, S. Singh, A. Alù, under review (2023), arXiv.2212.03468 40

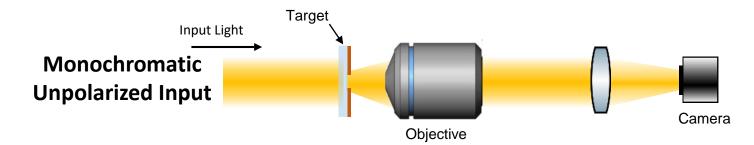


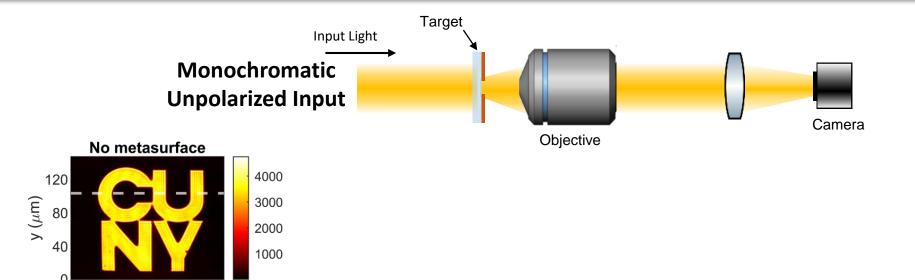


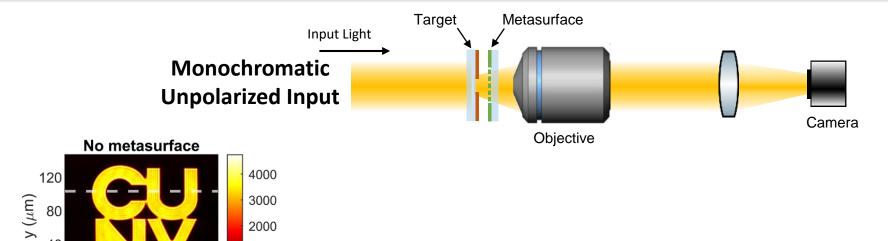


NA > 0.35





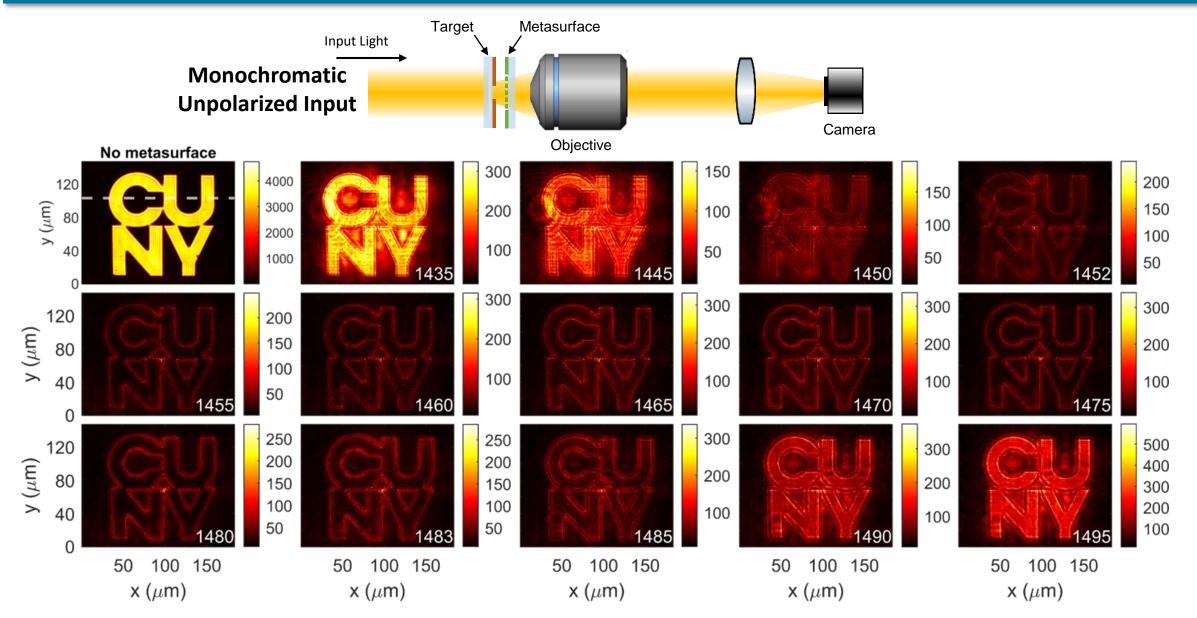




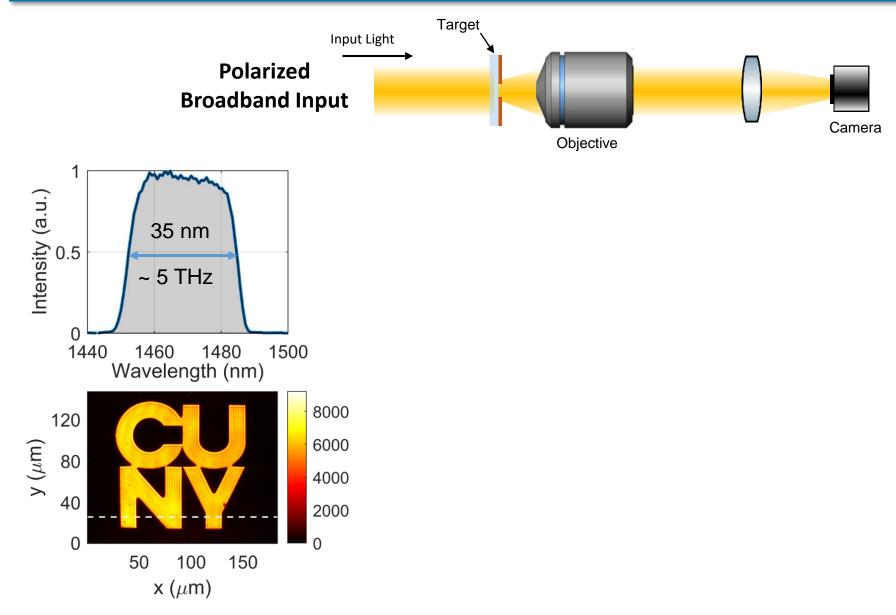
2000

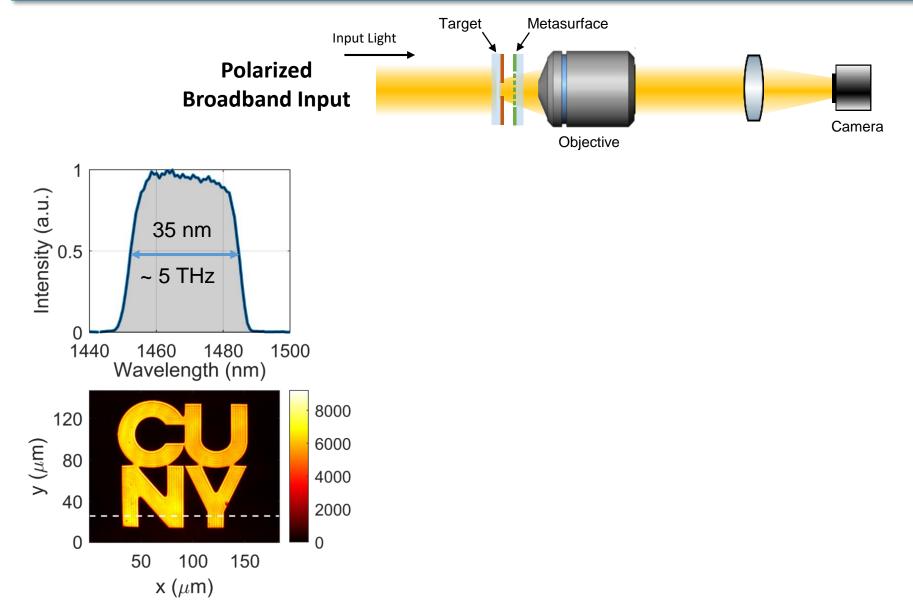
1000

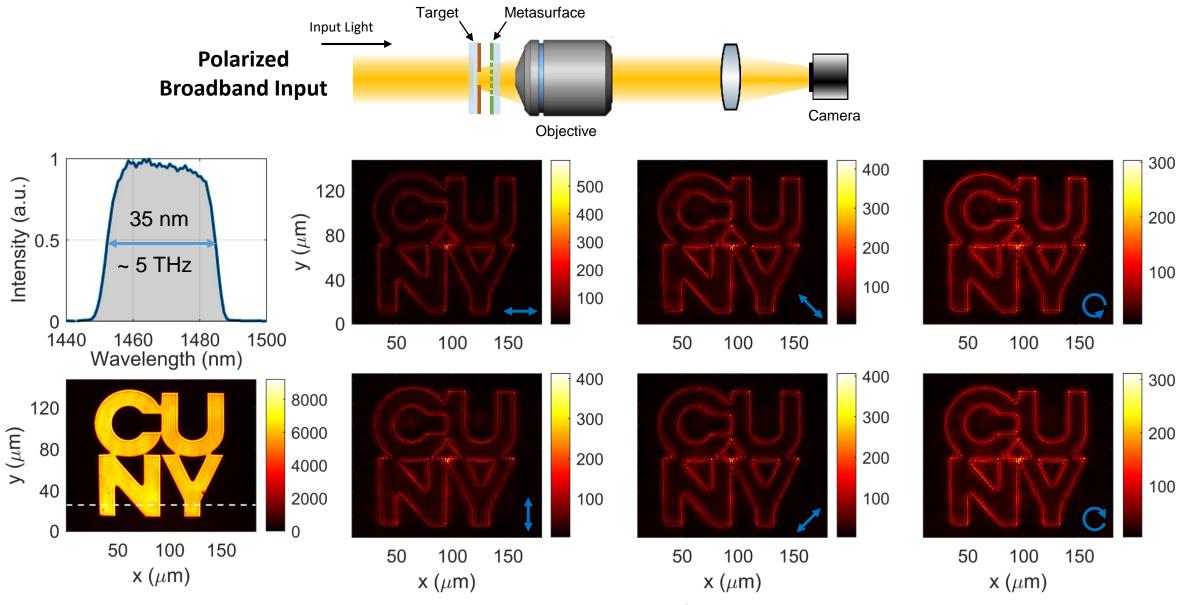
40



**MC\***, A. Arora\*, S. Singh, A. Alù, *under review* (2023), arXiv.2212.03468 48

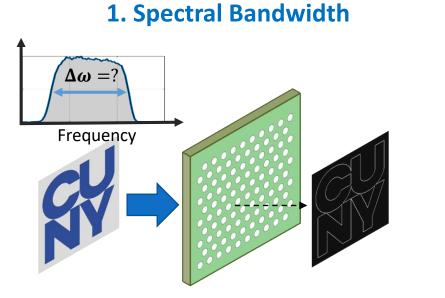






MC\*, A. Arora\*, S. Singh, A. Alù, under review (2023), arXiv.2212.03468 51

## IMPORTANT FIGURES OF MERIT

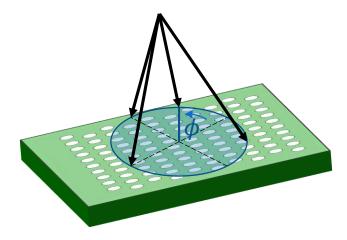


#### **2. Numerical Aperture**

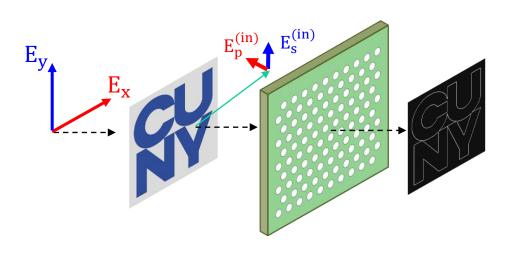
 $\theta_{max}$ 

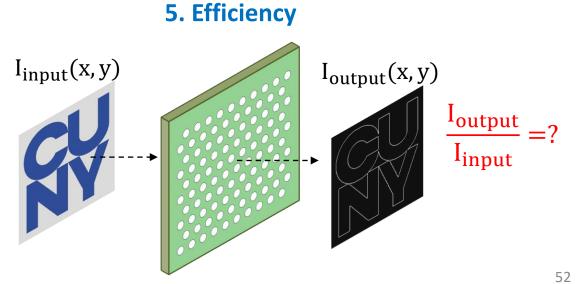
 $NA = \sin\theta_{max}$ 

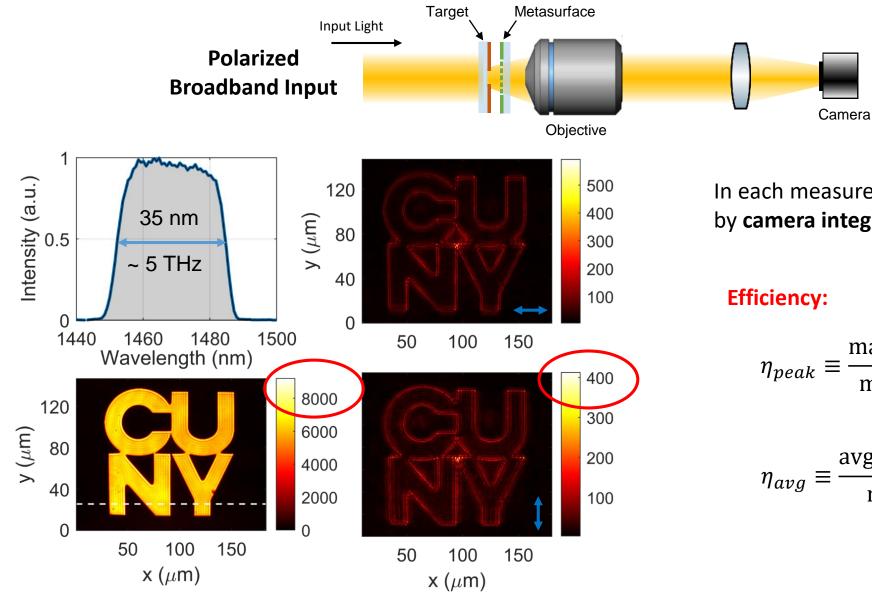
**3. Azimuthal Isotropy** 



4. Polarization (in)dependence



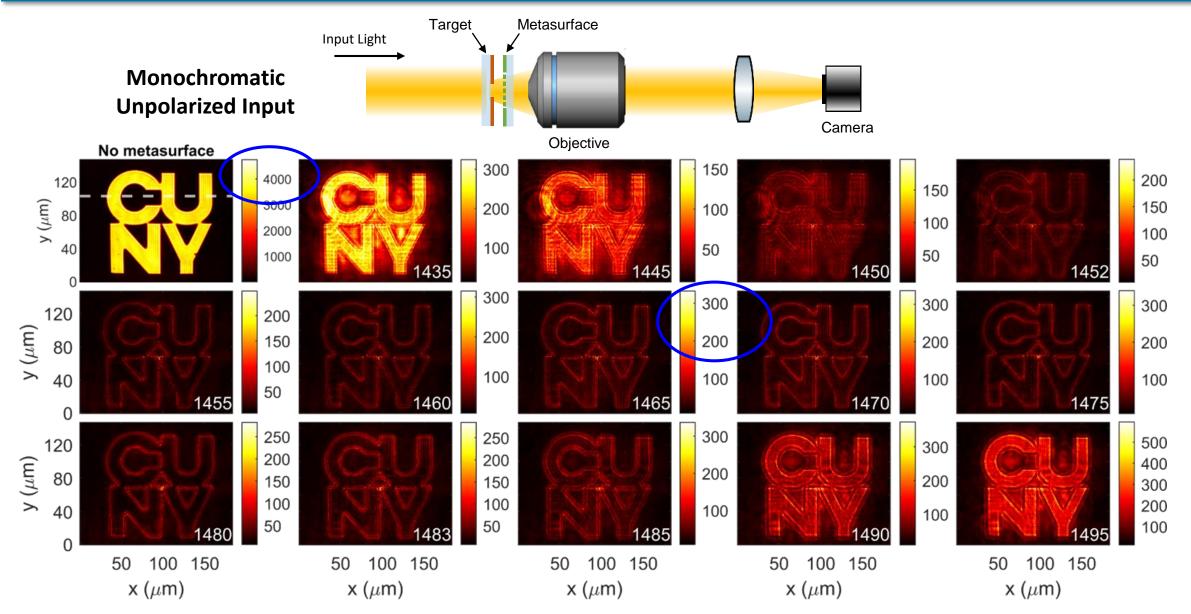




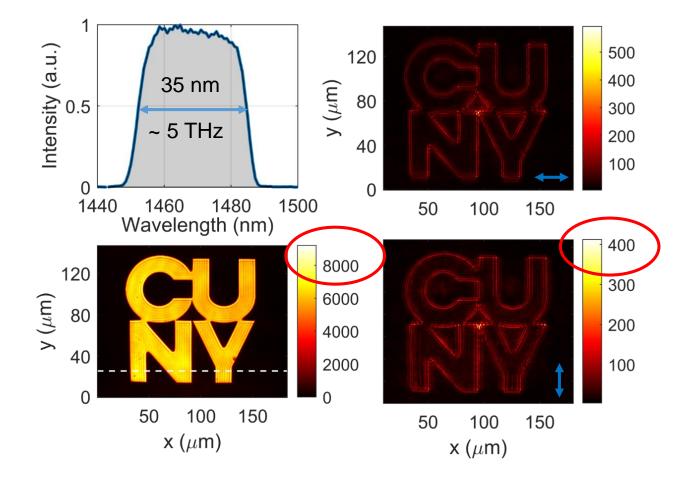
In each measurement, counts are normalized by **camera integration time** and **laser power** 

$$\eta_{peak} \equiv \frac{\max(I_{filtered})}{\max(I_{input})} \approx 4\% - 5\%$$

$$\eta_{avg} \equiv \frac{\text{avg}_{\text{edge}}(I_{filtered})}{\max(I_{input})} \approx 1 - 2\%$$



MC\*, Akshaj Arora\*, Sahitya Singh, Andrea Alù, under review (2023), arXiv.2212.03468



#### **Efficiency:**

$$\eta_{peak} \equiv \frac{\max(I_{filtered})}{\max(I_{input})} \approx 4\% - 5\%$$

$$\eta_{avg} \equiv \frac{\operatorname{avg}_{edge}(I_{filtered})}{\max(I_{input})} \approx 1 - 2\%$$

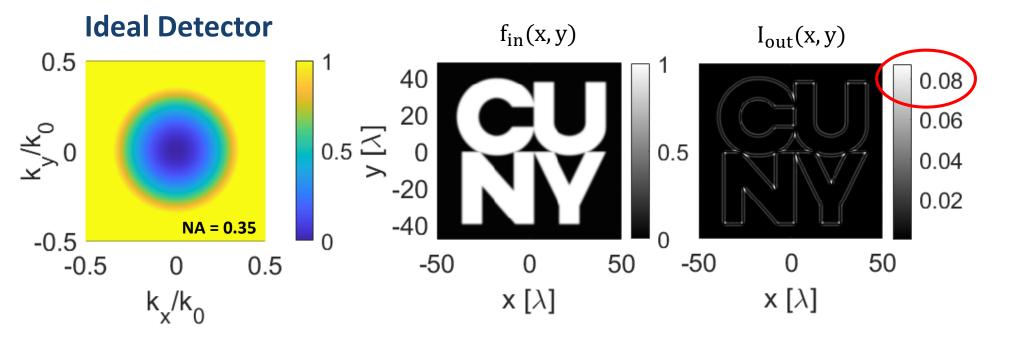
#### How good are these efficiencies?

- Efficiencies of 1% 5% sound small...
- (Reviewers do not seem to like 1-digit numbers)

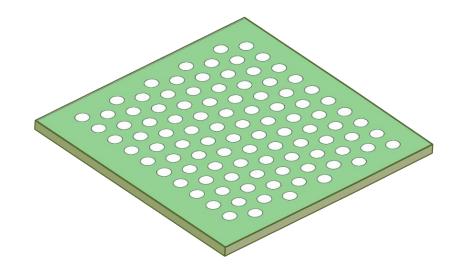
## COMPARISON WITH IDEAL EDGE DETECTOR

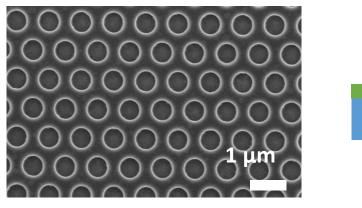
How good are these efficiencies?

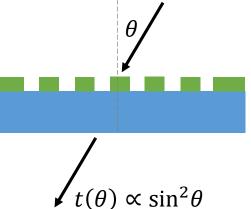
$$t_{pp}(k_x, k_y) = t_{ss}(k_x, k_y) = t_{ideal}(k_x, k_y) = \begin{cases} \left(\frac{1}{k_0^2 N A^2}\right) \cdot \left(k_x^2 + k_y^2\right) & \text{if } k_x^2 + k_y^2 \le k_0^2 N A^2 \\ 1 & \text{otherwise} \end{cases}$$



## Simultaneous Optimization of All Figures Of Merit







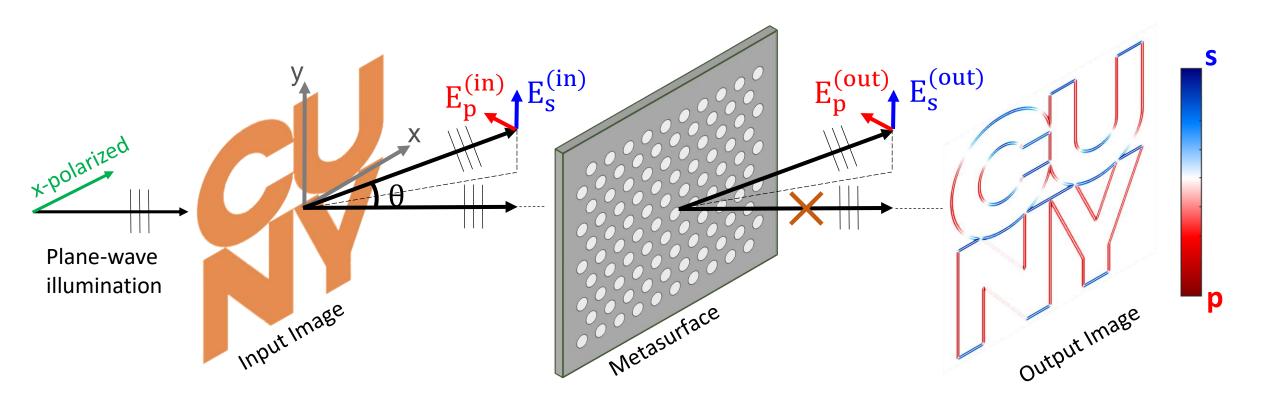
• Full Polarization-Independent

- High NA (NA > 0.35)
- Large bandwidths ( $\Delta \lambda \ge 35 \ nm \ @ \ 1500 \ nm$ )
- Very high Efficiencies Close to the maximum allowed by math!

#### High Isotropy

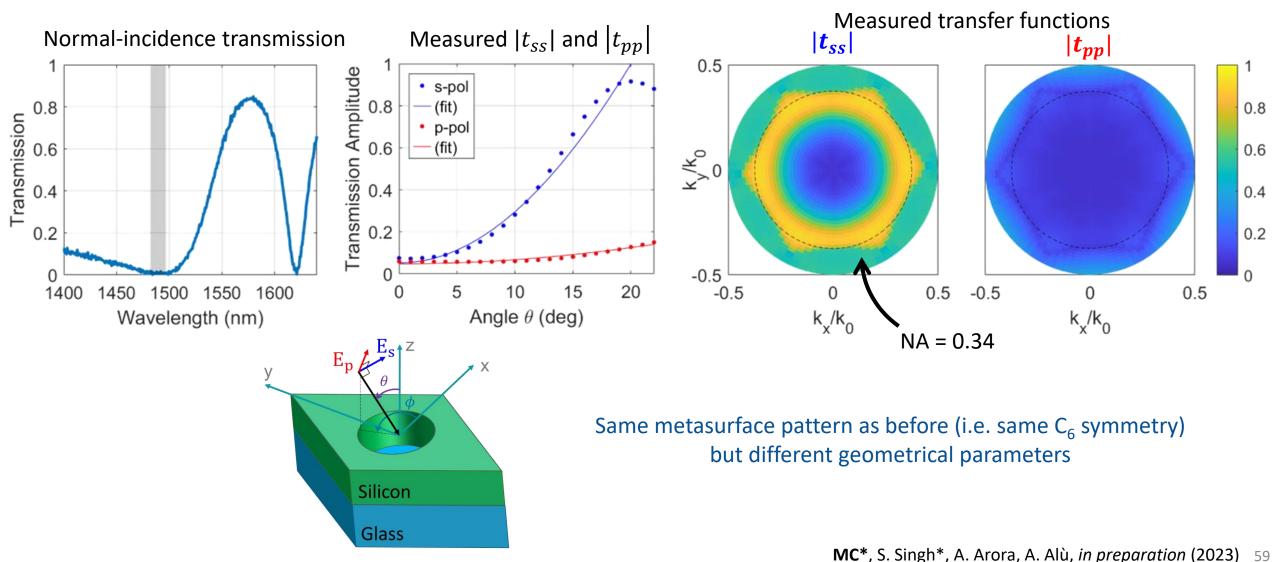
C6 symmetry  $\rightarrow$  Largest isotropy allowed by a period metasurface

## POLARIZATION-DEPENDENT EDGE-DETECTION

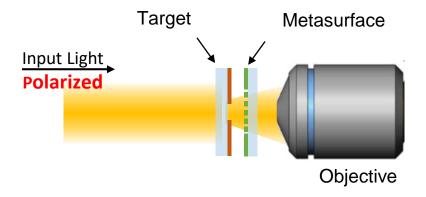


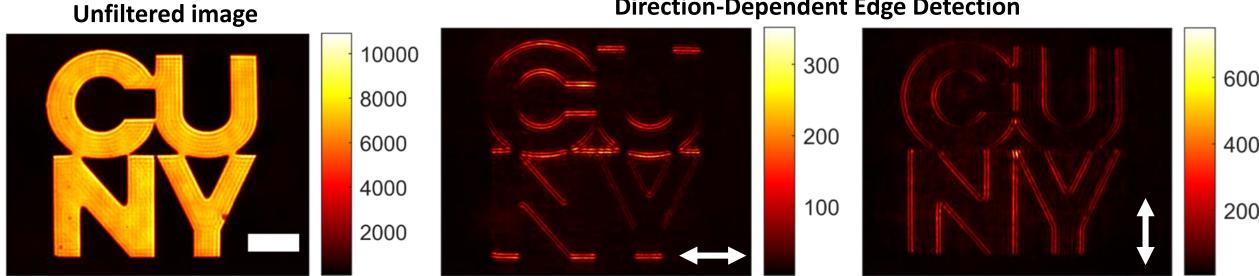
#### POLARIZATION-DEPENDENT EDGE DETECTION

## **Single-Polarization Metasurface**



### POLARIZATION-DEPENDENT EDGE DETECTION





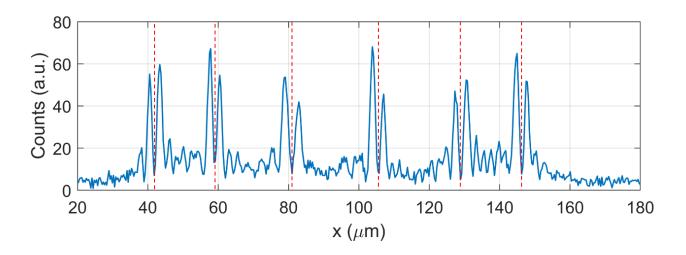
Scale bar =  $30 \,\mu m$ 

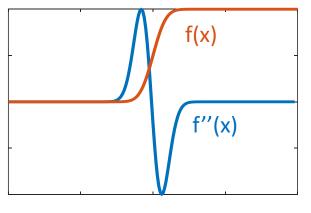
#### **Direction-Dependent Edge Detection**

 $\eta_{peak} > 3 \%$ 

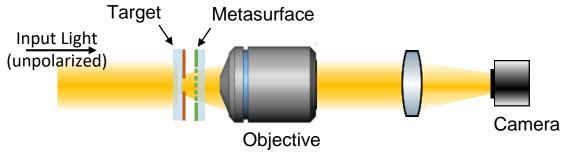
MC\*, S. Singh\*, A. Arora, A. Alù, *in preparation* (2023) 60

## Second-Order Derivative

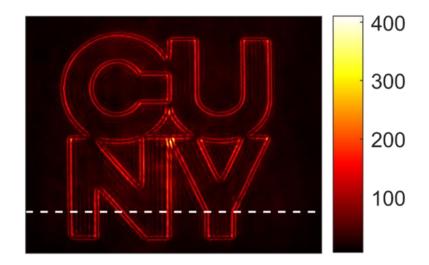




Х



#### Filtered image, unpolarized excitation

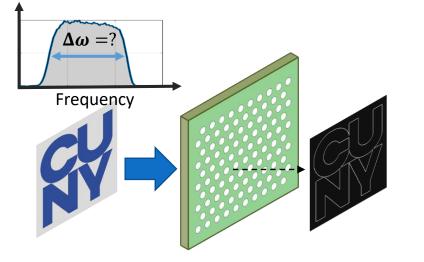


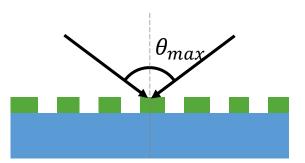
## Important Figures of Merit

#### **1. Spectral Bandwidth**

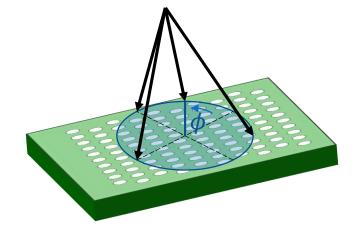
#### **2. Numerical Aperture**

**3. Azimuthal Isotropy** 

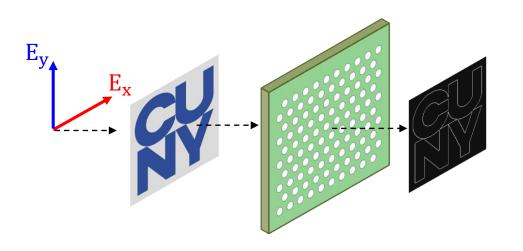


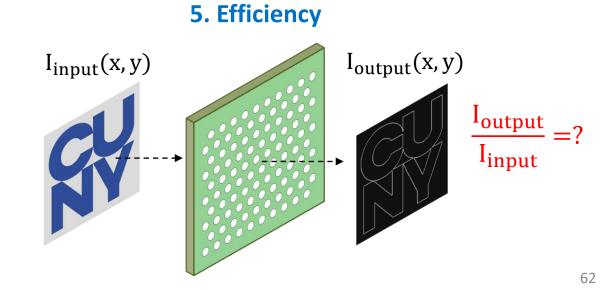


 $NA = \sin\theta_{max}$ 

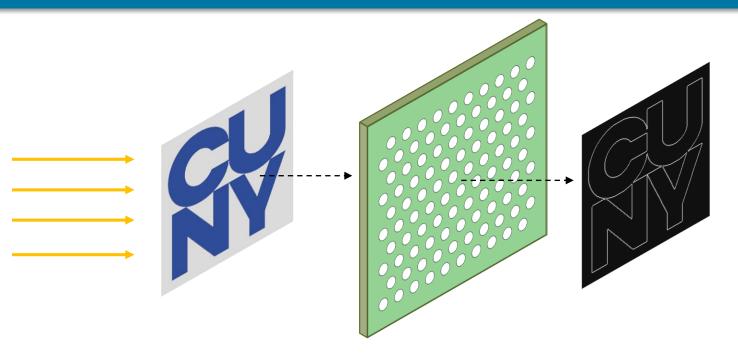


4. Polarization (in)dependence





### COHERENT VS INCOHERENT ILLUMINATION

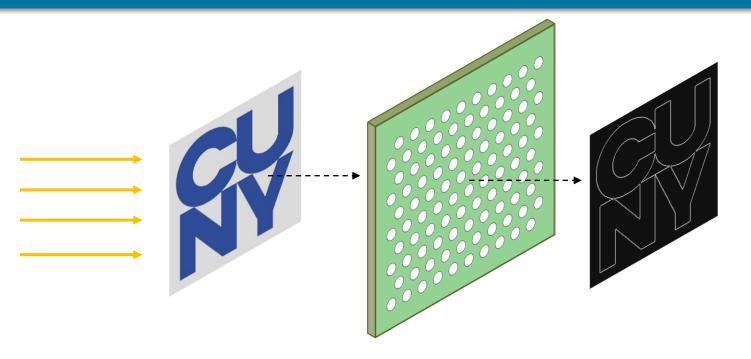


- The image processing is obtained via coherent superposition of several waves diffracted by the image
- The input illumination must have some degree of <u>spatial coherence</u>

• Wang et a;, Compact incoherent image differentiation with nanophotonic structures. ACS Photonics, 7(2), pp.338-343 (2020)

• Zhang et al. Incoherent Optoelectronic Differentiation Based on Optimized Multilayer Films. Laser & Photonics Reviews 16.9: 2200038 (2022)

### COHERENT VS INCOHERENT ILLUMINATION



**Coherent illumination:** we can manipulate the field amplitude

**Incoherent illumination:** we need to work "directly" with the intensity

$$\begin{split} I_{in}(\mathbf{k}_{\parallel}) &\to I_{out}(\mathbf{k}_{\parallel}) = T(\mathbf{k}_{\parallel})I_{in}(\mathbf{k}_{\parallel}) \\ \text{Can we get } T(\mathbf{k}_{\parallel}) \propto \left|\mathbf{k}_{\parallel}\right|^{2} ? \end{split}$$

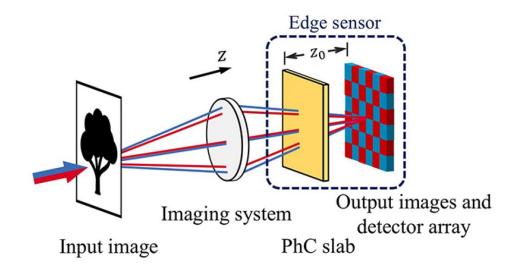
 $T(\mathbf{k}_{\parallel}) \propto \int t^*(\boldsymbol{q}) t(\boldsymbol{k}_{\parallel} + \boldsymbol{q}) d\boldsymbol{q} \implies T(\mathbf{k}_{\parallel})$  always maximizes at  $\mathbf{k}_{\parallel} = \mathbf{0}$ 

• Wang et a;, Compact incoherent image differentiation with nanophotonic structures. ACS Photonics, 7(2), pp.338-343 (2020)

• Zhang et al. Incoherent Optoelectronic Differentiation Based on Optimized Multilayer Films. Laser & Photonics Reviews 16.9 : 2200038 (2022)

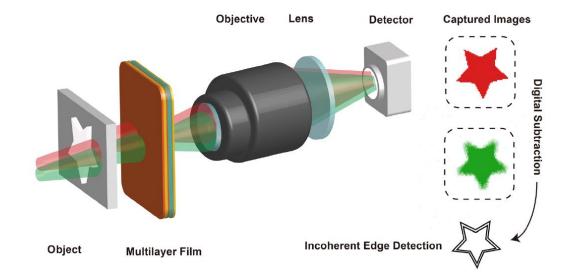
## EDGE DETECTION UNDER INCOHERENT ILLUMINATION

Key idea: Process the <u>same</u> image with <u>two different tailored transfer functions</u> (e.g. same metasurface but different wavelengths), and then digitally subtract the two images



Wang et a;, *Compact incoherent image differentiation with nanophotonic structures*. **ACS Photonics**, *7*(2), pp.338-343 (2020).





Zhang et al. Incoherent Optoelectronic Differentiation Based on Optimized Multilayer Films. Laser & Photonics Reviews 16.9 : 2200038 (2022).

#### Jason Valentine's group

# OUTLINE

### 1. Introduction

- Why do we need analog image processing?
- How can metamaterials and metasurfaces perform image processing?

## 2. Spatial Image Processing: State of the art and Main challenges

- What are the different approaches used?
- Which figures of merit we need to improve to go from proof-of-principles to useful devices?
- How to overcome the need for coherent illumination?

## 3. What's next?

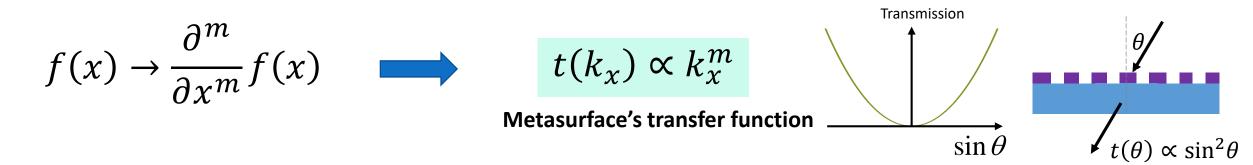
- Spatio-temporal image processing
- More complex mathematical operations
- Reconfigurable devices

## 4. (Open) Discussion

- Beyond the hype: What are (if any) the <u>real</u> benefits of metasurfaces in image processing?
- Are metasurface-based image-processing devices always worth the effort?

### Adding Temporal Processing

#### So far we have considered only spatial operations



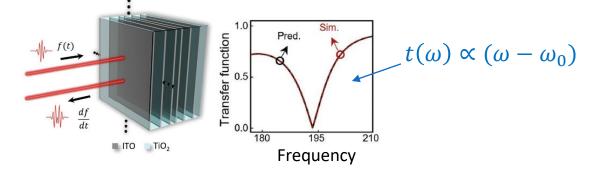
$$f(x,t) \to \hat{L}_{x,t} f(x,t) \qquad \hat{L}_{x,t} = C_t \partial_t^m + C_x \partial_x^n \qquad \longrightarrow \qquad t(k_x,\omega) \propto C_x k_x^m + C_t \omega^n$$

$$\hat{L}_{x,t} = \begin{cases} \partial_t^m \\ C_t \partial_t^m + C_x \partial_x^n \\ \vdots \vdots \end{cases}$$

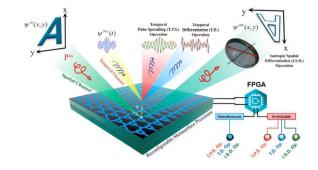
## Adding Temporal Processing

#### Analog Temporal Derivation $\partial_t$

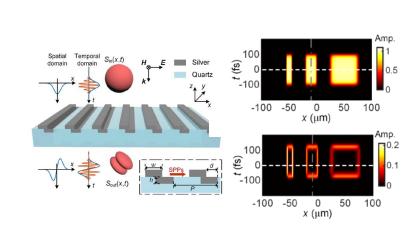
#### Switching between $\partial_t$ and $\partial_x$



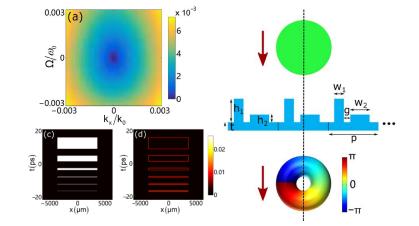
T. Knightley et al., Advanced Optical Materials 11.5: 2202351 (2023).



A. Momeni at al., Carbon, 186, 599-611 (2022)



C. Xu, et al., Optics Letters, 46, 17, 4418-4421 (2021)



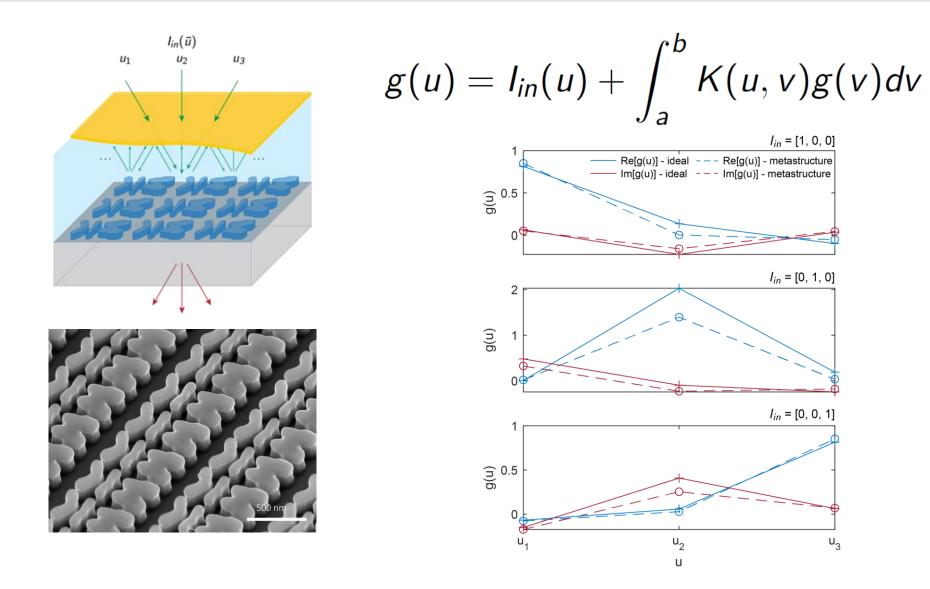
 $\varepsilon_{m,1}, h_{m,1} \overbrace{\varepsilon_{d}, h_{d}}^{mc} \overbrace{\varepsilon_{sup}}^{mc} E_{refl} \overbrace{z}^{z} e_{refl} x_{refl}$ 

L. L. Doskolovich, et al., **Phys. Rev. A**, 106, 3, 033523 (2022)

#### Linear combination: $C_t \partial_t + C_x \partial_x$

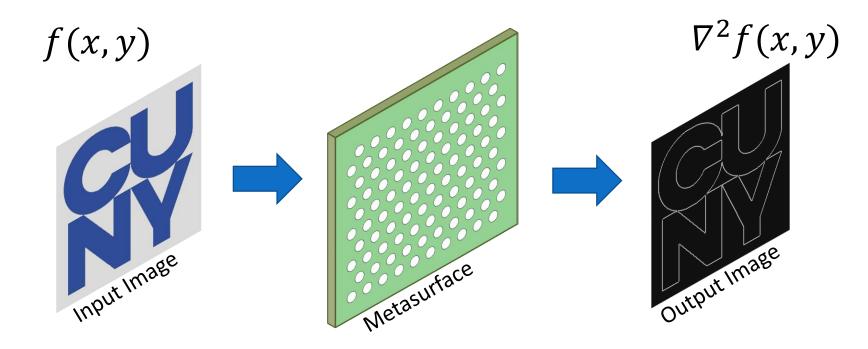
J. Huang et al., Laser & Photonics Reviews, 16, 5, p. 2100357 (2022)

## MORE COMPLEX OPERATIONS



A. Cordaro, B. Edwards, V. Nikkhah, A. Alù, N. Engheta, A. Polman, *Nature Nanotechnology* (2023)

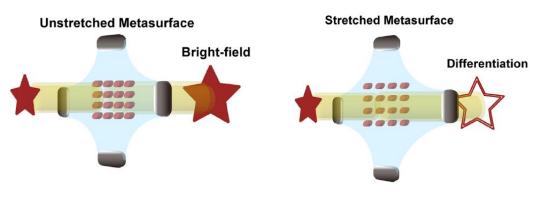
## RECONFIGURABLE METASURFACES



- Most of the devices discussed so far are "static": once fabricated, they will always perform the same operation
- For practical applications, we need some <u>reconfigurability</u>

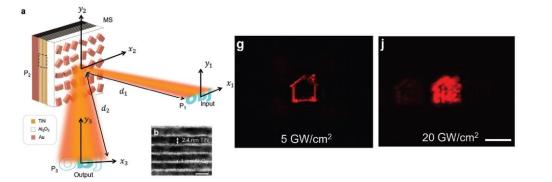
## Reconfigurable Metasurfaces

#### Strain



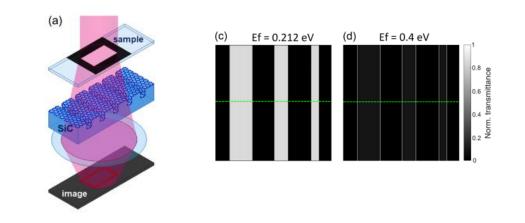
Zhang et al. Nano Letters 21.20: 8715-8722 (2021).

#### Nonlinear tuning

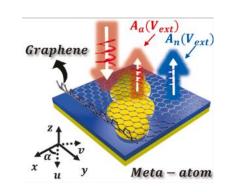


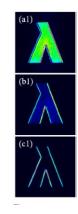
Zhou et al., Advanced Functional Materials 32.34, 2204734 (2022).

### **Electrical Tuning**



#### Khodasevych et al. Optical Materials Express 13.5: 1475-1487 (2023).





Qiushi, et al., Nanophotonics 11.9: 2085-2096 (2022).

Xiao et al. Optics Letters 47.4: 925-928 (2022)

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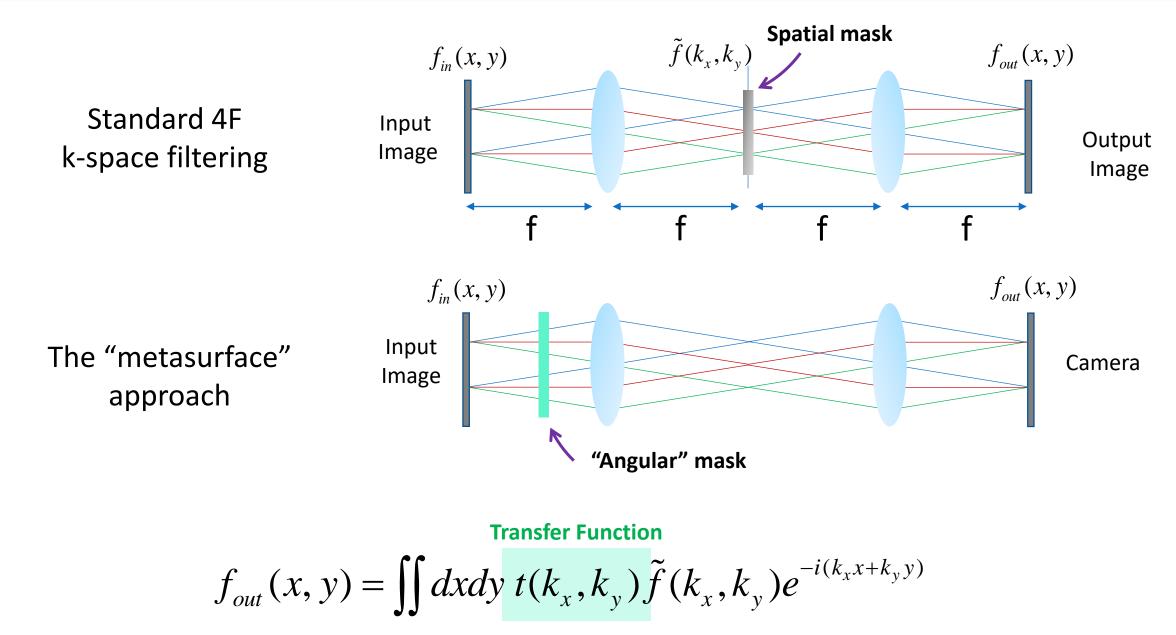
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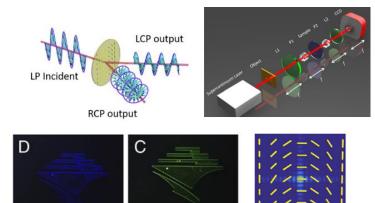
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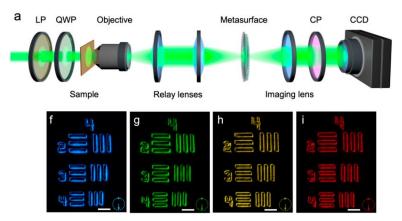
## 4F OR NOT 4F? - THIS IS THE QUESTION



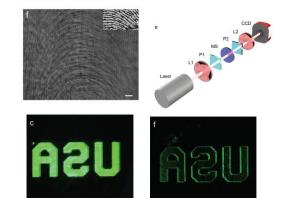
## EDGE DETECTION WITH 4F + METASURFACES



Junxiao Zhou et al. PNAS 116.23 (2019): 11137-11140.



Pengcheng Huo et al., Nano Letters 20.4 (2020): 2791-2798.



Junxiao Zhou et al., National science review 8.6 (2021)

+

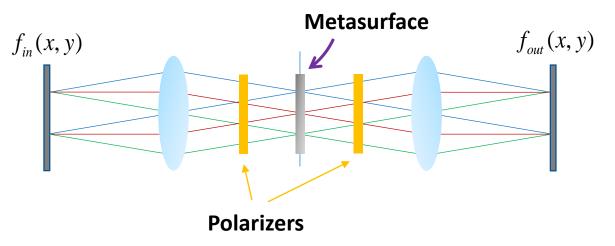
**Crossed polarizers** 

**Pros:** 

- Metasurfaces offer **better control on amplitude and phase** with respect to a binary mask
- Large bandwidths, because spectral and angular response are "decoupled"

#### Cons:

- Require  $4F \rightarrow$  cannot be miniaturized
- Additional requirement of crossed polarizers



Pancharatnam–Berry-phase metasurfaces

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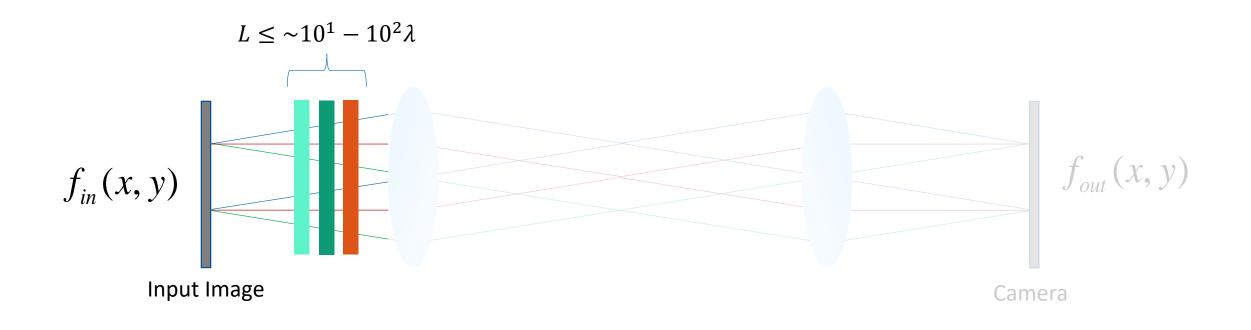
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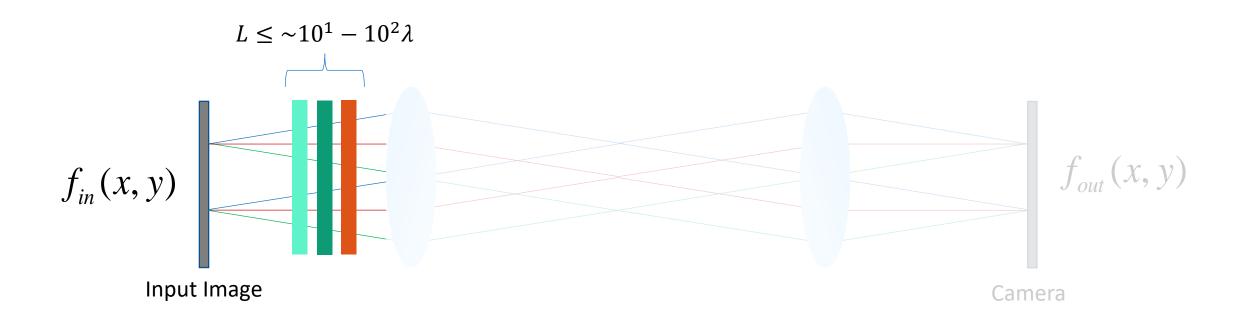
- Beyond the hype: What are (if any) the <u>real</u> benefits of metasurfaces in image processing?
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# BEYOND THE HYPE



- **Compactness**  $\rightarrow$  No need for a 4F system (in principle)
- Scalability → Multiple operations could be cascaded, without the need of additional optics
- More tolerance on spatial alignment
- .... More?

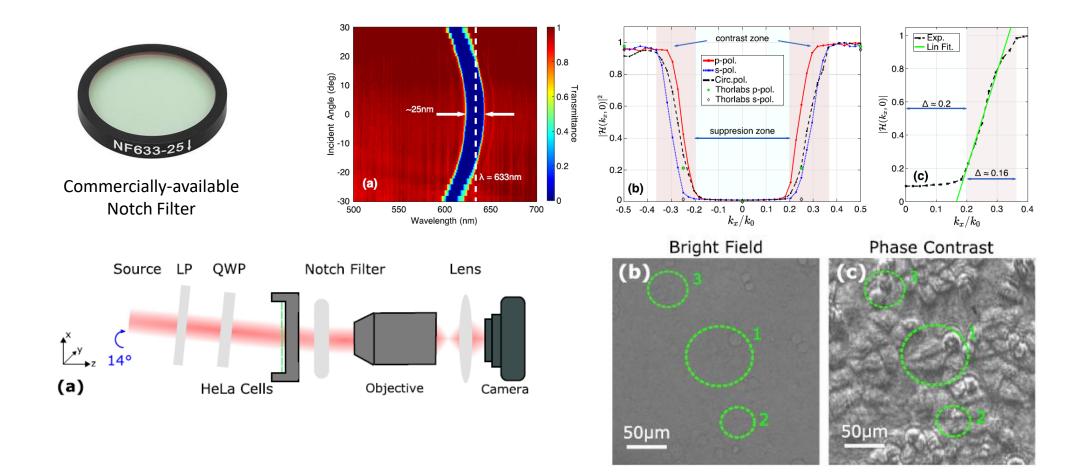
# BEYOND THE HYPE



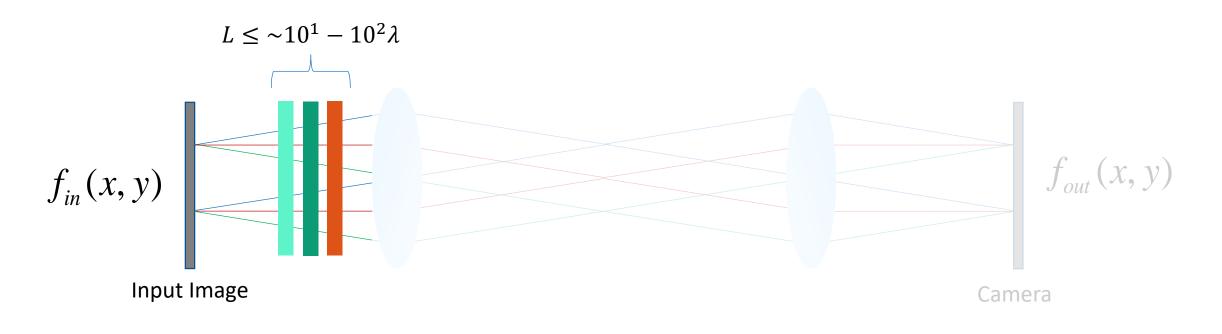
- **Compactness**  $\rightarrow$  No need for a 4F system (in principle)
- Scalability → Multiple operations could be cascaded, without the need of additional optics
- More tolerance on spatial alignment
- .... More?

### BULKIER APPROACHES

#### Are metasurface-based image-processing devices always worth the effort?



# BEYOND THE HYPE



- Compactness
- Scalability
- More tolerance on spatial alignment

#### No free lunch in the universe:

- Many trade-offs between crucial figures of merit
- (so far) Limited set of operations demonstrated
- Limited reconfigurability

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# ACKNOWLEDGEMENTS



Andrea Alù



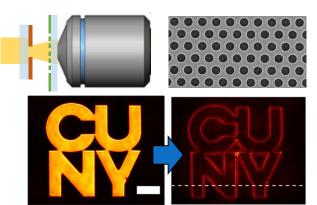






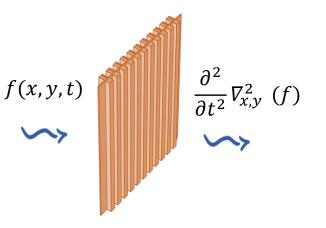
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