

Flat and Conformal Optics Based on Dielectric Metasurfaces

Presented by:



The logo for the Optical Material Studies Technical Group, featuring the letters 'OSA' in a stylized purple font. The letter 'A' is unique, with a light blue triangle pointing to the right from its base.

Optical
Material Studies
Technical Group

- Mission: Networking and activities related to Optical Materials
- Webinars, seminars & special events (e.g. Special lunch presentation, poster session, networking events at FiO, CLEO conferences)
- You are welcome to join the committee and propose new activities
- Contact: Garo Khanarian, gkhanarian@gmail.com
tel: 908 868 4546(M)
- More information at: www.osa.org/opticalmaterialstudiesTG

Committee

<u>Name</u>	<u>Affiliation</u>	<u>Title</u>
Garo Khanarian	BASF	Chair
Stephen H. Foulger	Clemson University	Vice Chair
David J. DiGiovanni	OFS Laboratories	Advisor
Mark G. Kuzyk	Washington State University	Advisor
Robert A. Stegeman	Nuburu	Hot Topics
Robert A. Norwood	University of Arizona	Incubator Organizer
Shekhar Guha	US Air Force Research Laboratory	Networking Organizer
Wei Lee	National Chiao Tung University	Optical Groups Liaison
Yichen Liang	MS&T	Social Media Organizer
Ho Wai Howard Lee	Baylor University	Webinar Organizer
Zhi M. Liao	Lawrence Livermore National Laboratory	Webinar Organizer

Webinar

"Flat and Conformal Optics Based on Dielectric Metasurfaces"

by Prof. Andrei Faraon, Caltech on Wednesday November 9th , 2016 at 3 PM , EST.

Andrei Faraon, *California Institute of Technology*



Webinar

" Material Science View of Grinding and Polishing of Laser Glass Optics"

by Dr. Tayyab Suratwala, Lawrence Livermore Lab, December 2, 2016 12:00 noon EST

Tayyab Suratwala, *Lawrence Livermore National Laboratory*



"Flat and Conformal Optics Based on Dielectric Metasurfaces"

by Prof. Andrei Faraon, Caltech on Wednesday November 9th , 2016 at 3 PM , EST.

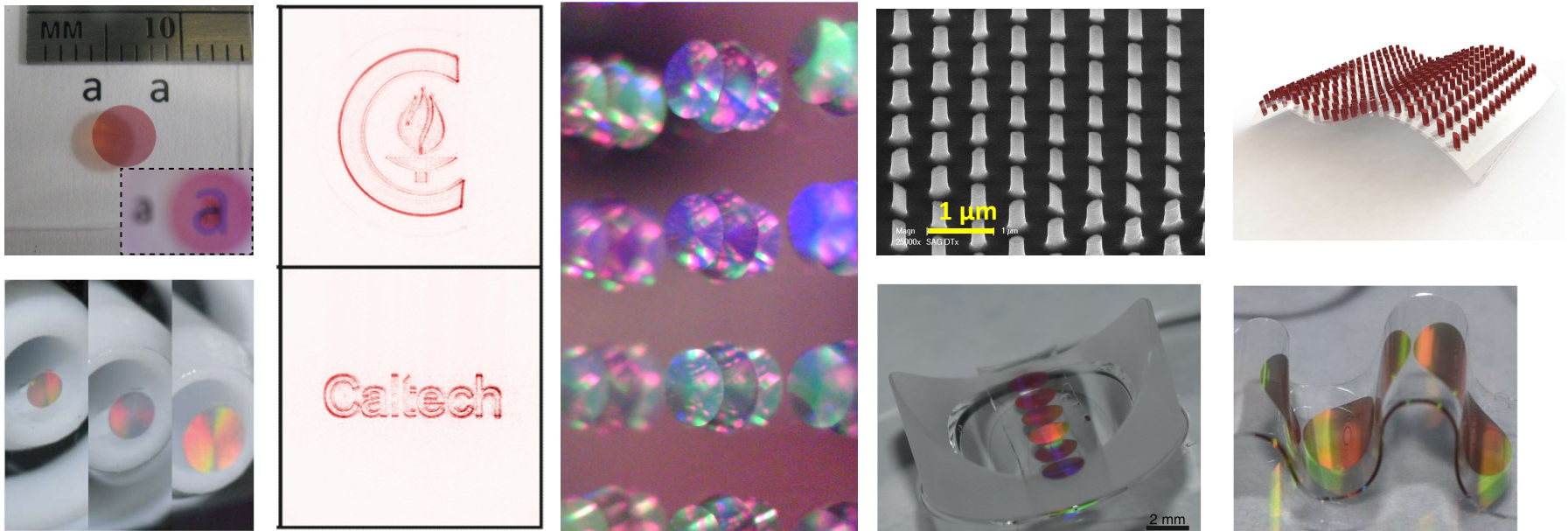
Andrei Faraon, *California Institute of Technology*



Biography of speaker:

Dr. Andrei Faraon is an Assistant Professor of Applied Physics, Materials Science and Medical Engineering at California Institute of Technology. After earning a B.S. degree in physics with honors in 2004 at California Institute of Technology, he received his M.S. in Electrical Engineering and PhD in Applied Physics both from Stanford University in 2009. At Stanford, Dr. Faraon was involved with seminal experiments on quantum optics using single indium arsenide quantum dots strongly coupled to photonic crystal cavities in gallium arsenide. After earning his PhD, Dr. Faraon spent three years as a postdoctoral fellow at Hewlett Packard Laboratories. At HP he was involved with pioneering experiments on diamond quantum photonic devices coupled to solid-state spins. He demonstrated the first nano-resonators coupled to single nitrogen vacancy centers in mono-crystalline diamond.

Flat and Conformal Optics With Dielectric Metasurfaces



Andrei Faraon

T.J. Watson Laboratory of Applied Physics & Kavli Nanoscience Institute

OSA Webinar, November 9 2016

Acknowledgements



Faraon group: Dr. Amir Arbabi, Yu Horie, Mahsa Kamali, Ehsan Arbabi, Leon Ding (UG), Alex Ball (UG)

Collaborators: Dr. David Fattal (HP/Leia Inc.), Dr. Seunghoon Han (Samsung), Dr. Mahmood Bagheri (JPL), Prof. W.E. Moerner (Stanford), Prof. Gary Brooker (Hopkins), Alan Willner (USC), Oscar Bruno (Caltech)



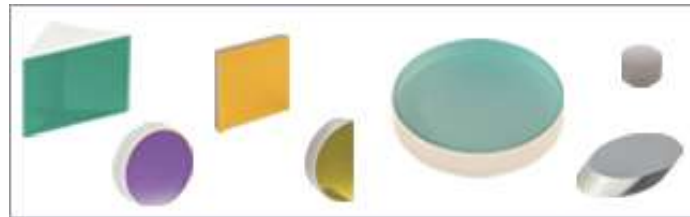
Caltech

Free space optical elements

Lenses



Mirrors



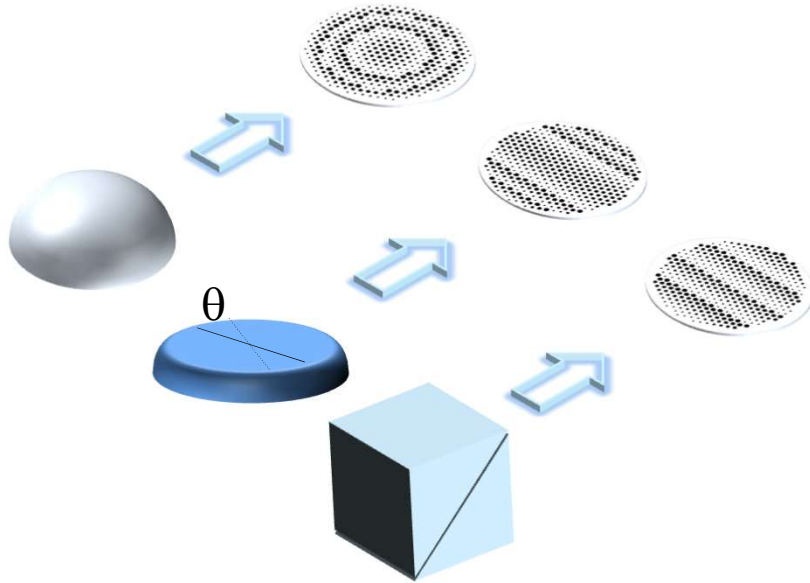
Beam-splitter



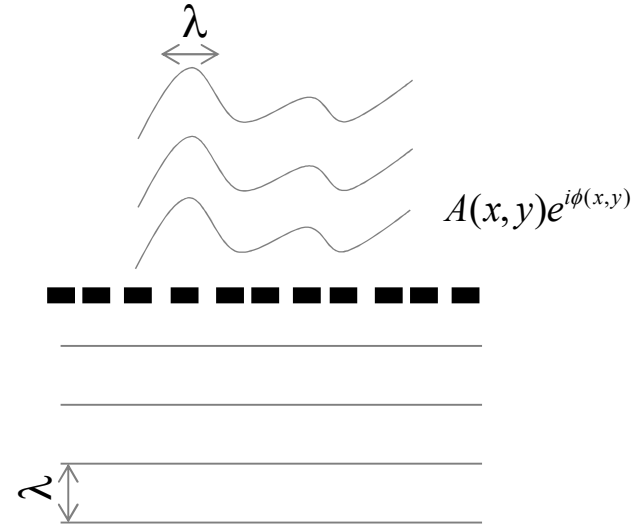
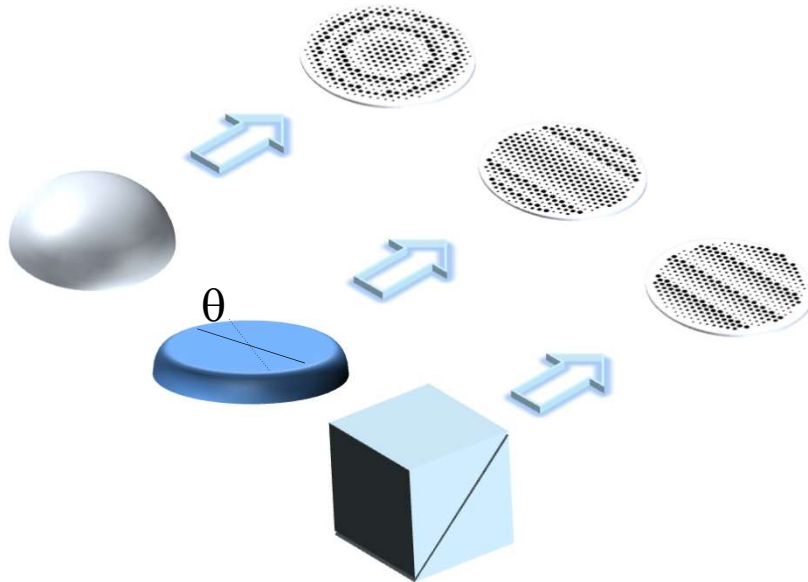
Polarizers



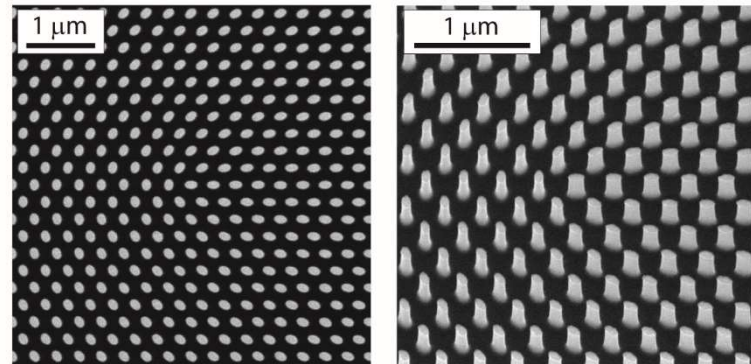
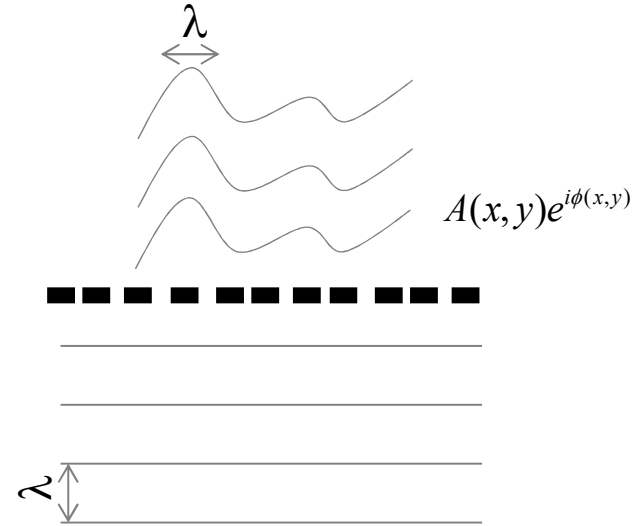
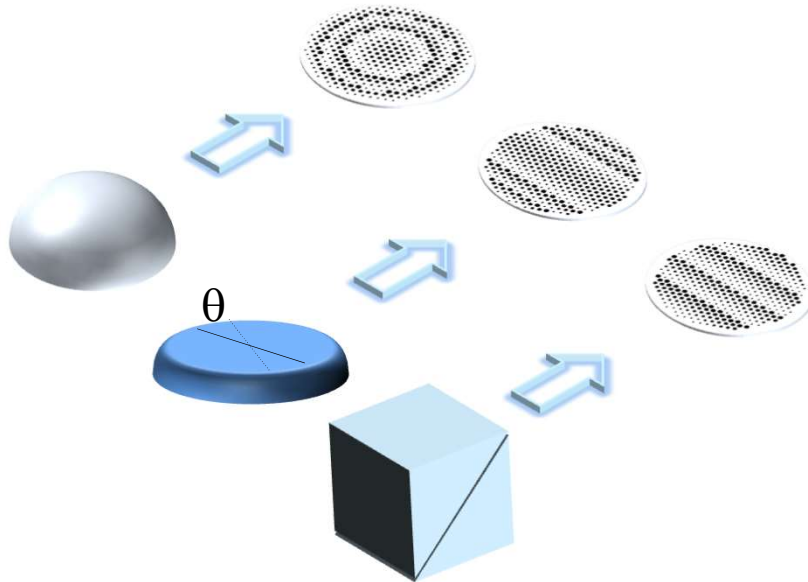
Flat optics: a new paradigm for optical systems



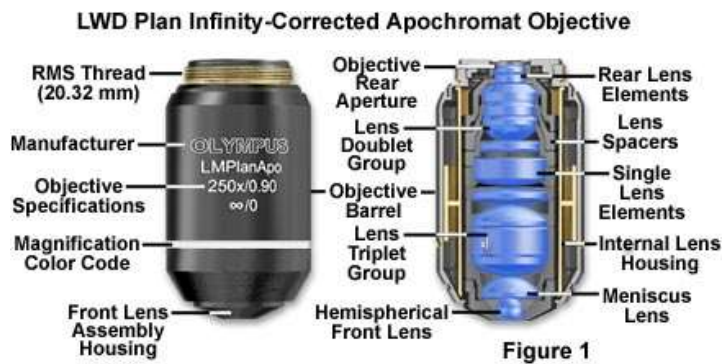
Flat optics: a new paradigm for optical systems



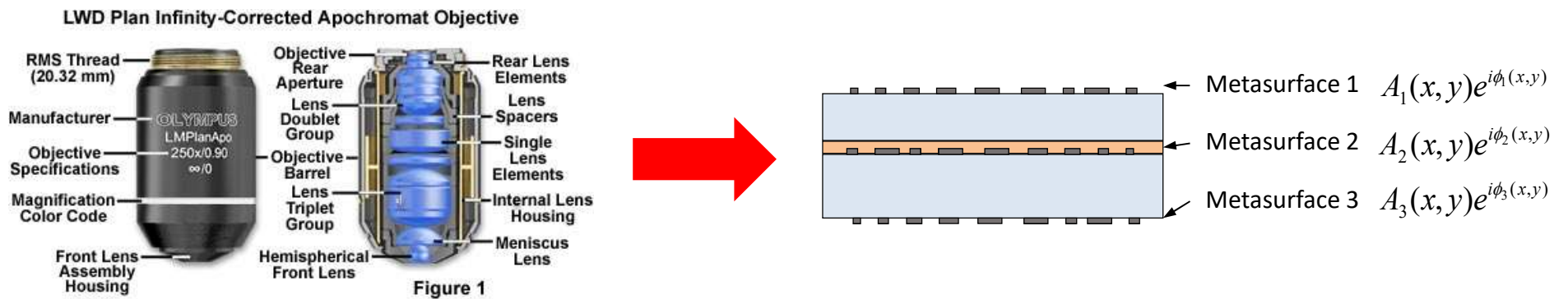
Flat optics: a new paradigm for optical systems



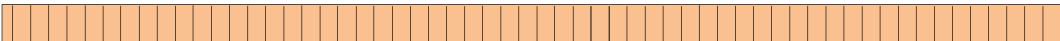
Vertical integration of optical components



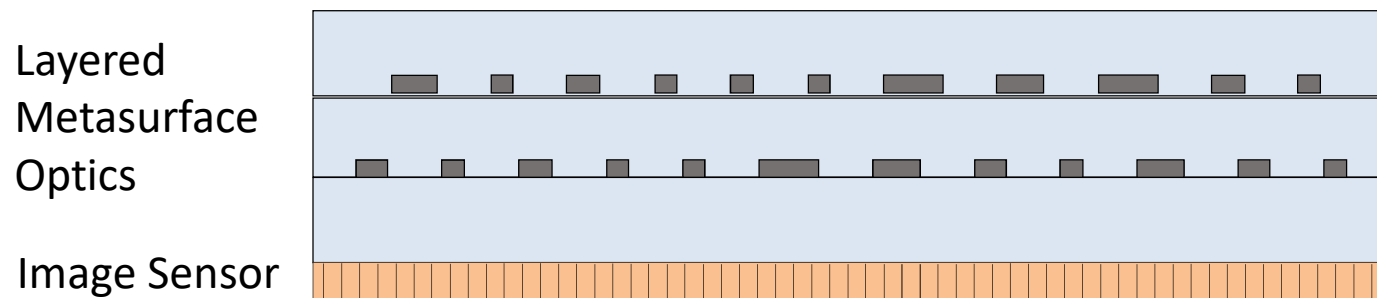
Vertical integration of optical components



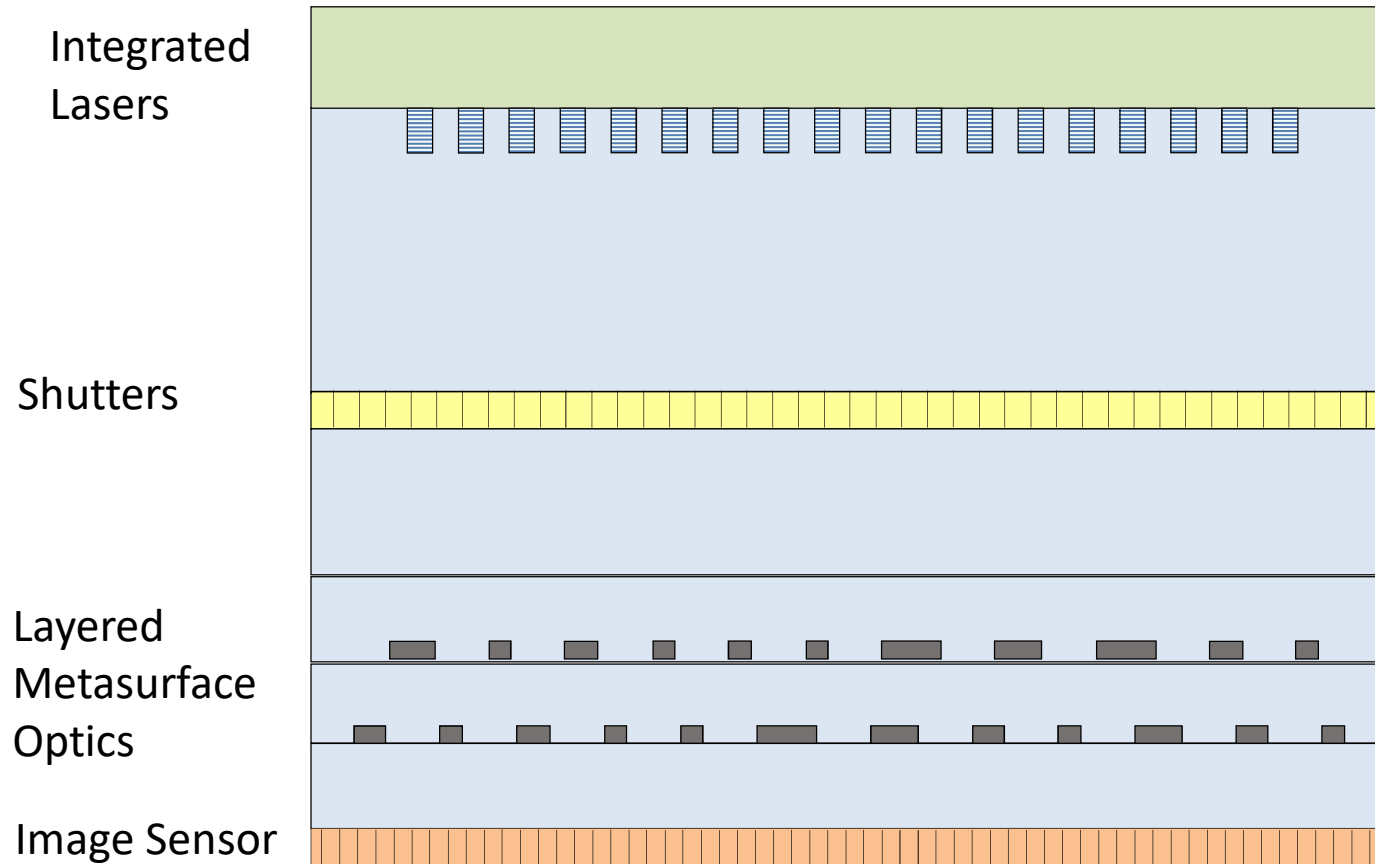
Vertical integration with electronics

Image Sensor 

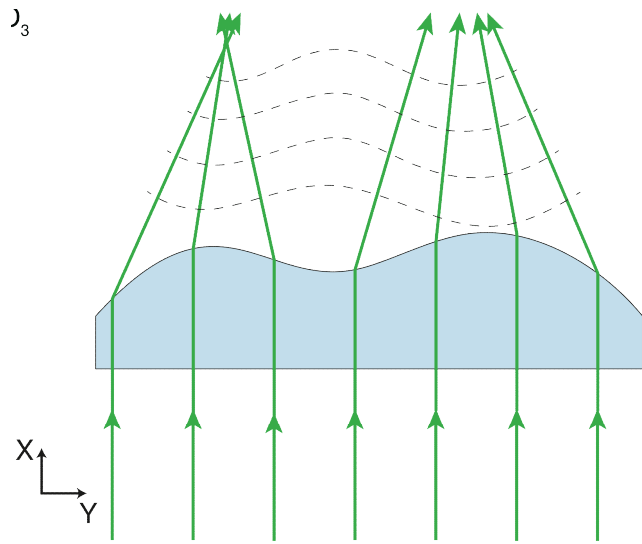
Vertical integration with electronics



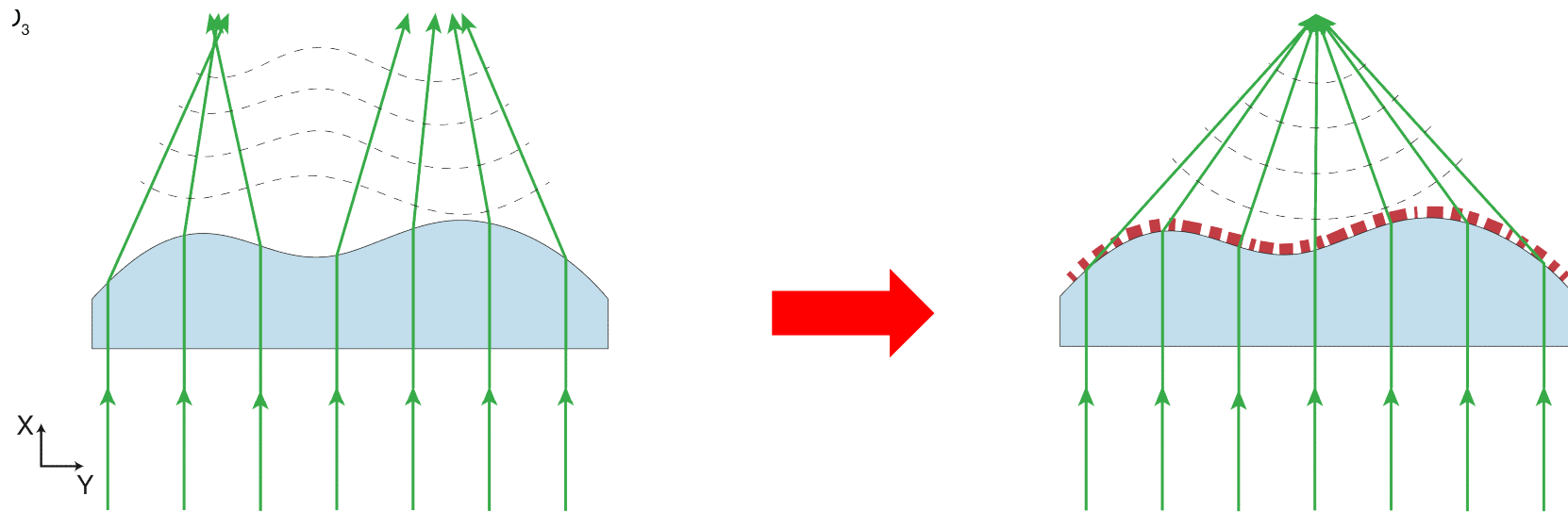
Vertical integration with electronics



Conformal optics



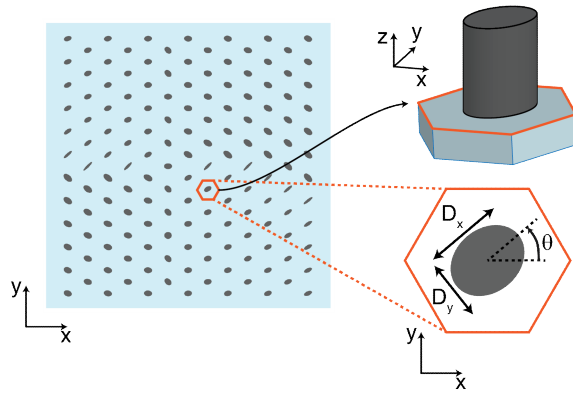
Conformal optics



Outline

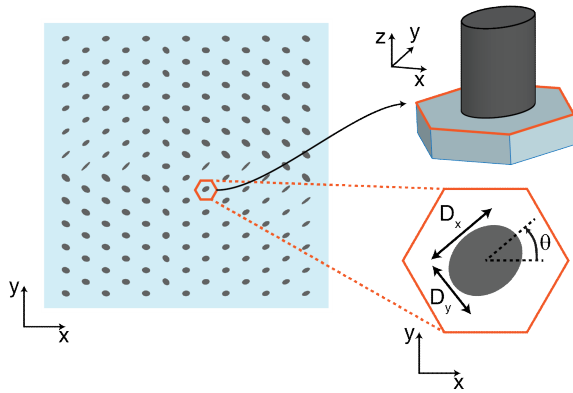
Outline

Metasurfaces for complete
phase and polarization control

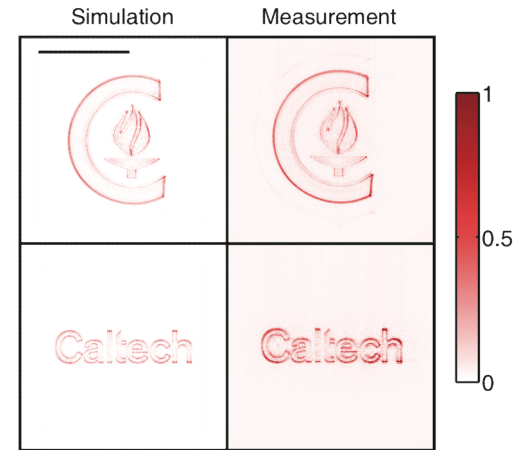


Outline

Metasurfaces for complete phase and polarization control

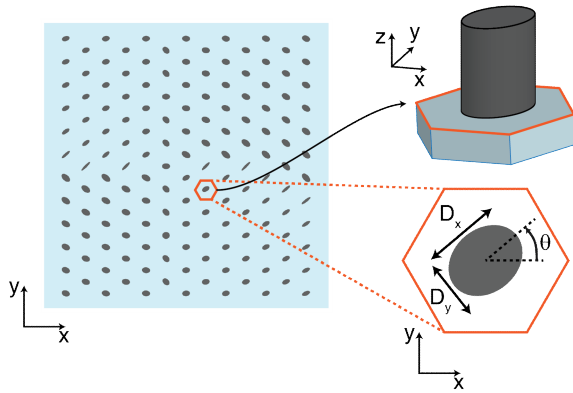


Arbitrary polarization/phase plates

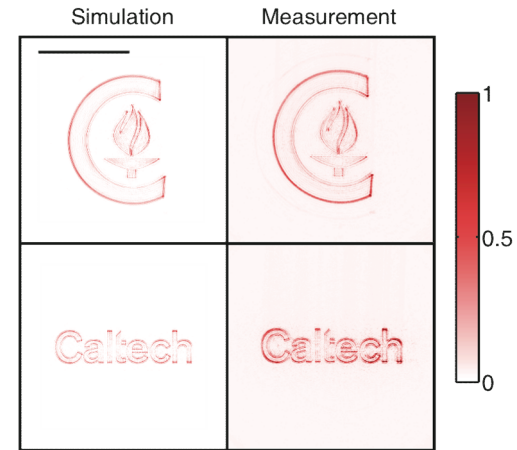


Outline

Metasurfaces for complete phase and polarization control



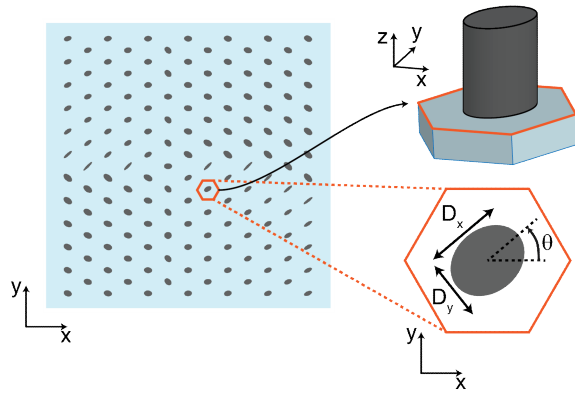
Arbitrary polarization/phase plates



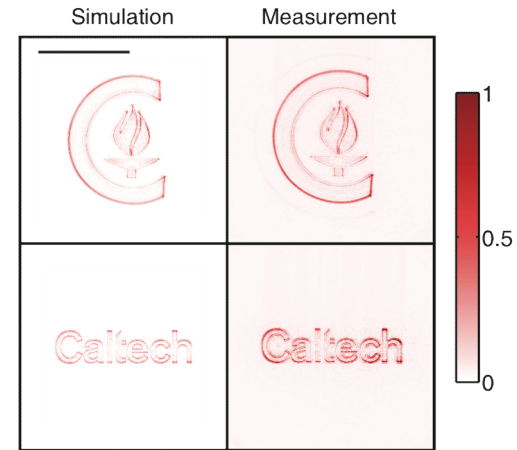
APPLICATIONS

Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates



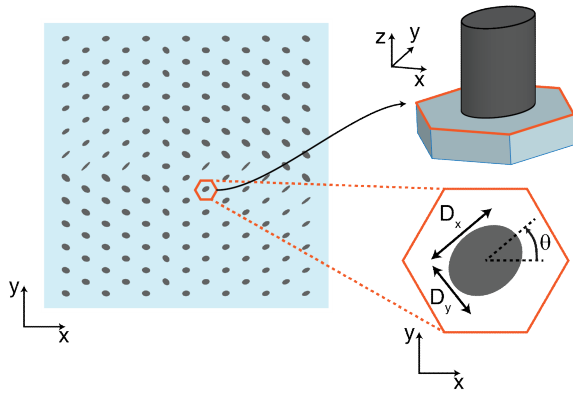
APPLICATIONS

Microscopy

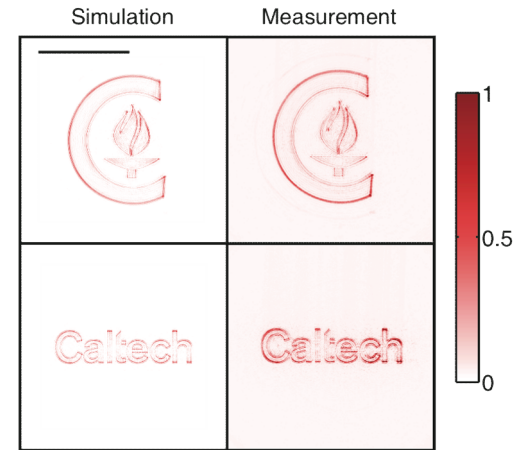


Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

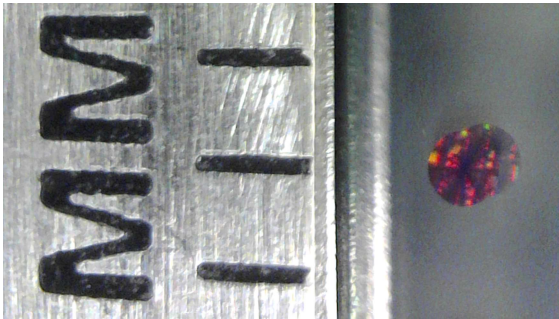


APPLICATIONS

Microscopy

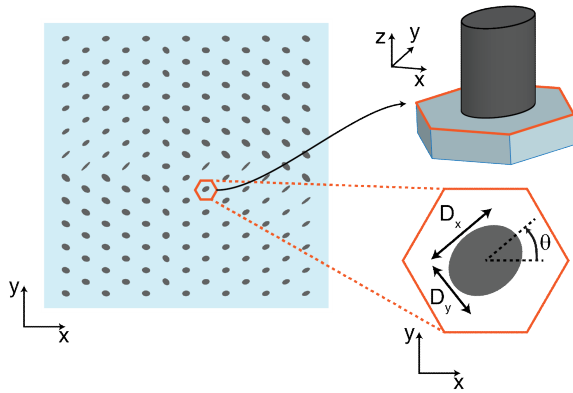


Ultra-Compact Camera Lenses

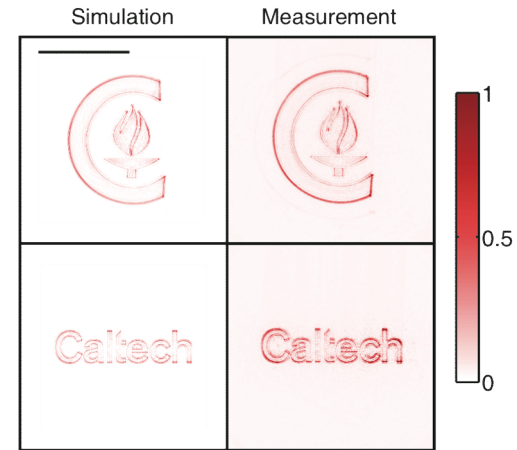


Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

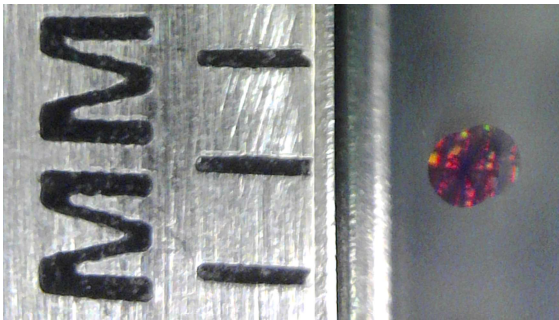


APPLICATIONS

Microscopy



Ultra-Compact Camera Lenses

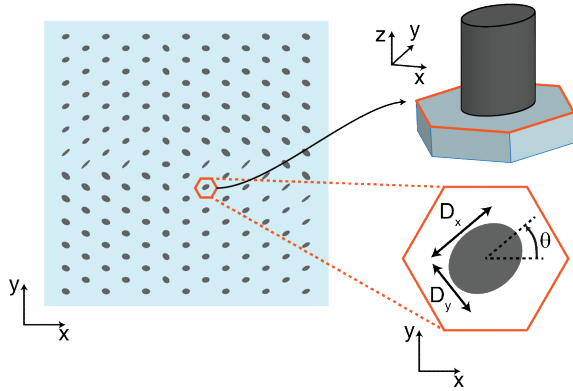


Retroreflector

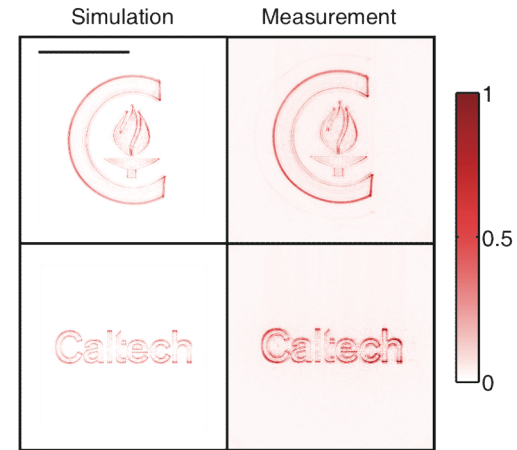


Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

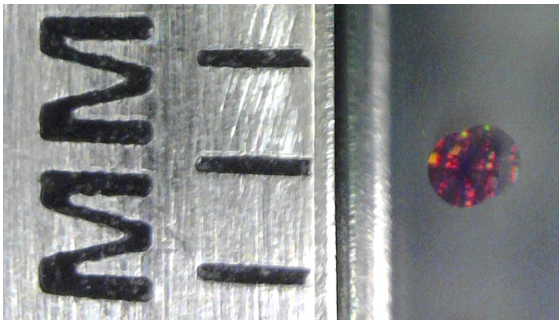


APPLICATIONS

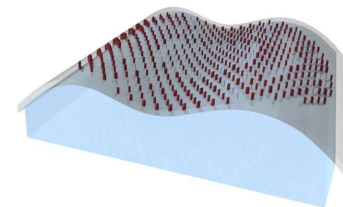
Microscopy



Ultra-Compact Camera Lenses

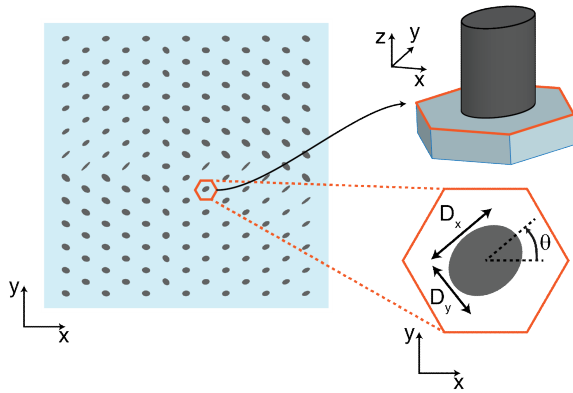


Retroreflector Conformal Optics

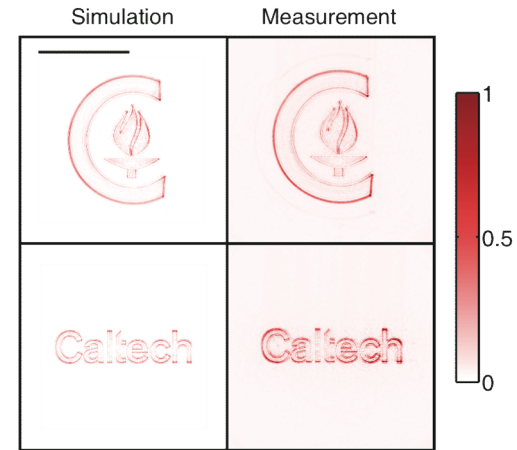


Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

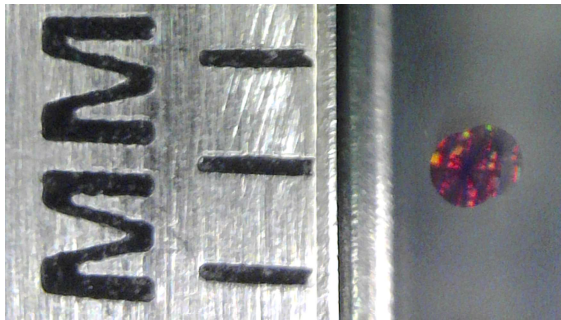


APPLICATIONS

Microscopy



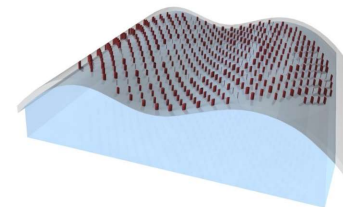
Ultra-Compact Camera Lenses



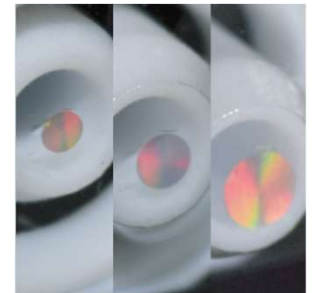
Retroreflector



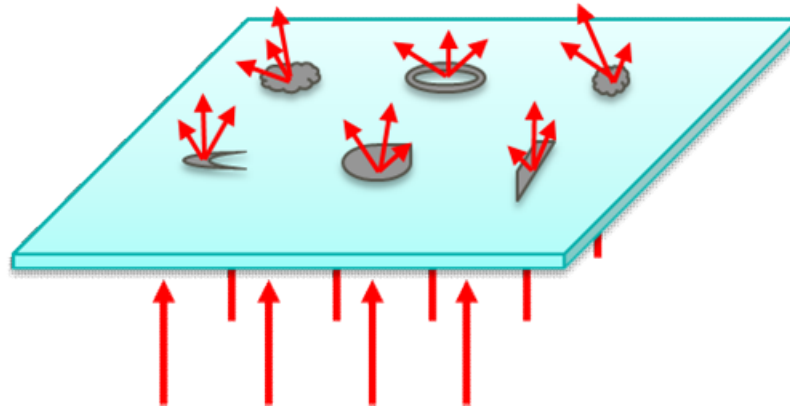
Conformal Optics



Tunable Lenses



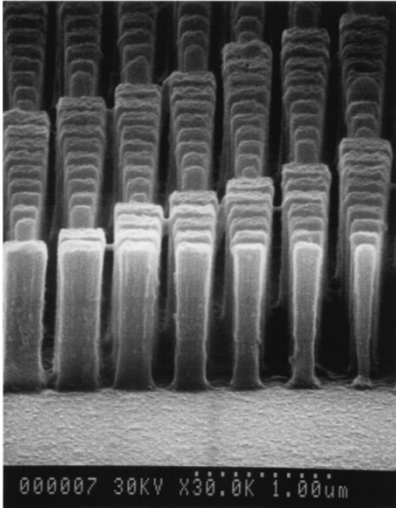
Optical Dielectric Metasurfaces



Optical metasurfaces are 2D planar arrays of subwavelength meta-atoms/scatterers

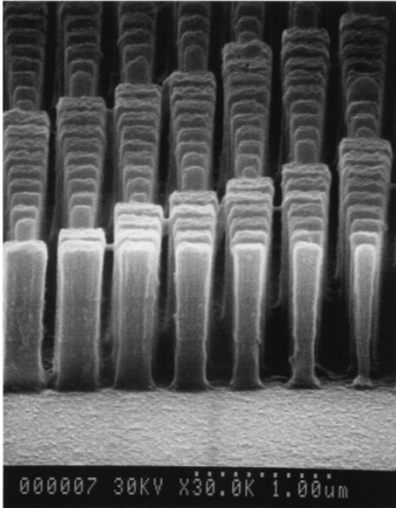
Provide spatially varying optical (polarization&phase) response by proper selection of the scatterers.

TiO₂ nanoposts



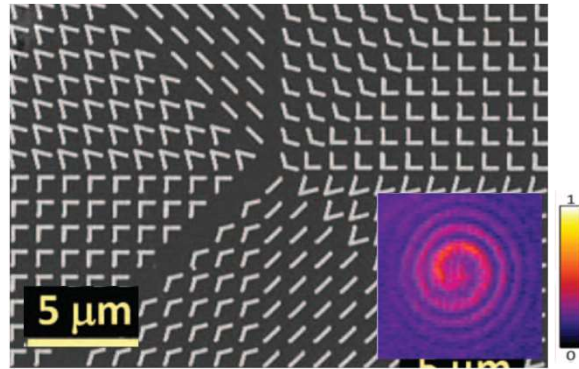
Lallane et al, J. Opt. Soc. Am. A, (1999)

TiO₂ nanoposts



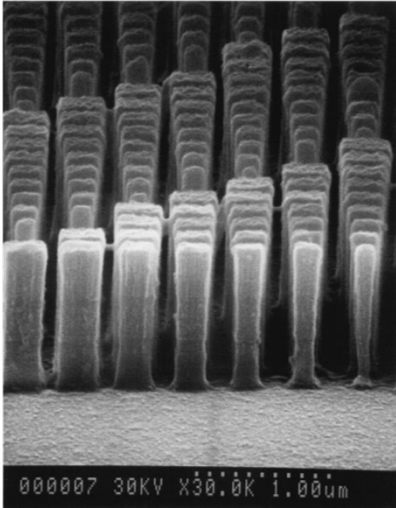
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



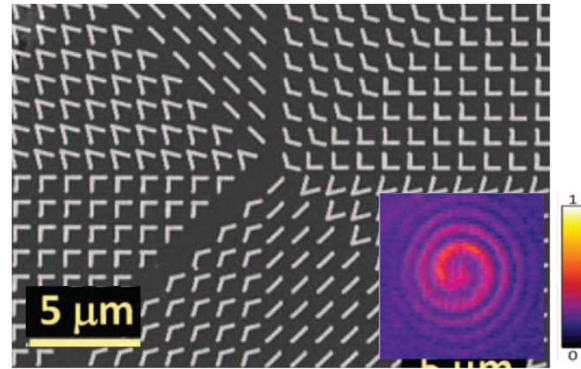
N. Yu et al., Science(2011)

TiO₂ nanoposts



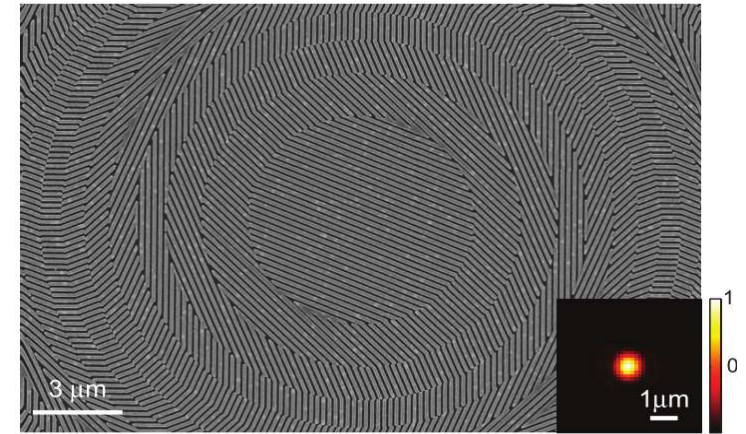
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



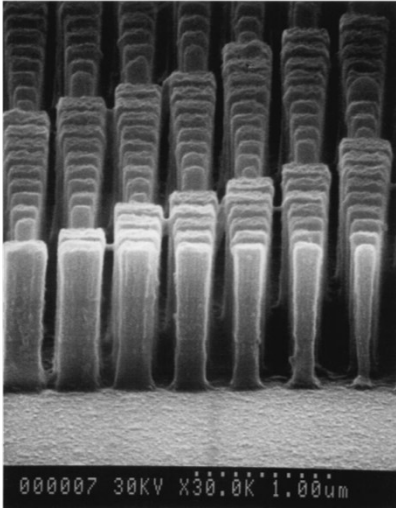
N. Yu et al., Science(2011)

Silicon bars



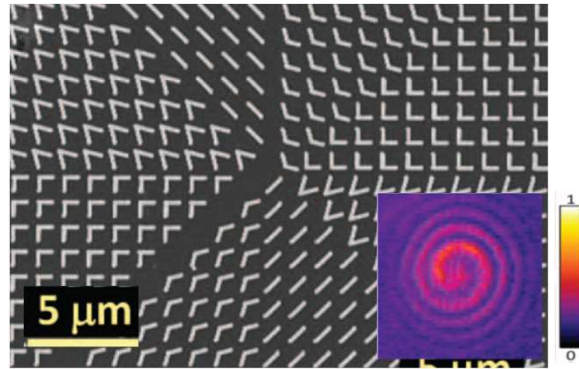
D. Lin *et al.*, Science (2014)

TiO₂ nanoposts



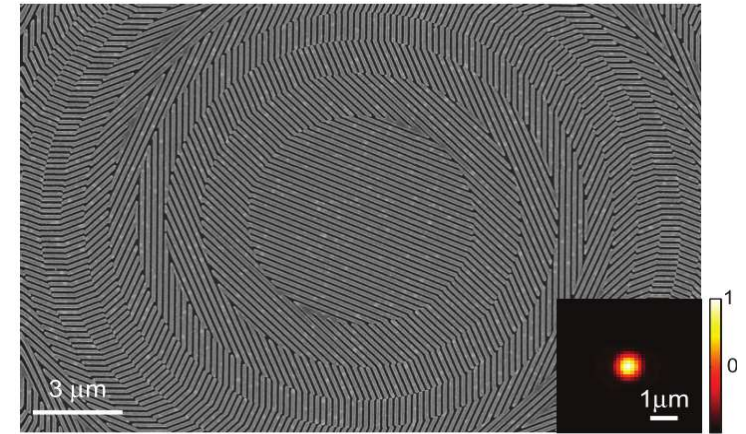
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



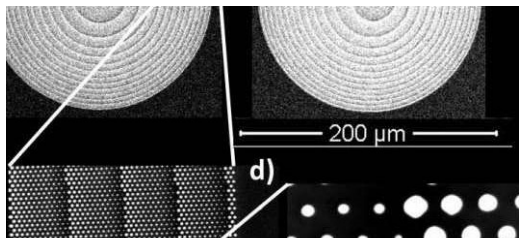
N. Yu et al., Science(2011)

Silicon bars



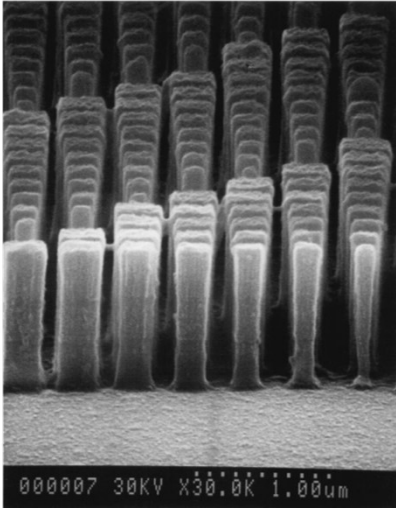
D. Lin et al., Science (2014)

Si nanoposts



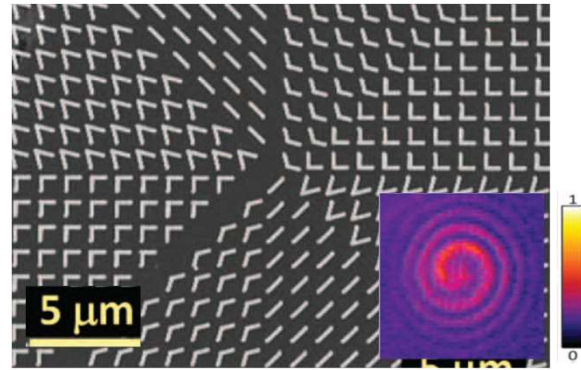
Vo et al, IEEE PTL, (2014)

TiO₂ nanoposts



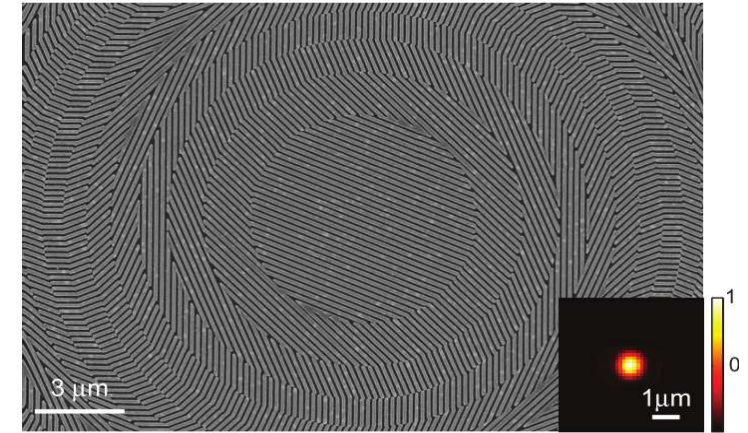
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



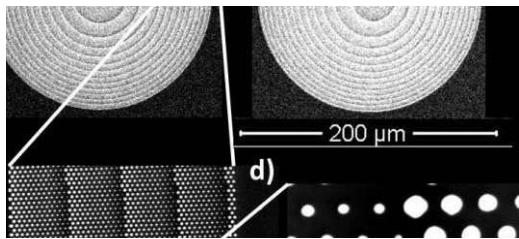
N. Yu et al., Science(2011)

Silicon bars



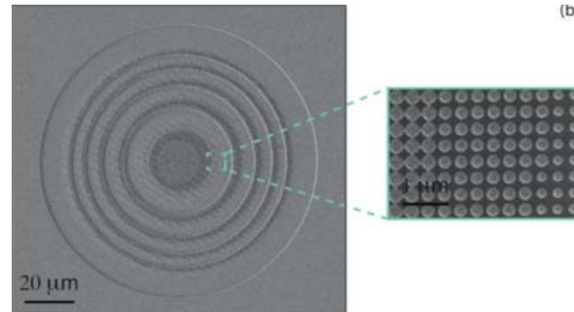
D. Lin et al., Science (2014)

Si nanoposts



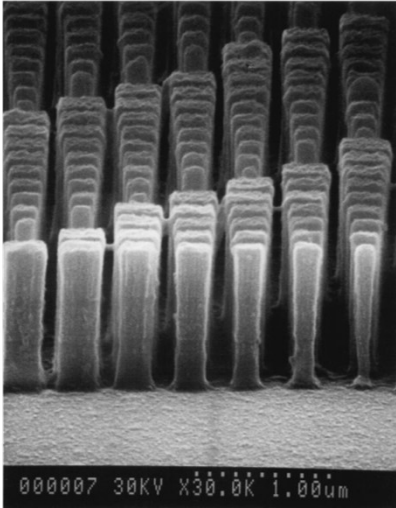
Vo et al, IEEE PTL, (2014)

SiN nanoposts



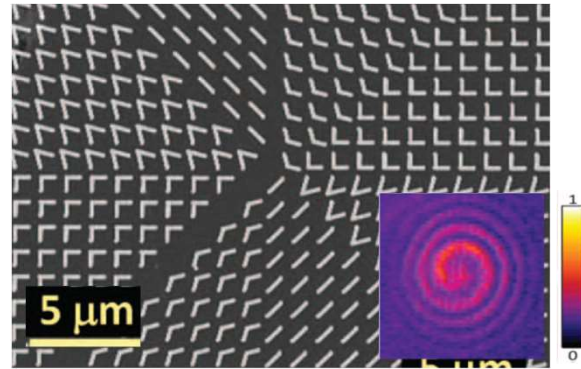
Zhan et al, ACS Photonics, (2015)

TiO₂ nanoposts



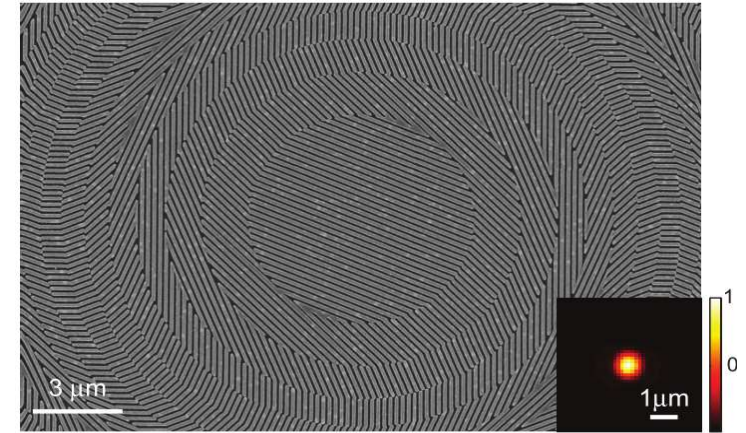
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



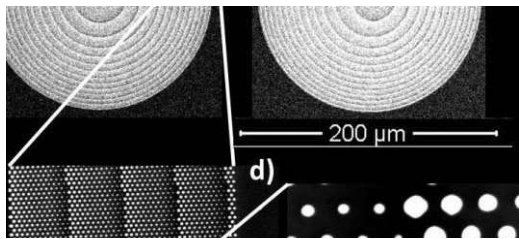
N. Yu et al., Science(2011)

Silicon bars



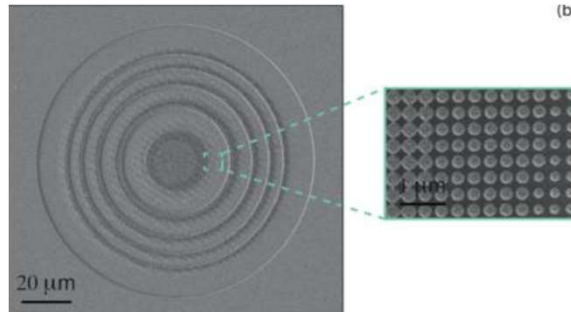
D. Lin et al., Science (2014)

Si nanoposts



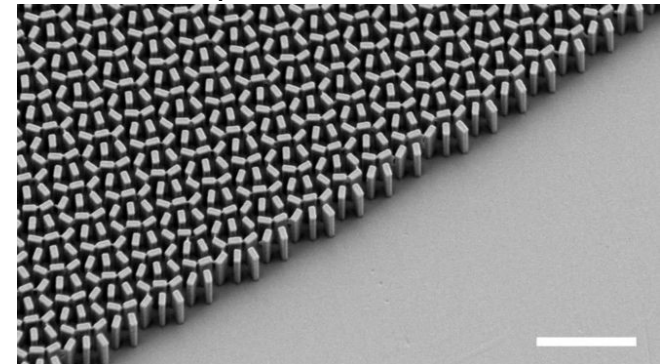
Vo et al, IEEE PTL, (2014)

SiN nanoposts



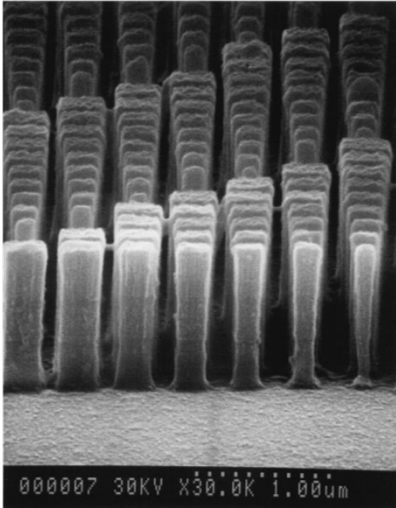
Zhan et al, ACS Photonics, (2015)

TiO₂ nanoposts



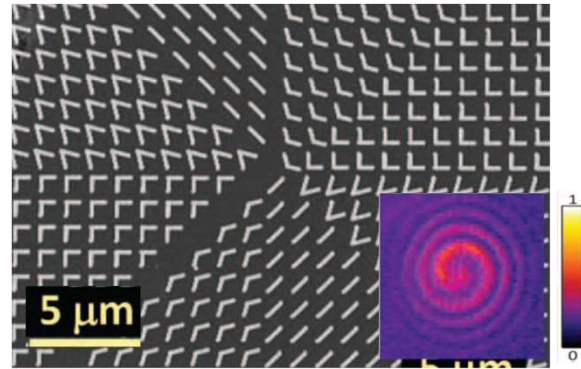
Khorasaninejad et al, Science (2016)

TiO₂ nanoposts



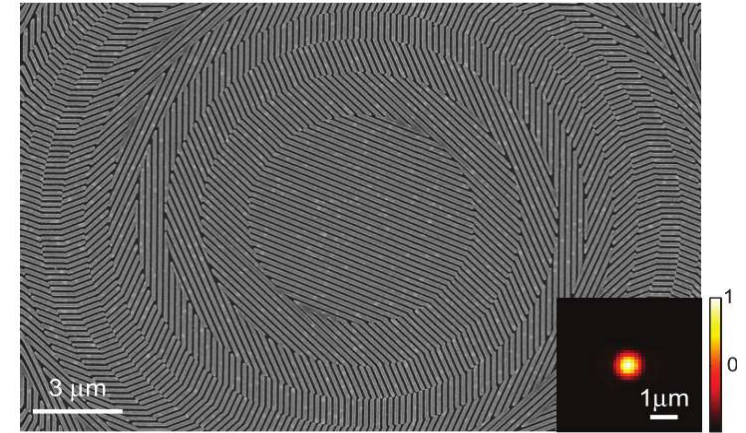
Lallane et al, J. Opt. Soc. Am. A, (1999)

Plasmonic antennas



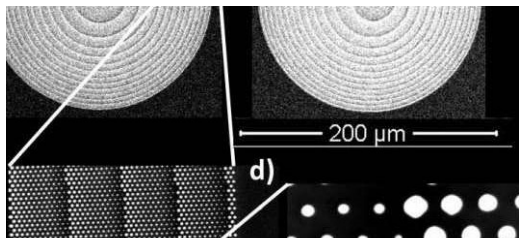
N. Yu et al., Science(2011)

Silicon bars



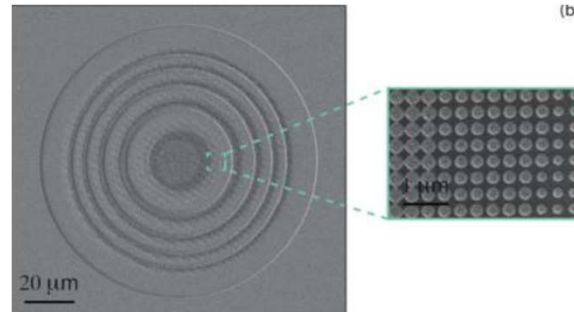
D. Lin et al., Science (2014)

Si nanoposts



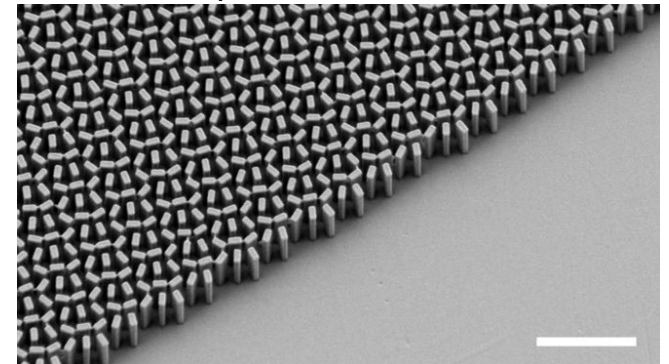
Vo et al, IEEE PTL, (2014)

SiN nanoposts



Zhan et al, ACS Photonics, (2015)

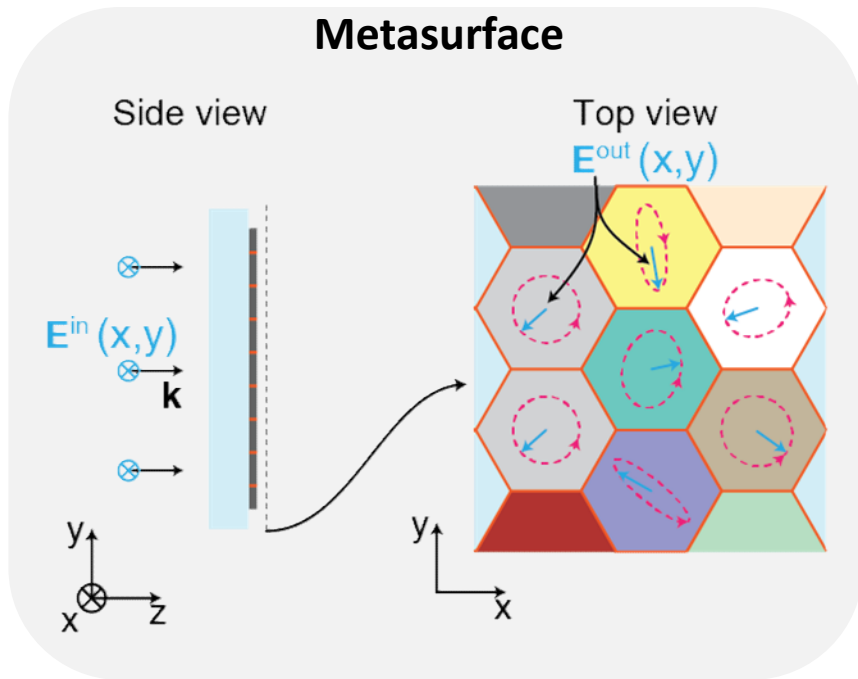
TiO₂ nanoposts



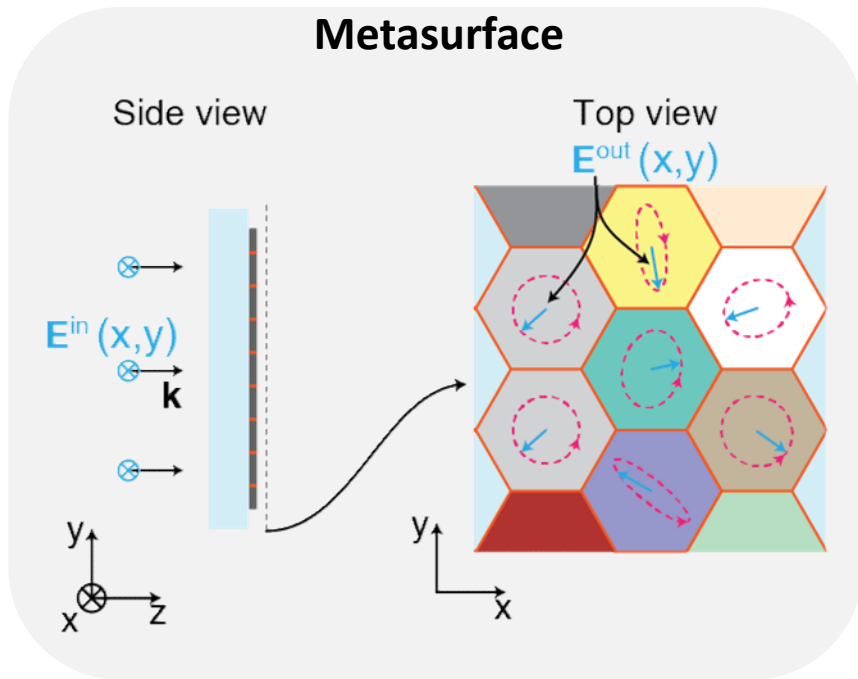
Khorasaninejad et al, Science (2016)

See review: Jahani and Jacob, Nature Nanotechnology 11, 23–36 (2016)

Complete phase and polarization control

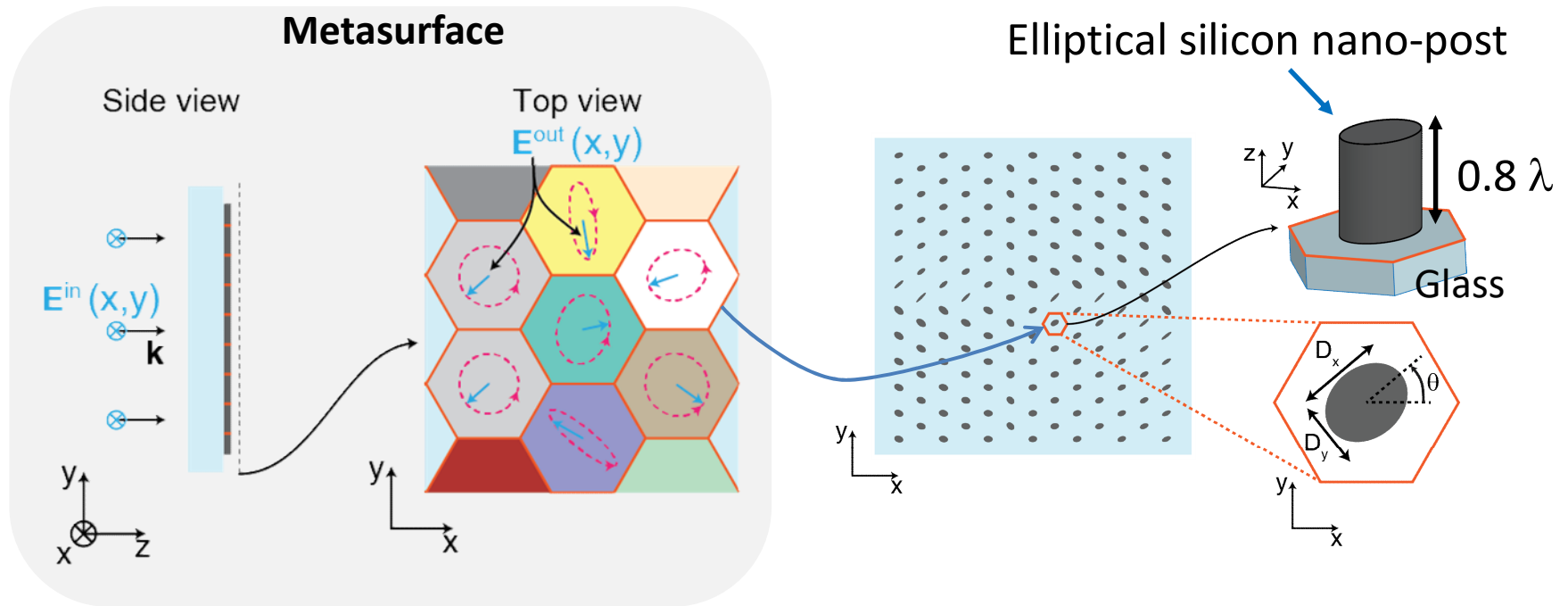


Complete phase and polarization control



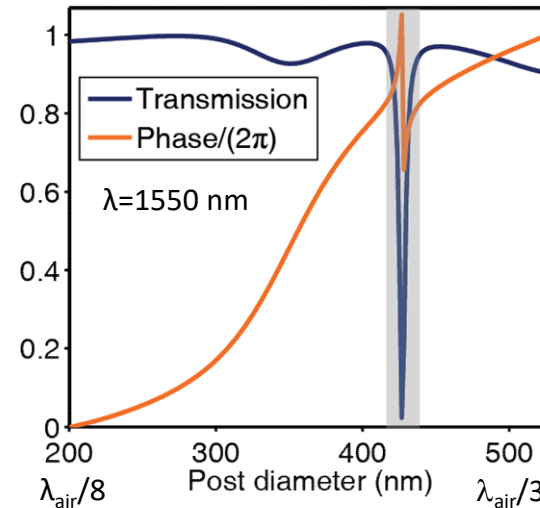
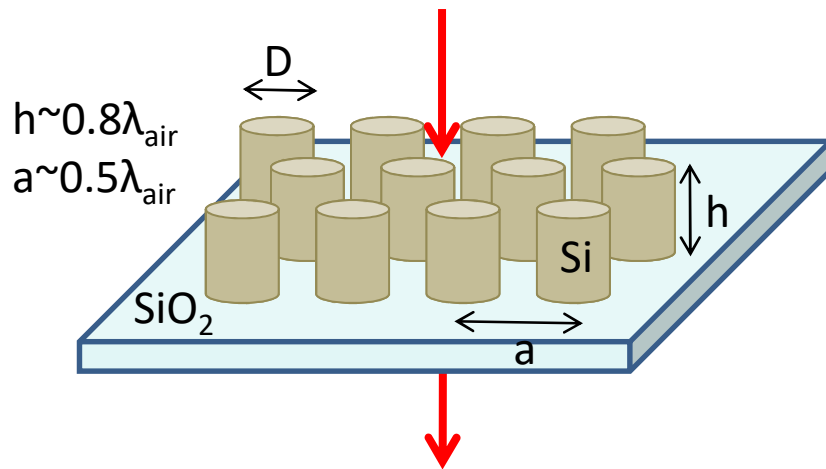
- Any desired output phase and polarization can be generated at each pixel
- High efficiency $> 90\%$
- Subwavelength pixel size $< \lambda/2$

Complete phase and polarization control



- Any desired output phase and polarization can be generated at each pixel
- High efficiency $> 90\%$
- Subwavelength pixel size $< \lambda/2$

Phase-only control

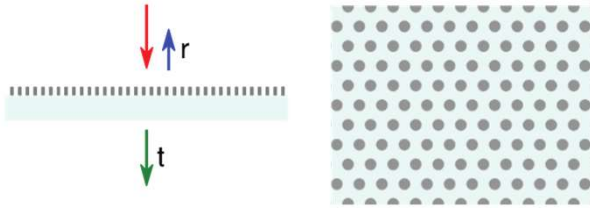


- Polarization insensitive
- Full phase control with high transmission
- Freedom to design any element to control optical wavefront

Fattal et al, Integrated Photonics Research, Silicon and Nanophotonics, ITuD2, 2011
Vo et al, IEEE PHOTONICS TECHNOLOGY LETTERS, VOL. 26, NO. 13, JULY 1, 2014

Transmission properties

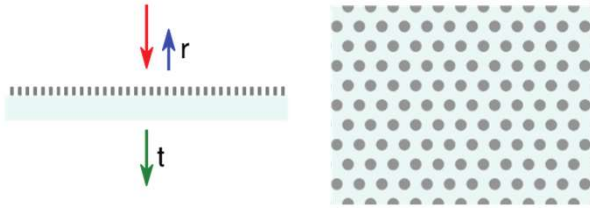
a



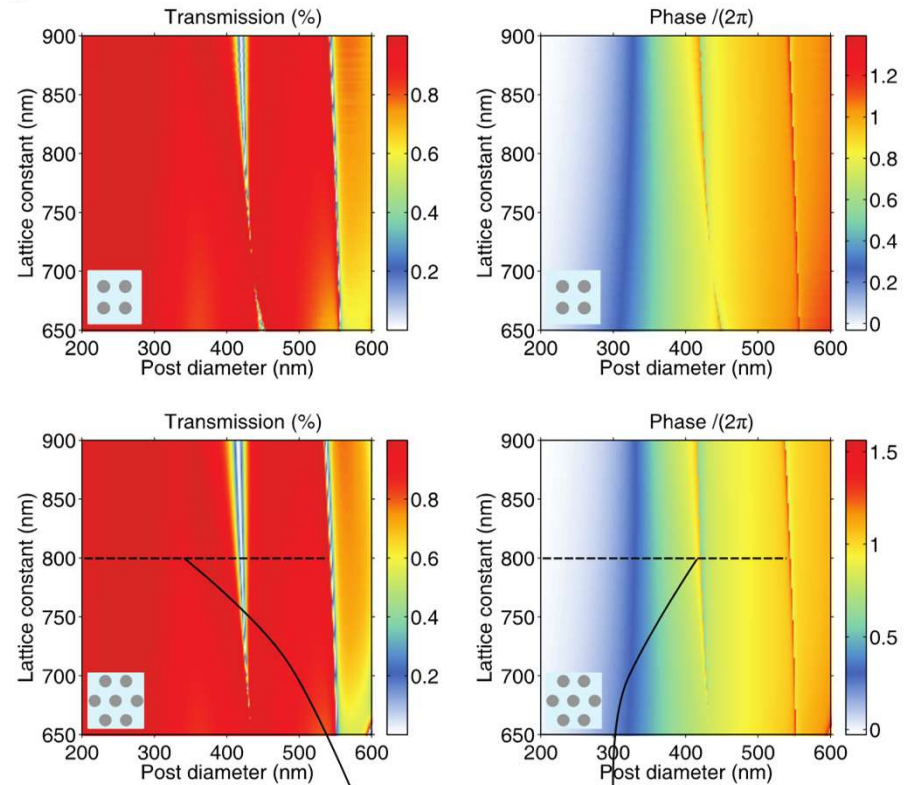
Arbabi et al, *Nature Communications*, 6:7069, 2015

Transmission properties

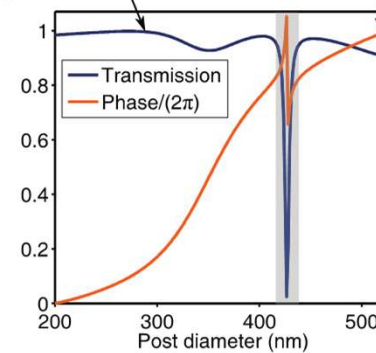
a



b



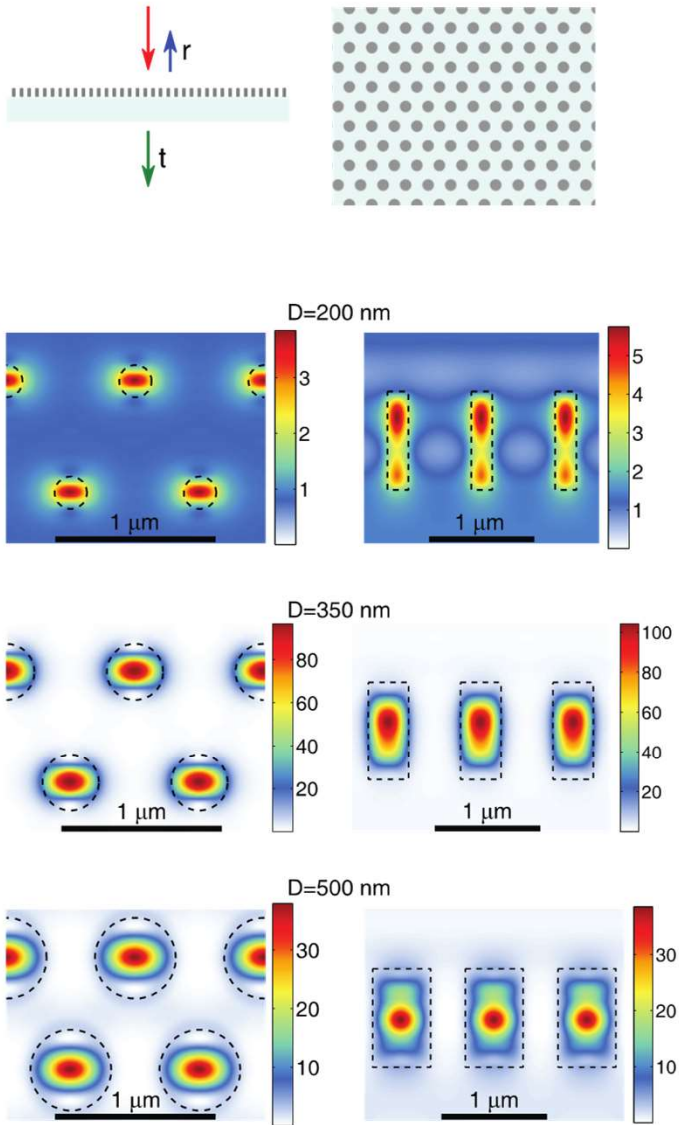
d



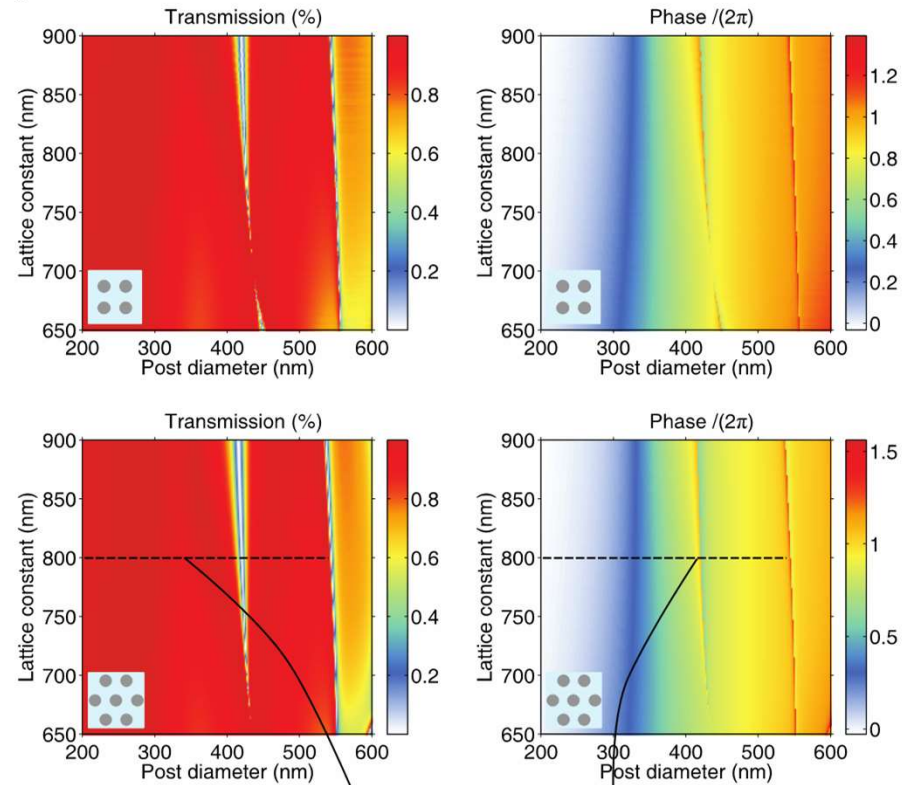
Arbabi et al, *Nature Communications*, 6:7069, 2015

Transmission properties

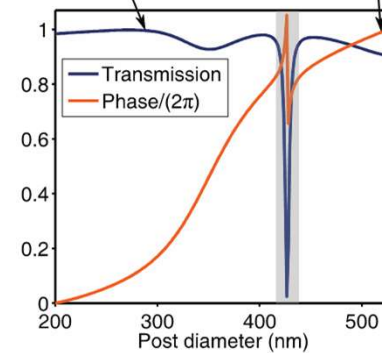
a



b

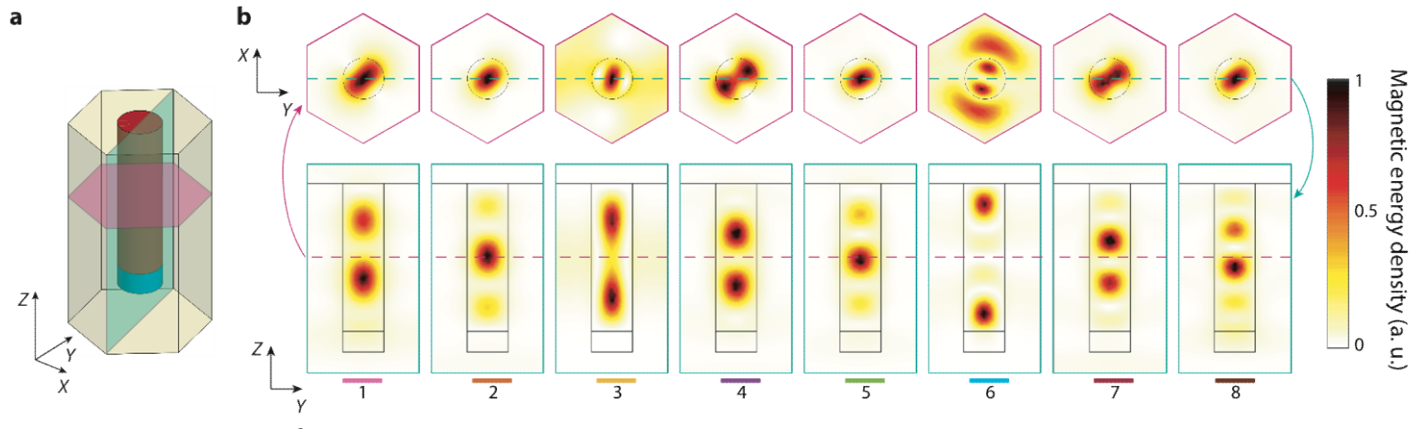


d

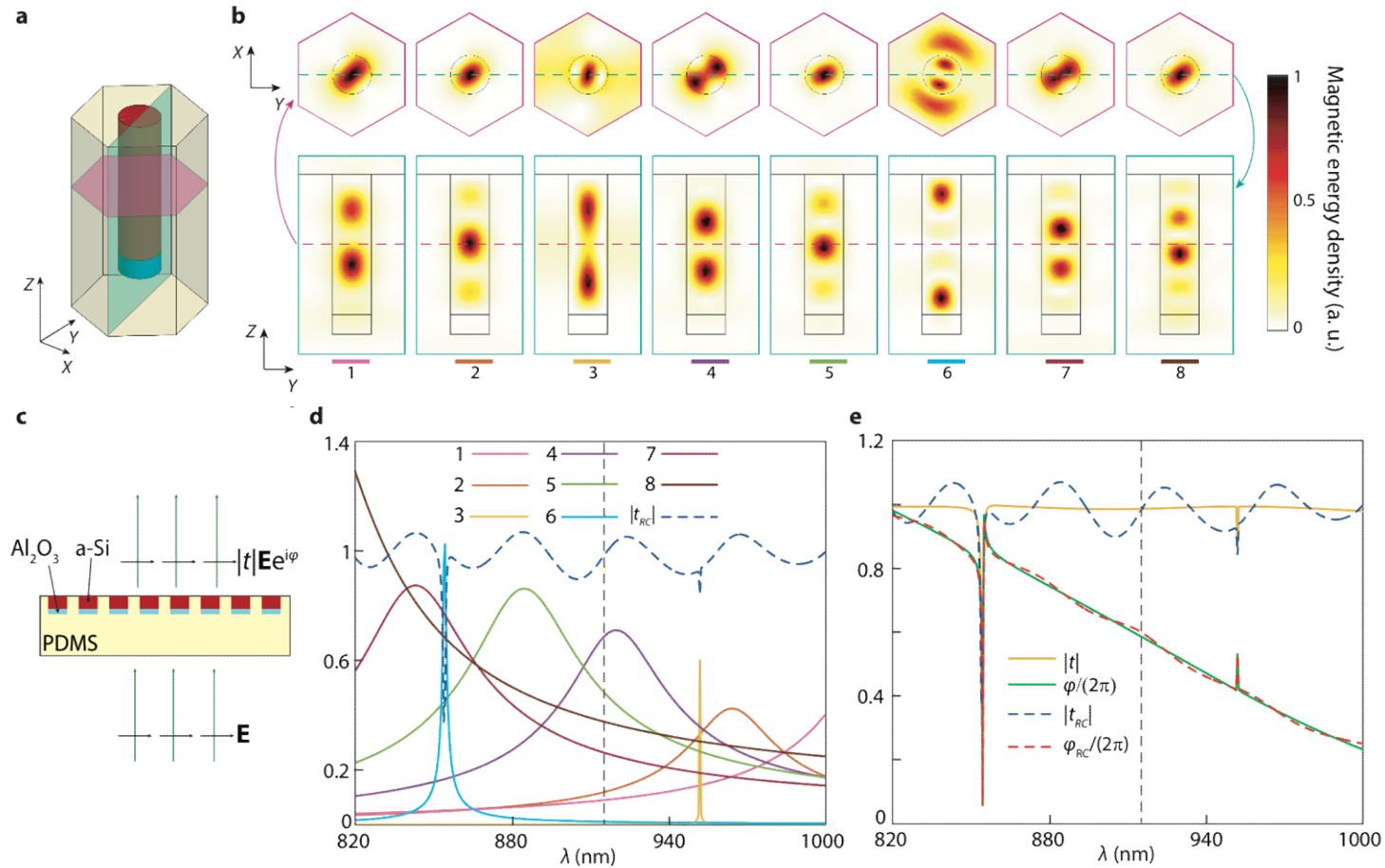


Arbabi et al, *Nature Communications*, 6:7069, 2015

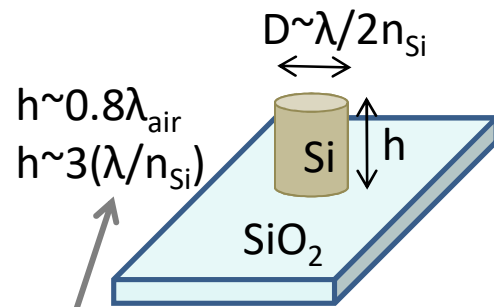
Nano-posts as multi-mode antennas



Nano-posts as multi-mode antennas

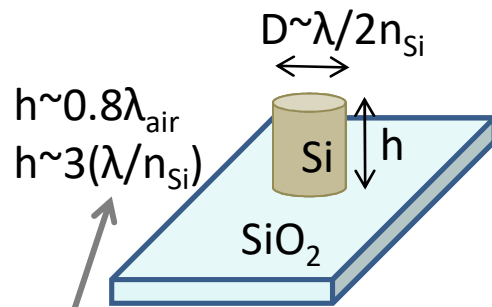


Properties of high index nano-posts

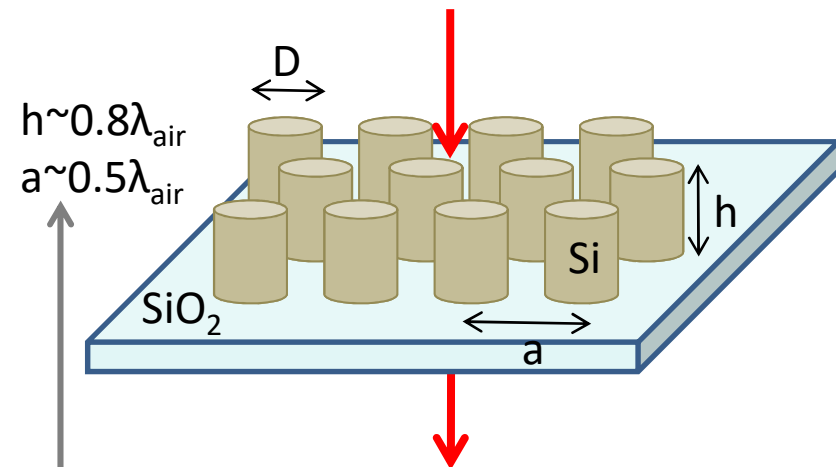


Multi-mode post ensures a rich set of scattering properties that can be engineered

Properties of high index nano-posts



Multi-mode post ensures a rich set of scattering properties that can be engineered

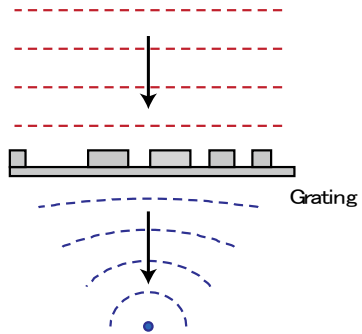


Sub-wavelength lattice ensures that the final structure diffracts only in 0th order

Polarization Insensitive Lens

- Spherical phase profile

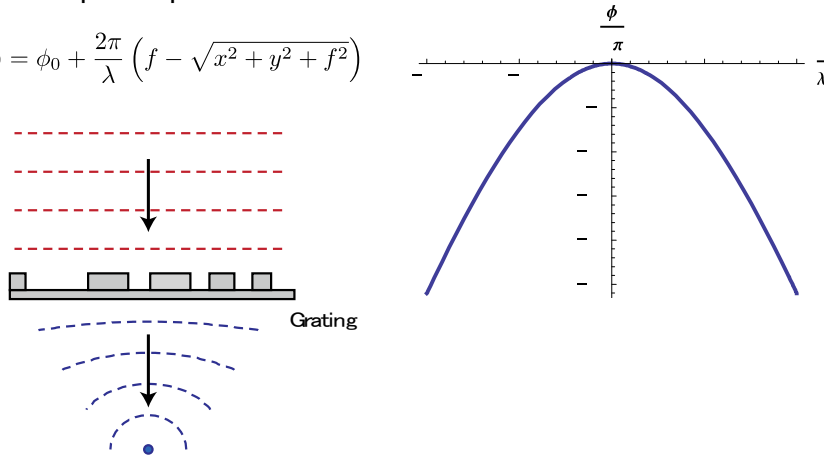
$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$



Polarization Insensitive Lens

- Spherical phase profile

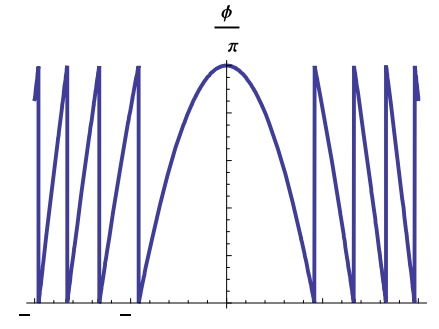
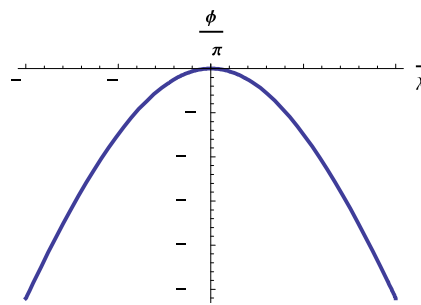
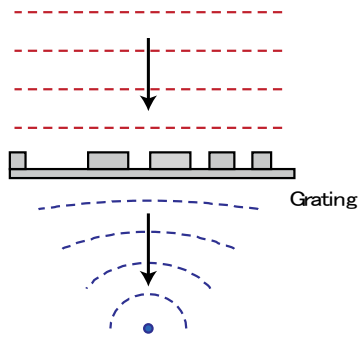
$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$



Polarization Insensitive Lens

- Spherical phase profile

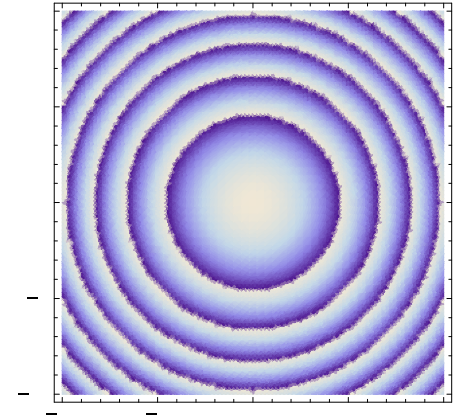
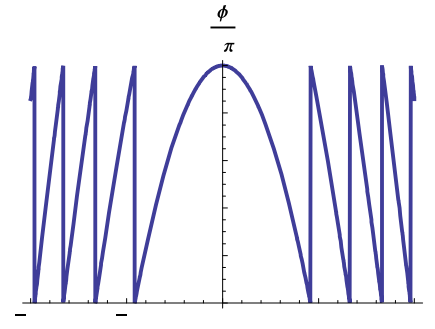
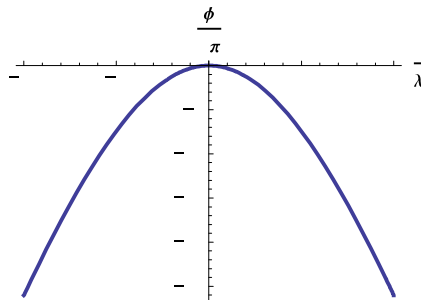
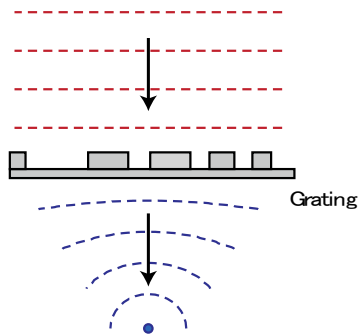
$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$



Polarization Insensitive Lens

- Spherical phase profile

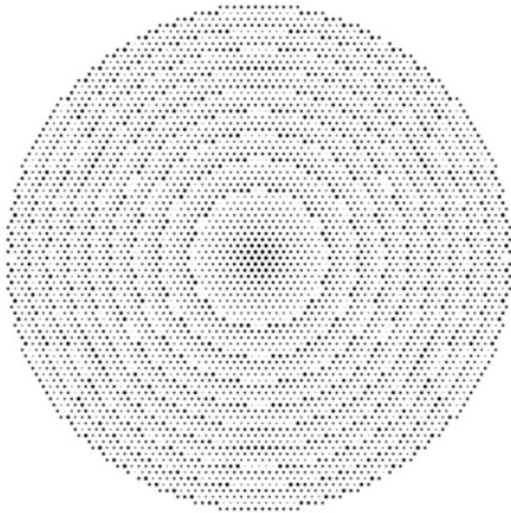
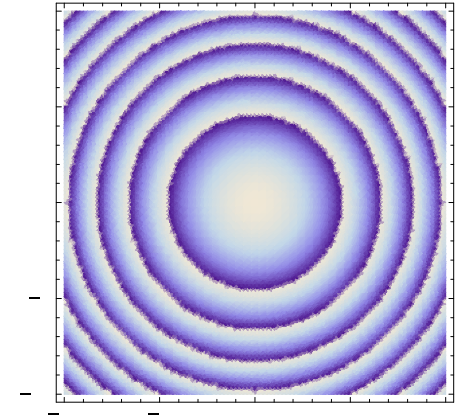
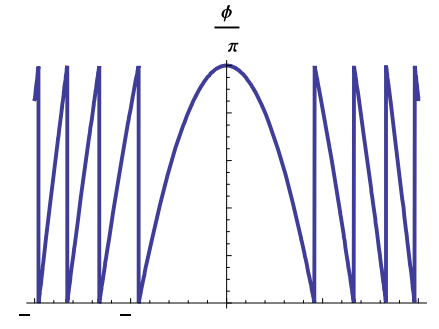
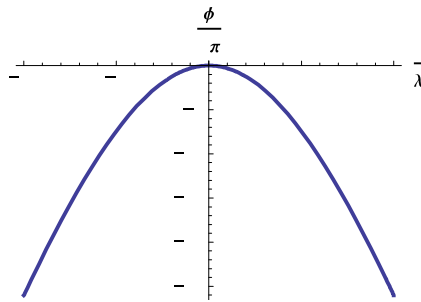
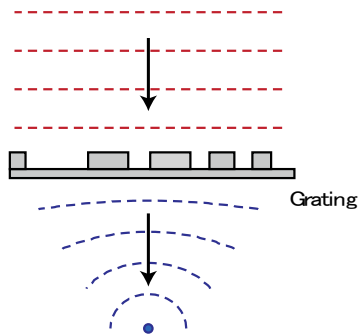
$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$



Polarization Insensitive Lens

- Spherical phase profile

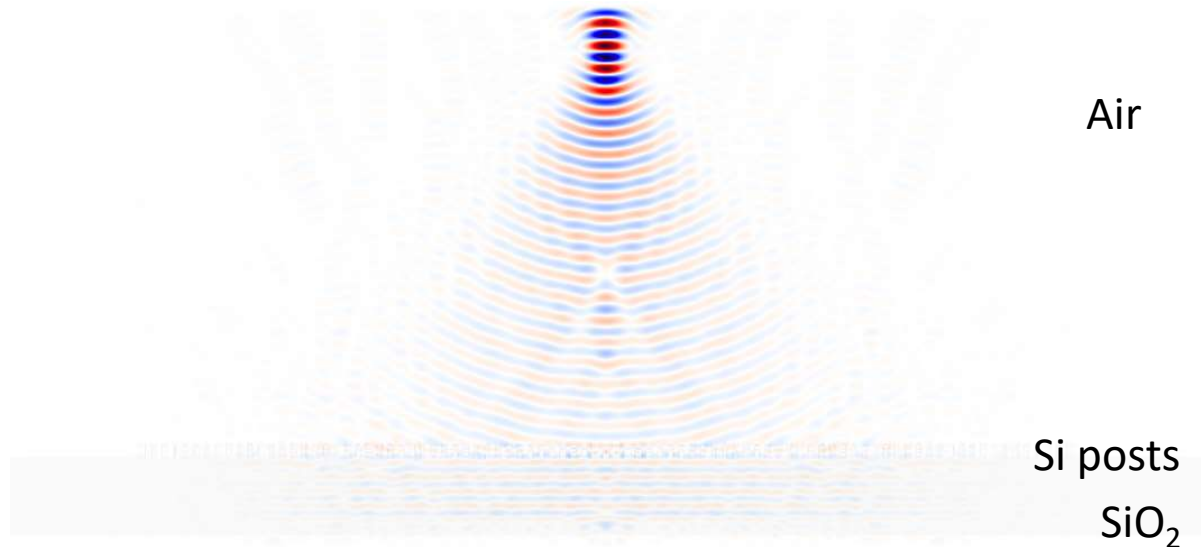
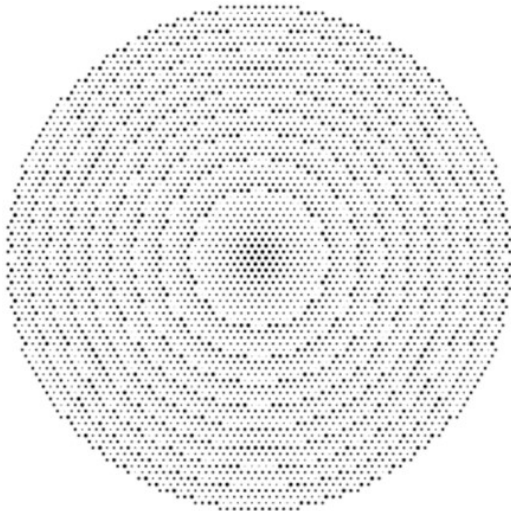
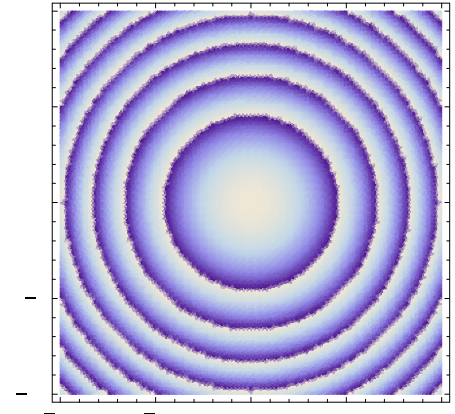
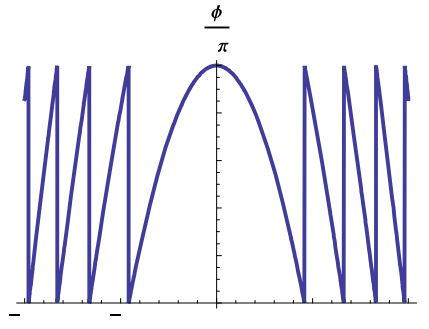
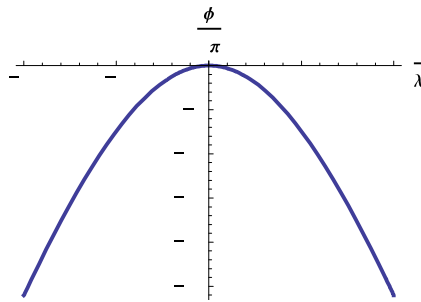
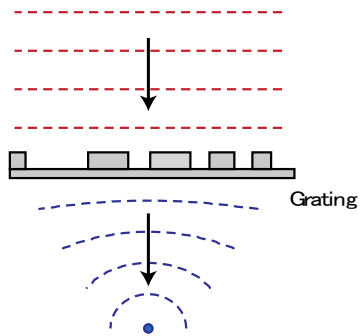
$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$



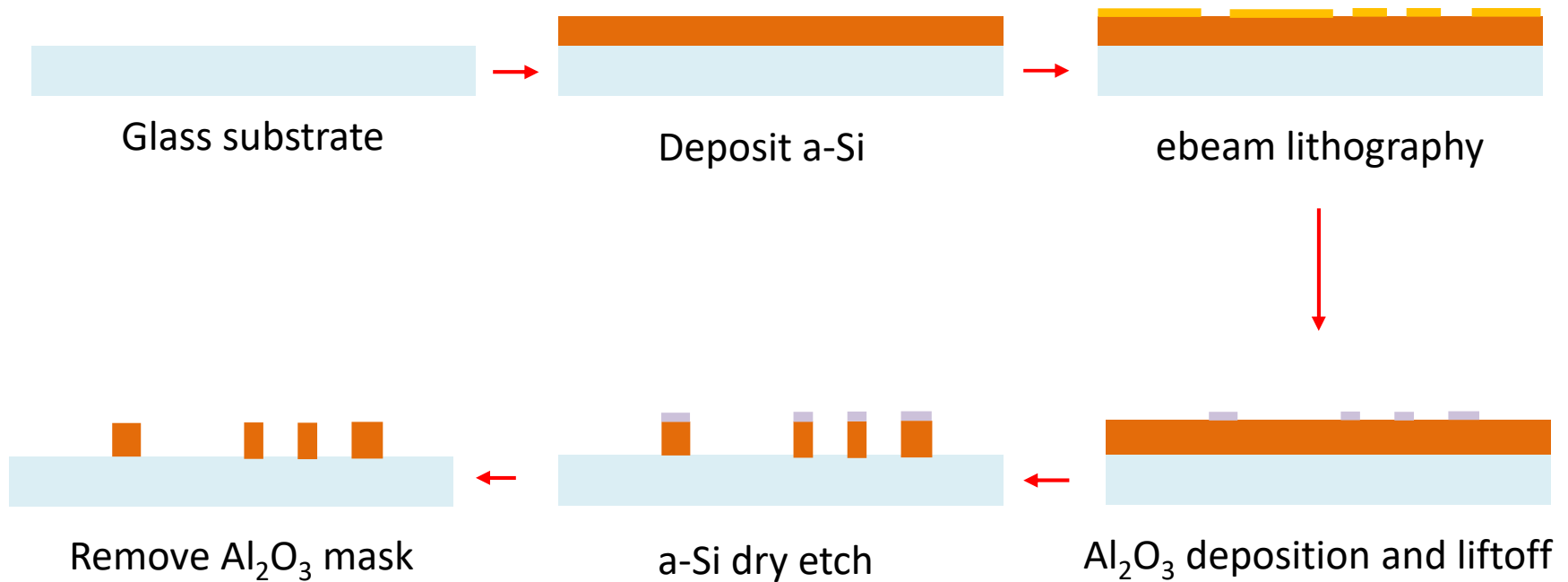
Polarization Insensitive Lens

- Spherical phase profile

$$\phi(x, y) = \phi_0 + \frac{2\pi}{\lambda} \left(f - \sqrt{x^2 + y^2 + f^2} \right)$$

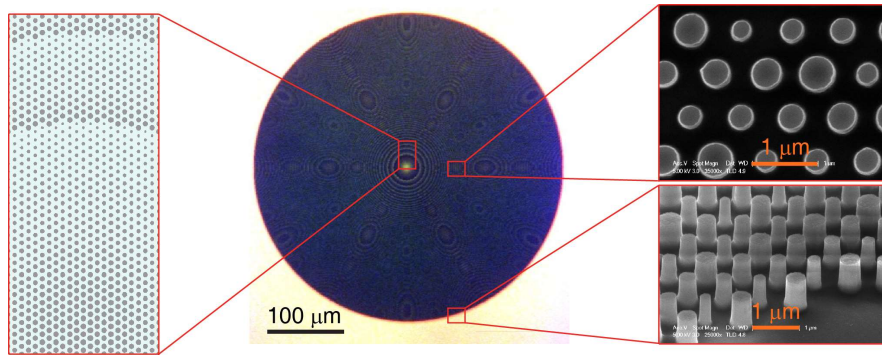


Fabrication Process

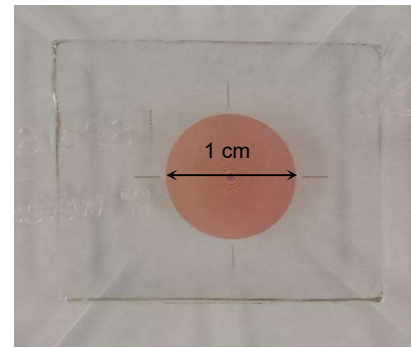


Polarization insensitive lenses

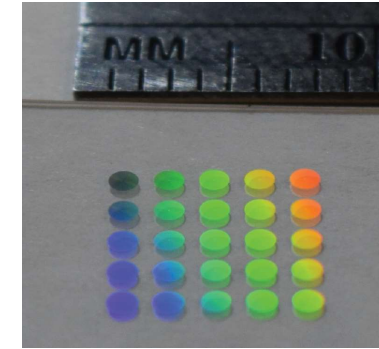
For 1550nm



For 590nm

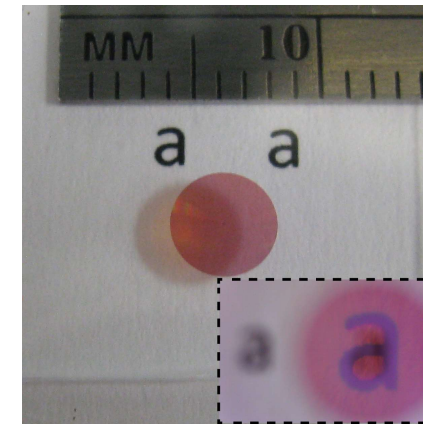


For 4800nm



Features:

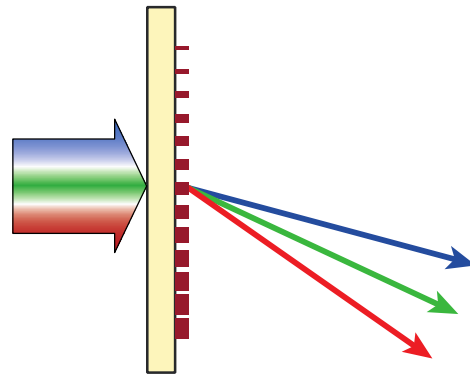
- Focal length as small tens of microns
- Visible & infrared (590nm-4800nm) demonstrated
- Diffraction limited with NA up to 0.9
- Up to 90% efficient



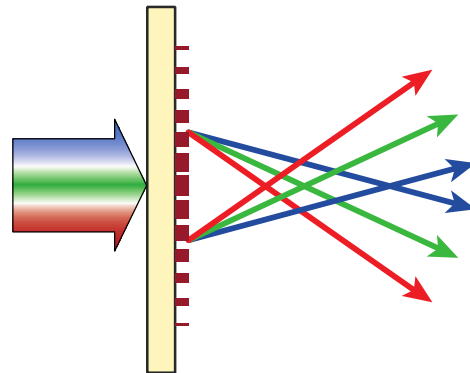
- Demo Lens: $D=4\text{mm}$, $f=7\text{mm}$
- 360nm high posts, 320nm lattice period, design $\lambda=650\text{nm}$

A. Arbabi *et al.* *Nature Communication* 6, 7069 (2015)
A. Arbabi *et al.*, *Optics Express* Vol. 23, Issue 26, pp. 33310-33317 (2015)

Dispersion of metasurface lenses



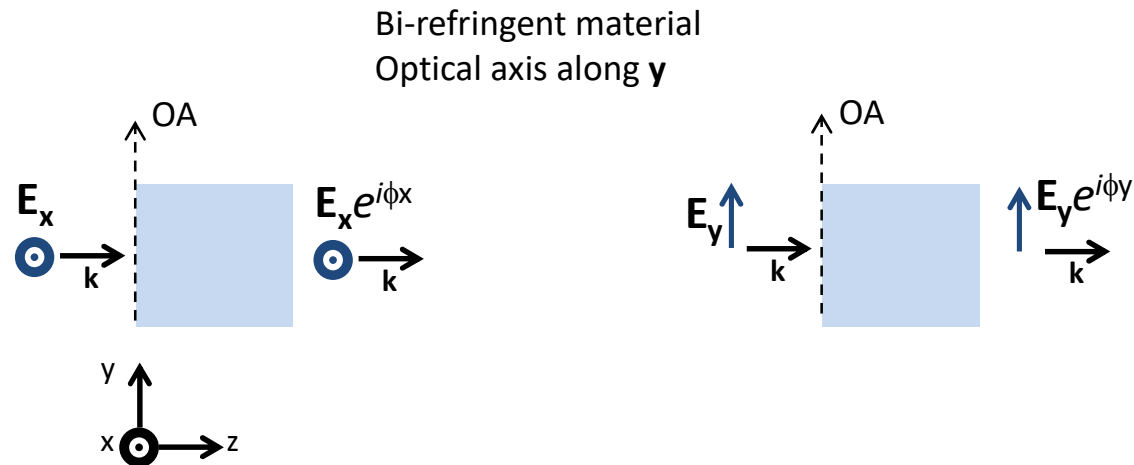
$$d\theta/d\lambda = \tan(\theta)/\lambda$$



$$df/d\lambda = -f/\lambda$$

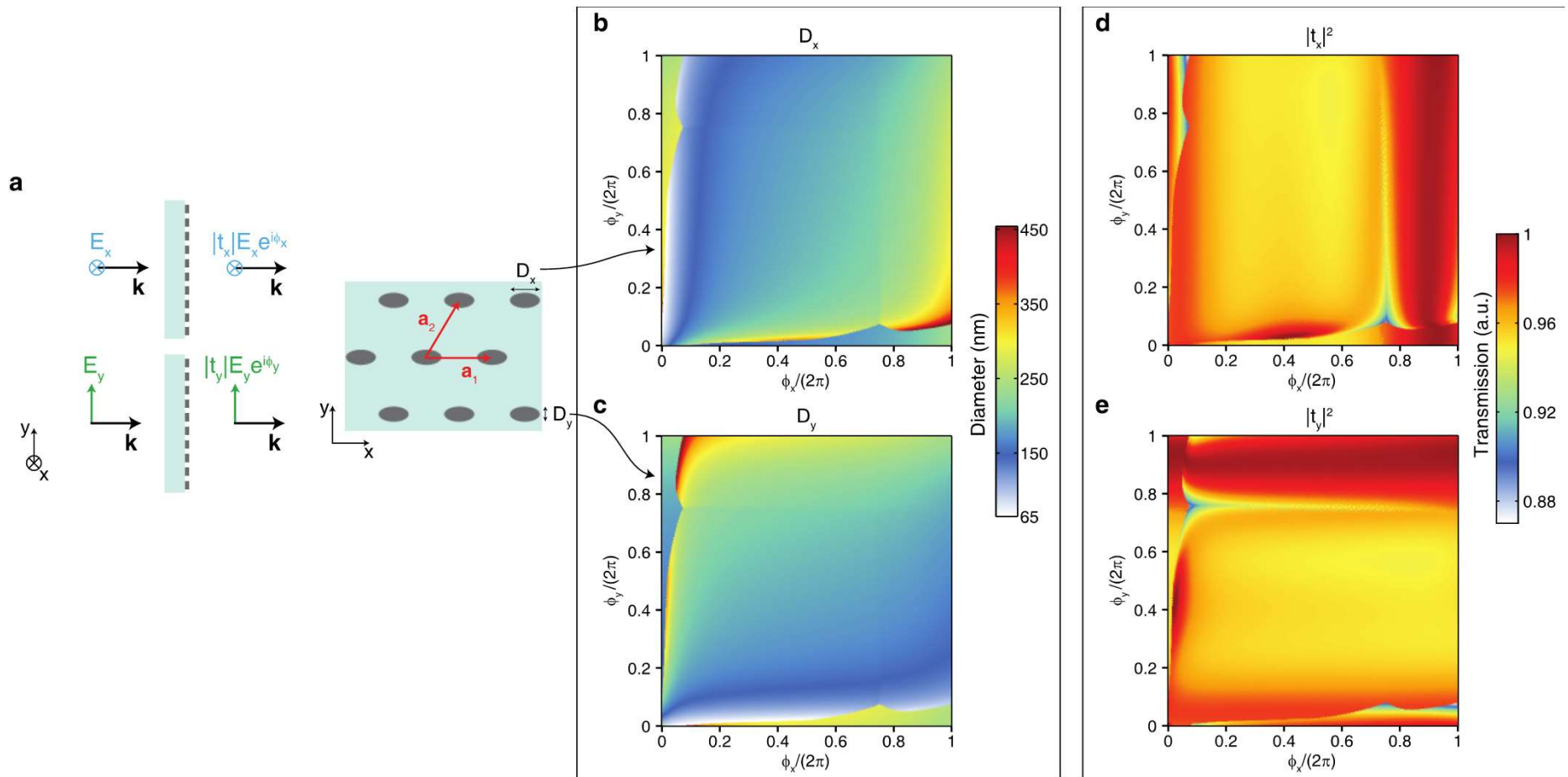
Complete polarization and phase control

- *must control the birefringence* -

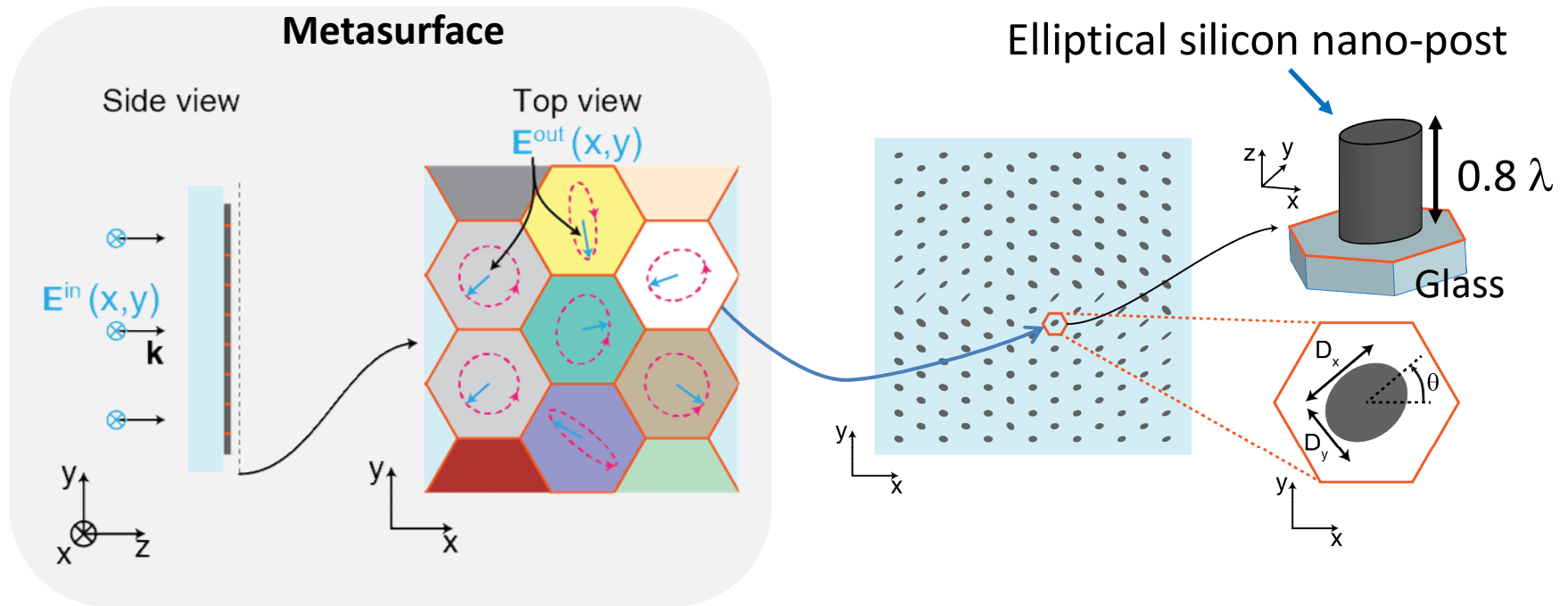


- Complete control means:
 - Ability to adjust both ϕ_x and ϕ_y and between 0 and 2π
 - Ability to rotate the optical axis

Birefringence control with elliptical posts

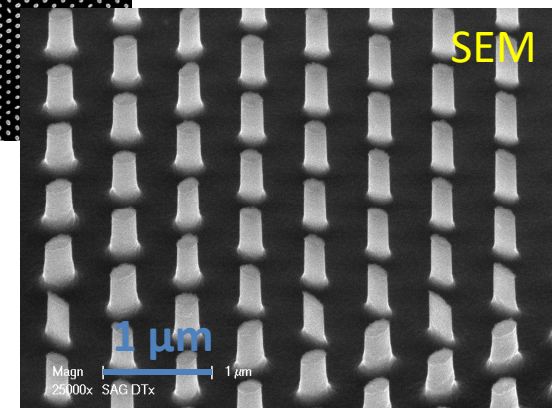
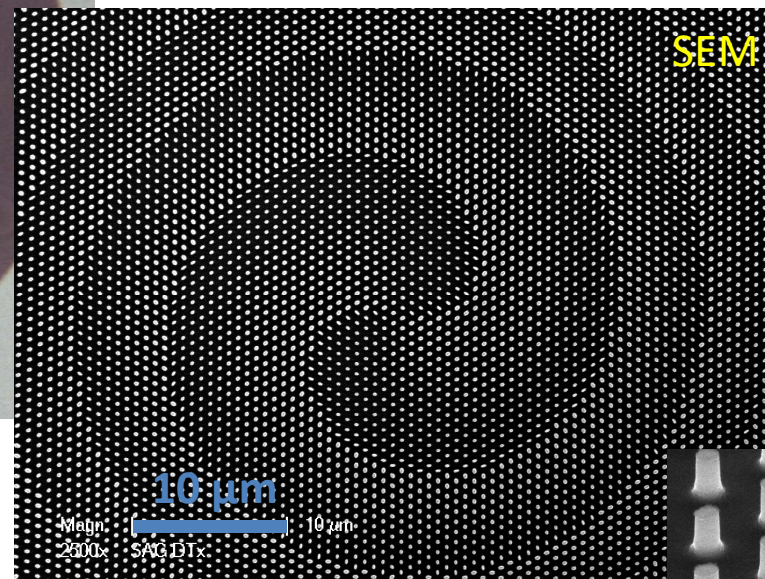
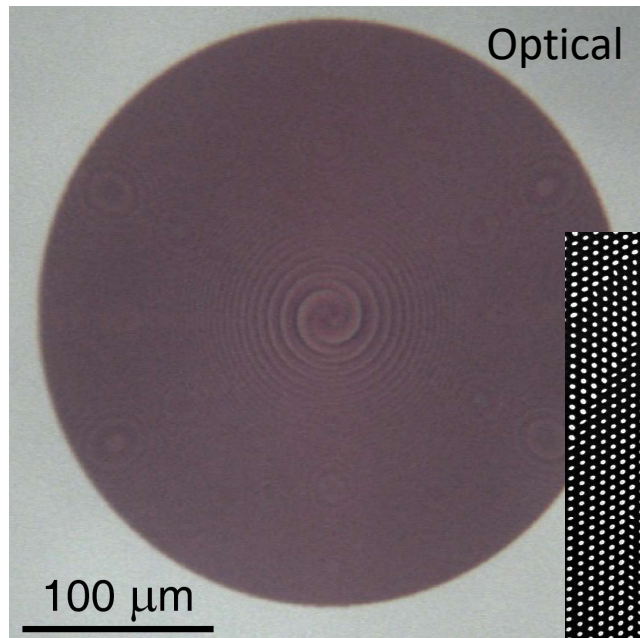


Complete phase and polarization control



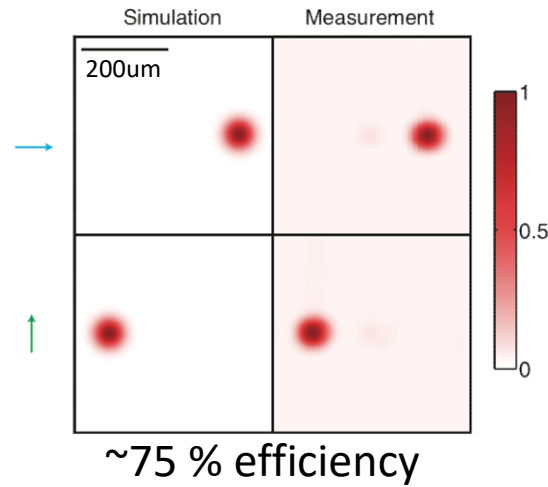
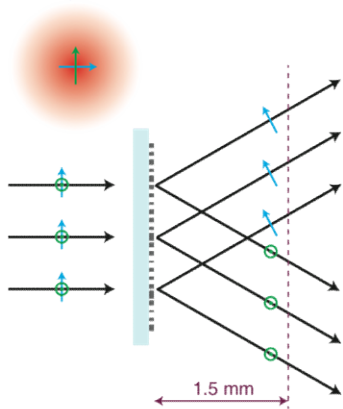
- Any desired output phase and polarization can be generated at each pixel
- High efficiency $> 90\%$
- Subwavelength pixel size $< \lambda/2$

Devices with Phase and Polarization Control

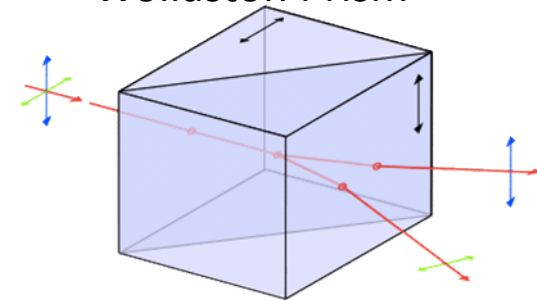


Polarizing beam splitter/focuser

A

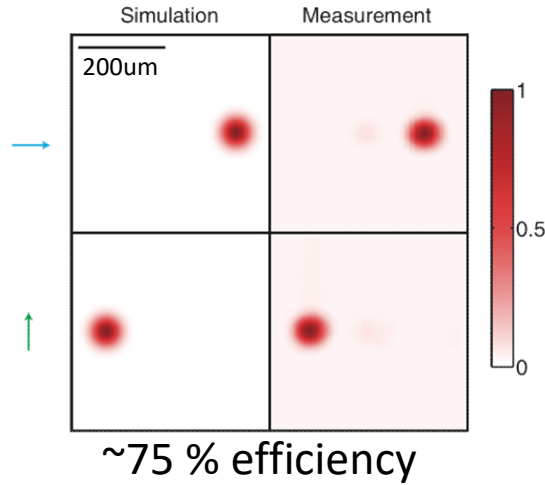
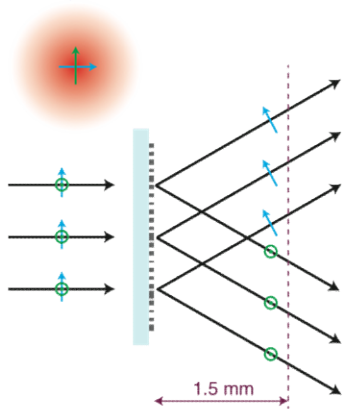


Equivalent to
Wollaston Prism

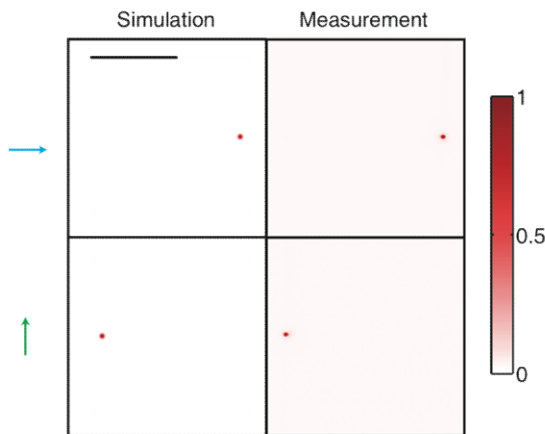
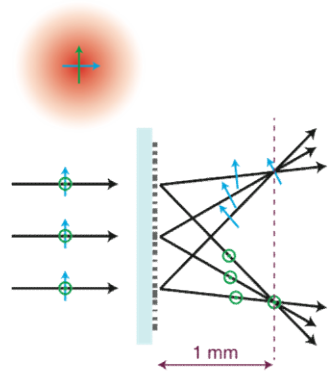
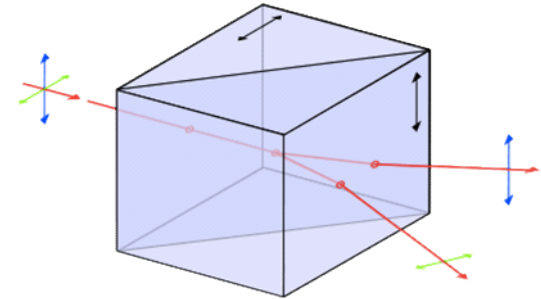


Polarizing beam splitter/focuser

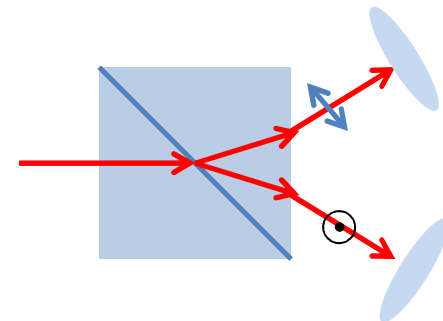
A



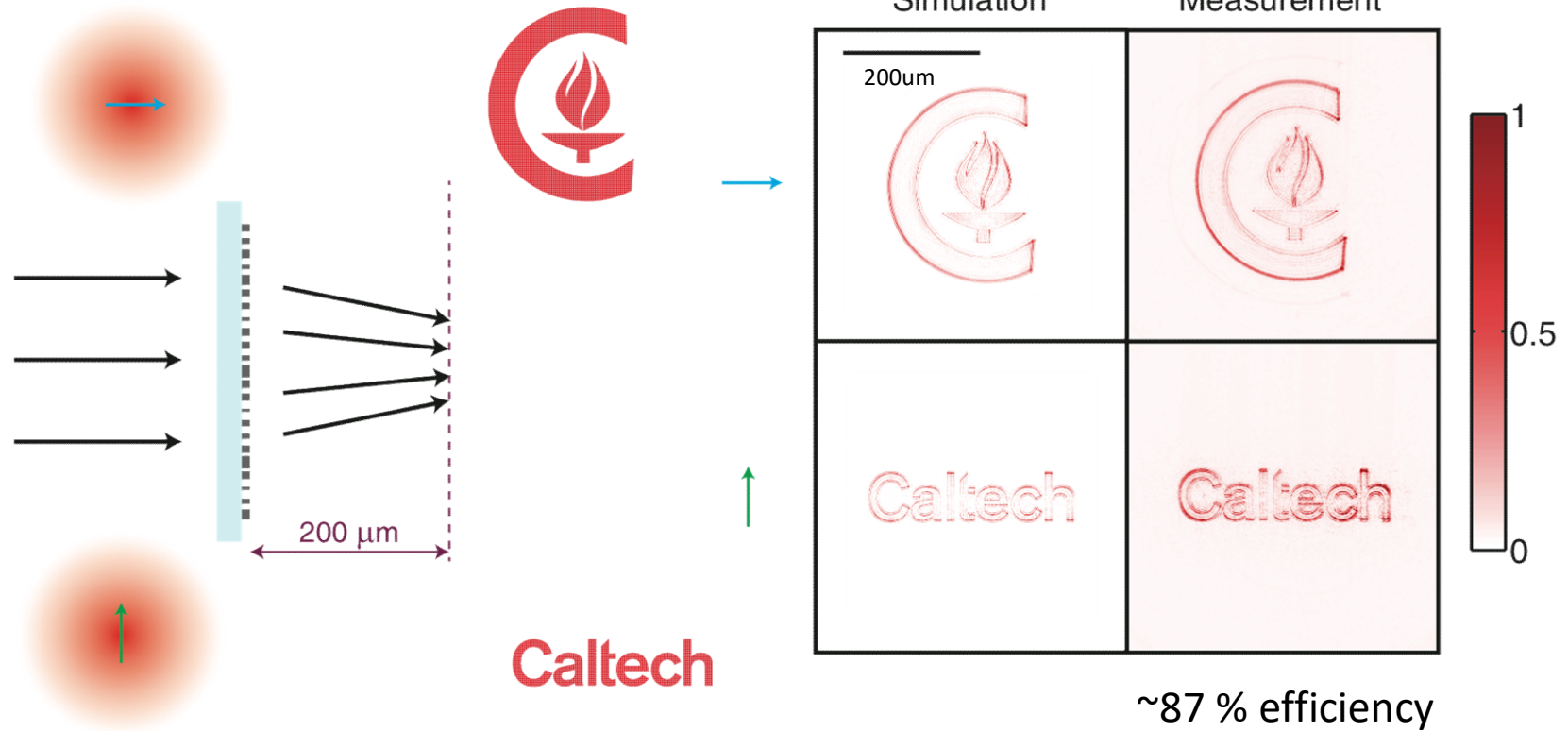
Equivalent to
Wollaston Prism



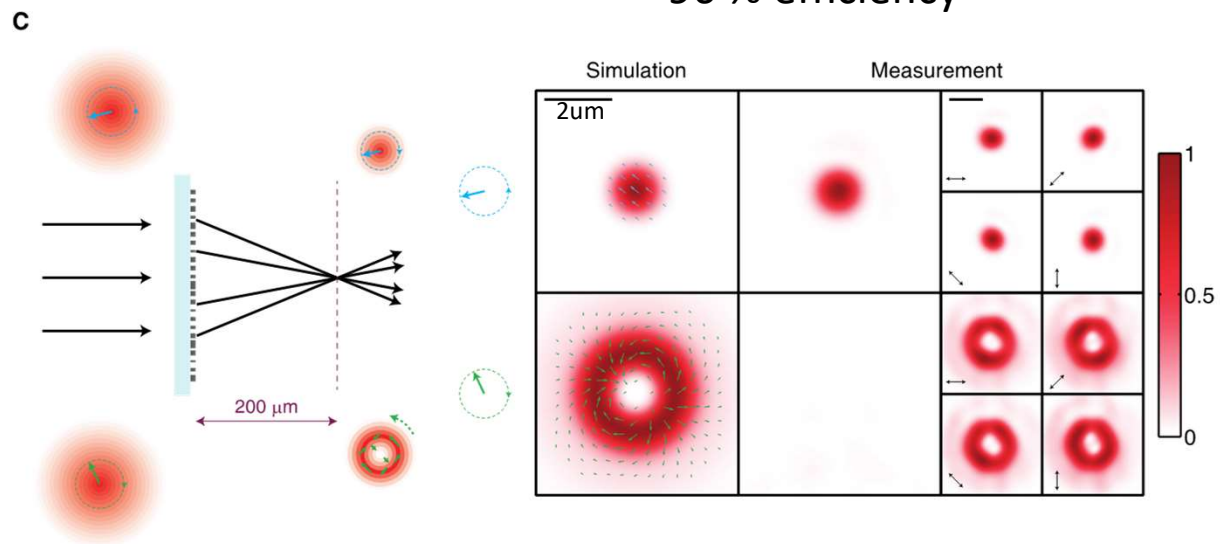
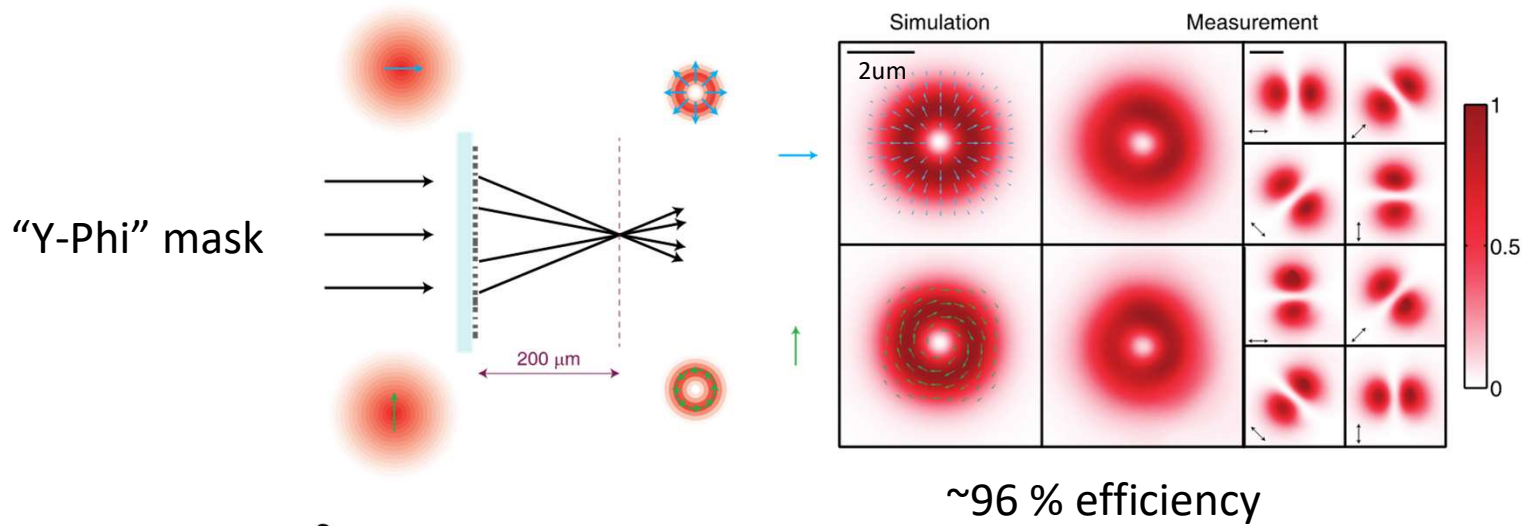
Equivalent to Wollaston
Prism and two lenses



General Polarization Switchable Phase Hologram

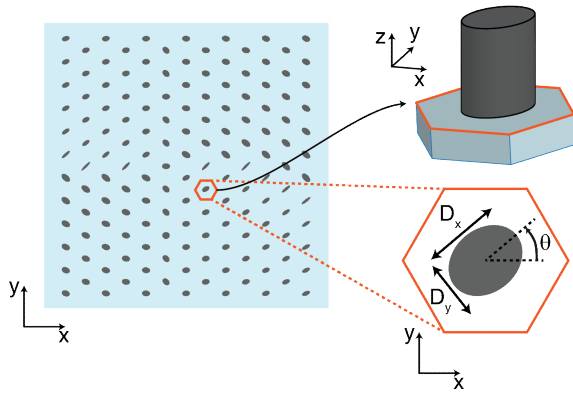


Vector Beams

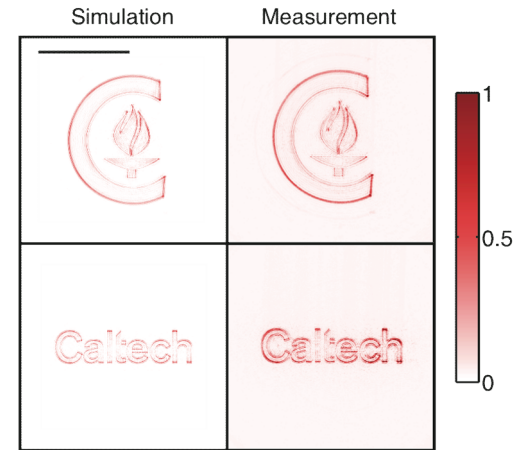


Outline

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

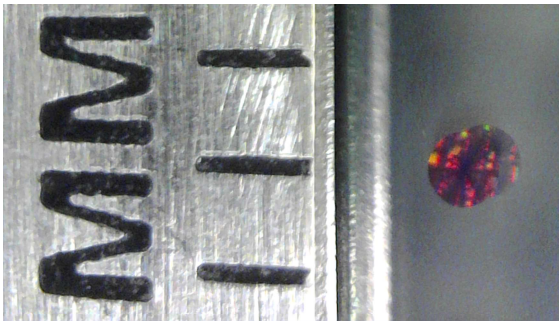


APPLICATIONS

Microscopy



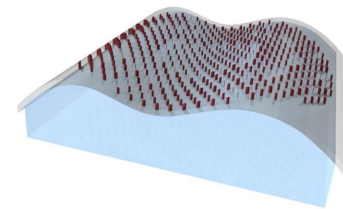
Ultra-Compact Camera Lenses



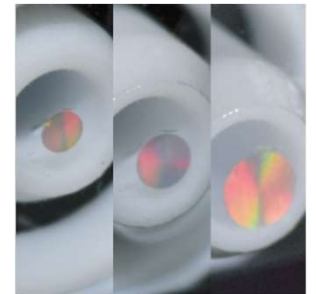
Retroreflector



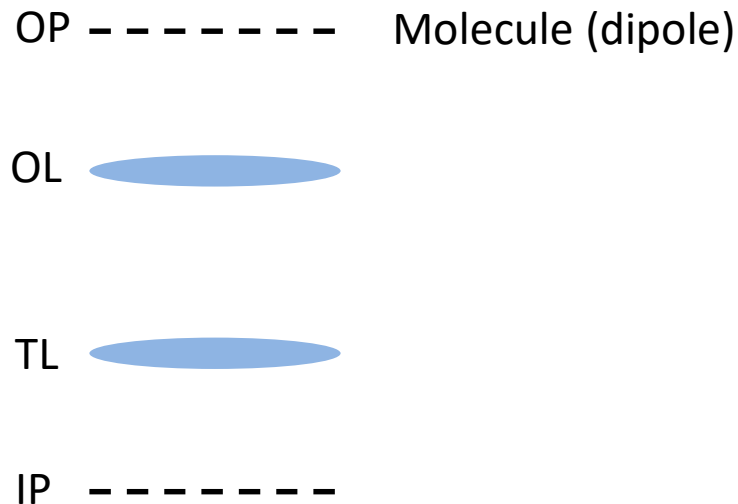
Conformal Optics



Tunable Lenses

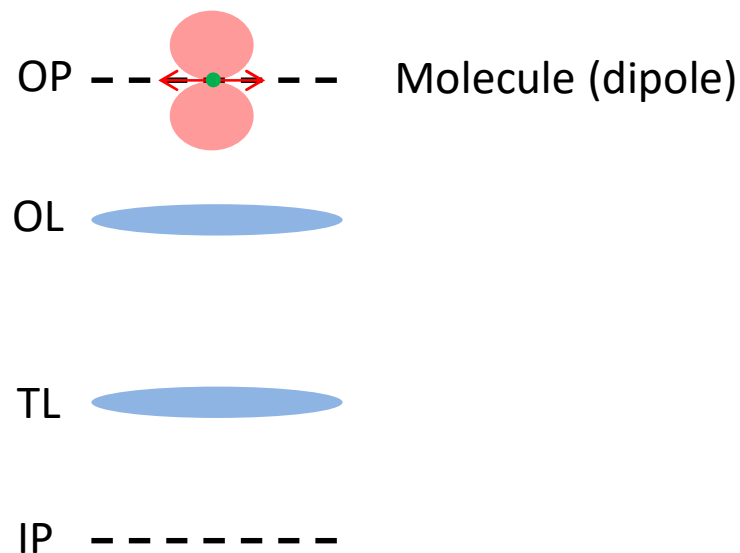


Accurate single molecule localization using a γ - ϕ mask



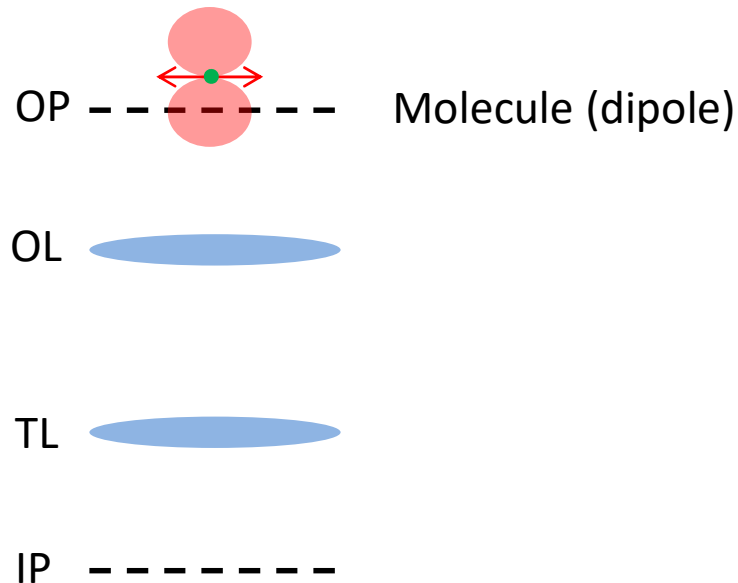
- Molecules emit like electric dipole profiles
- Out of focus dipoles with nonzero angle create localization biases

Accurate single molecule localization using a γ - ϕ mask



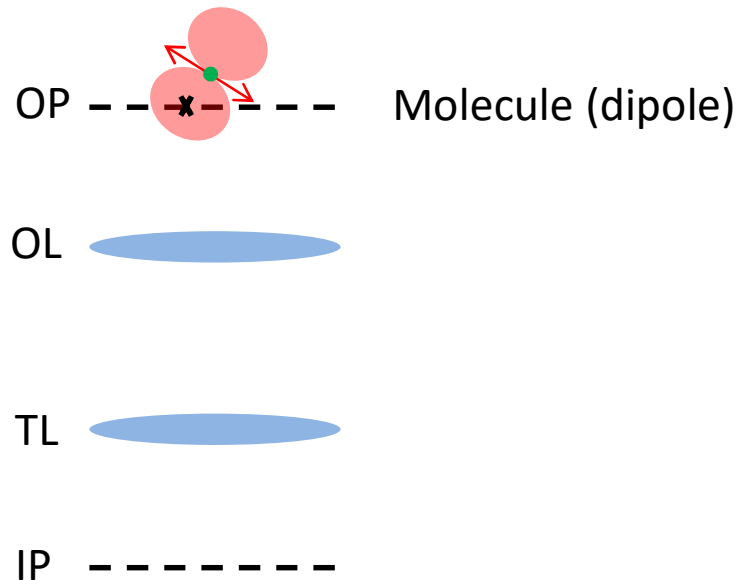
- Molecules emit like electric dipole profiles
- Out of focus dipoles with nonzero angle create localization biases

Accurate single molecule localization using a γ - ϕ mask



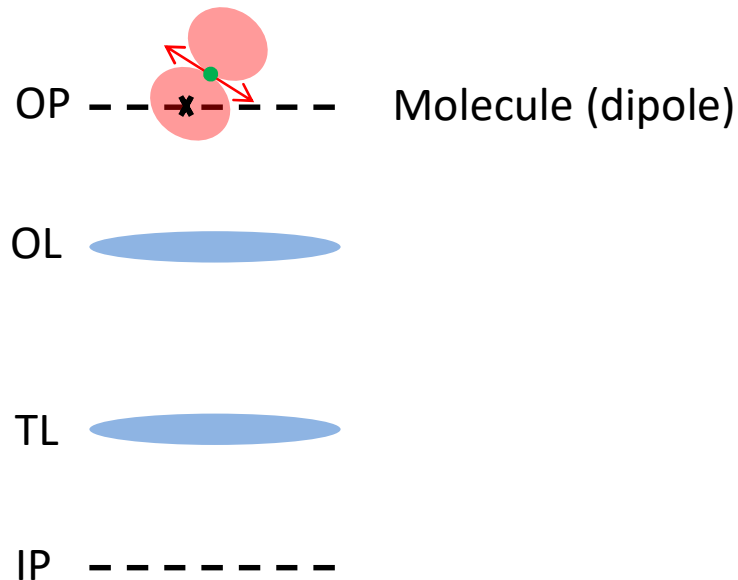
- Molecules emit like electric dipole profiles
- Out of focus dipoles with nonzero angle create localization biases

Accurate single molecule localization using a γ - ϕ mask



- Molecules emit like electric dipole profiles
- Out of focus dipoles with nonzero angle create localization biases

Accurate single molecule localization using a γ - ϕ mask



- Molecule appears to move laterally when scanning the objective z-axis

- Molecules emit like electric dipole profiles
- Out of focus dipoles with nonzero angle create localization biases

Removing the bias using a γ - ϕ mask

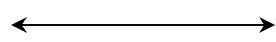
Out-of-plane dipole (r-polarized)



OL 



γ - ϕ mask



Polarizer (passing γ)

TL 

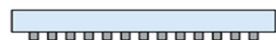
IP - - - - -

Removing the bias using a γ - ϕ mask

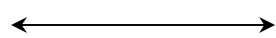
Out-of-plane dipole (r-polarized)



OL



γ - ϕ mask



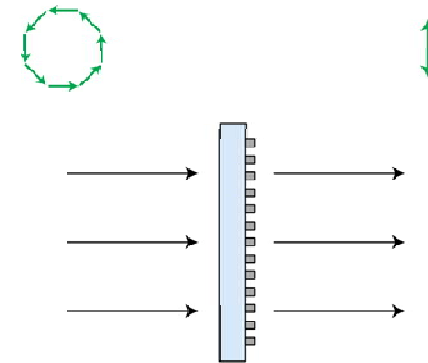
Polarizer (passing γ)

TL



IP

γ - ϕ mask



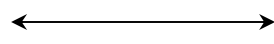
- Out-of-plane dipole emits radially polarized light
- Filter r-polarized light with polarization mask converting azimuthal to γ polarization (radial to x-polarization) followed by a polarizer to block x-polarized
- Only in-plane dipole radiation reaches camera which does not have the localization bias

Removing the bias using a γ - ϕ mask

Out-of-plane dipole (r-polarized)



OL



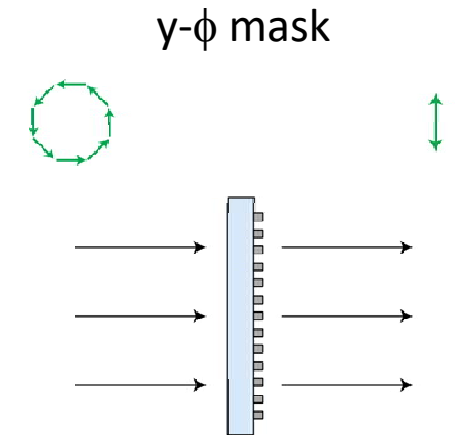
γ - ϕ mask

Polarizer (passing γ)

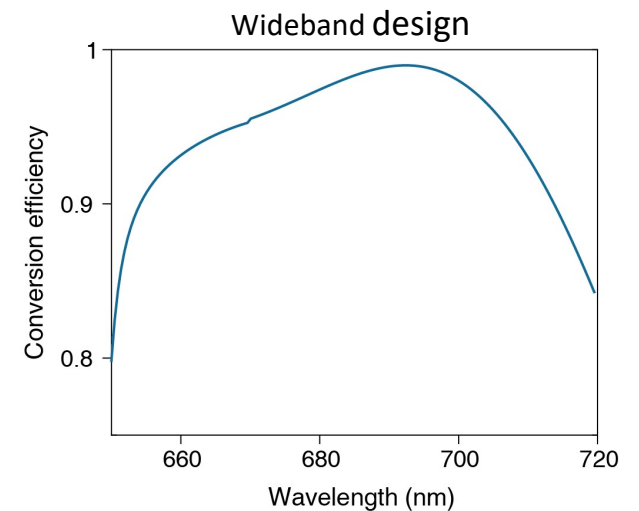
TL



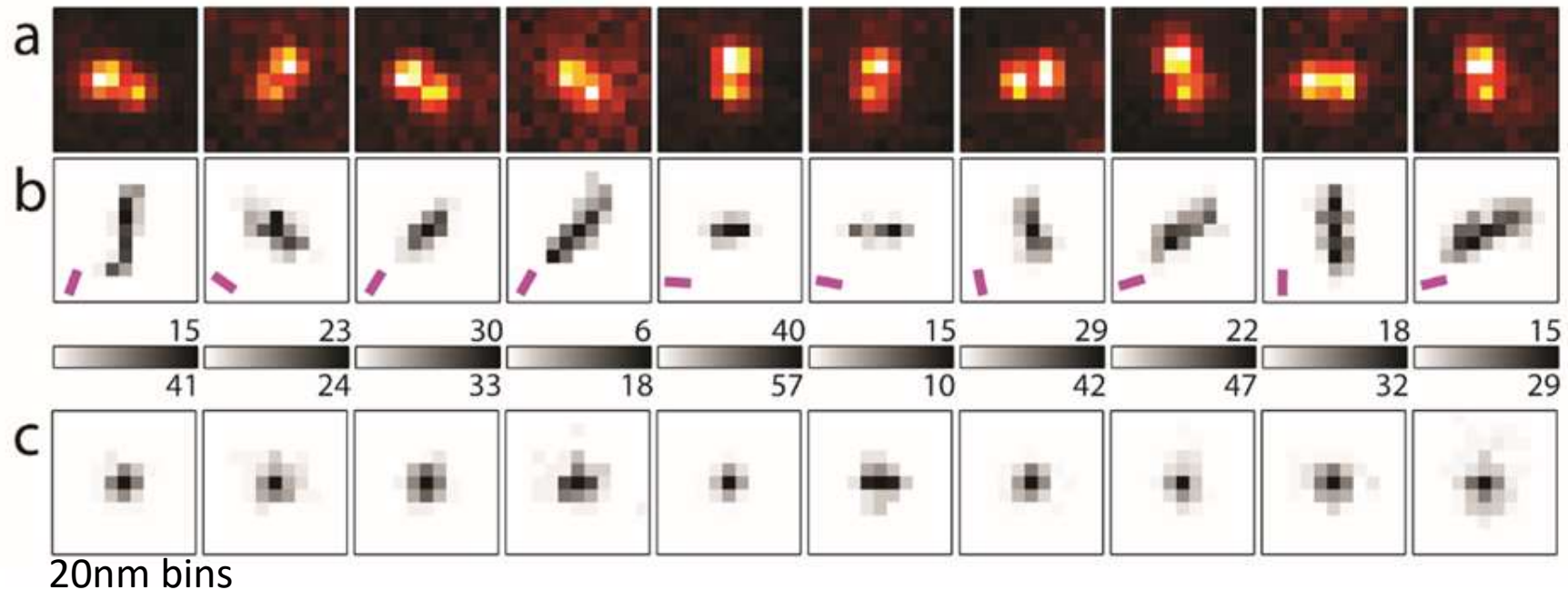
IP



- Out-of-plane dipole emits radially polarized light
- Filter r-polarized light with polarization mask converting azimuthal to γ polarization (radial to x-polarization) followed by a polarizer to block x-polarized
- Only in-plane dipole radiation reaches camera which does not have the localization bias



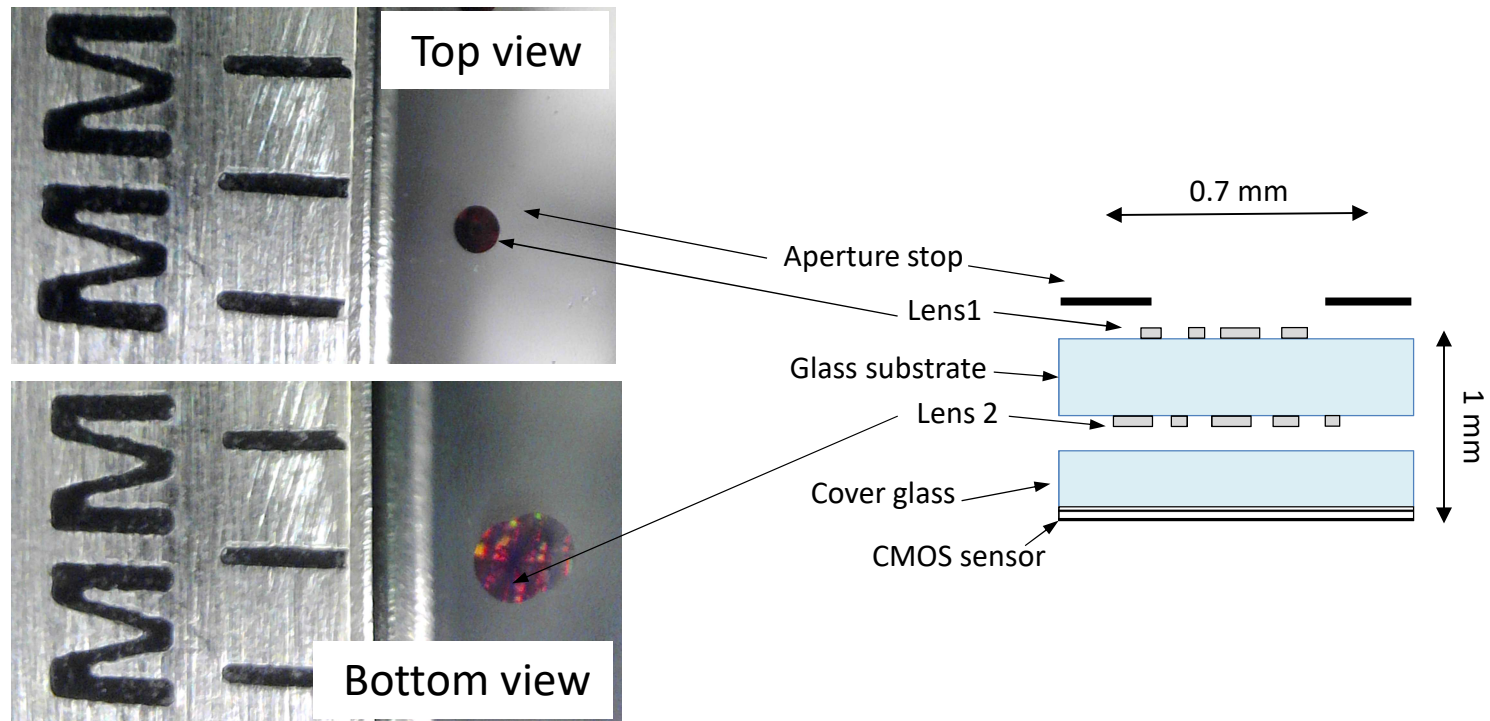
Nano-scale localization results



- a) Images of 10 molecules (with $y-\phi$ mask and y -pol)
- b) Position of molecules while z-scanning $\pm 200\text{nm}$ (no $y-\phi$ mask and y -pol)
- c) Position of molecules while z-scanning $\pm 200\text{nm}$ (with $y-\phi$ mask and y -pol)

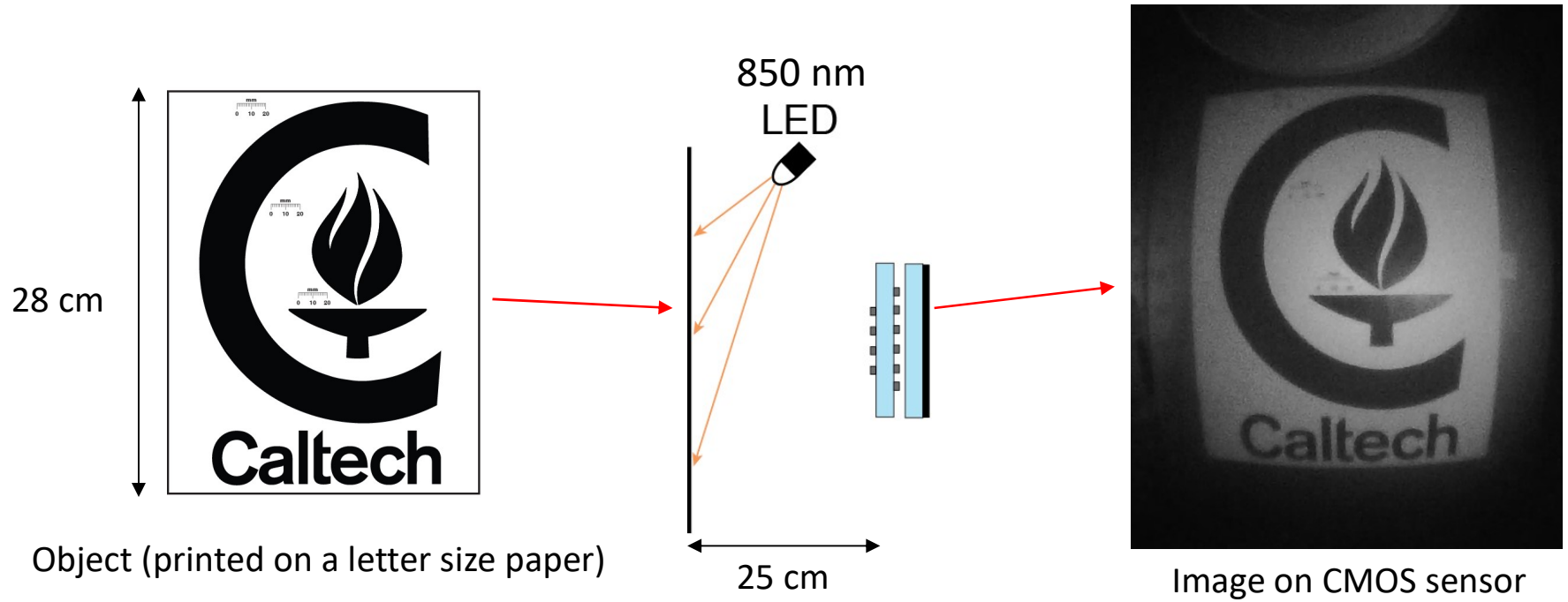
Ultra-compact camera lens

A camera with total volume $\sim 0.5 \text{ mm}^3$ (including the image sensor)



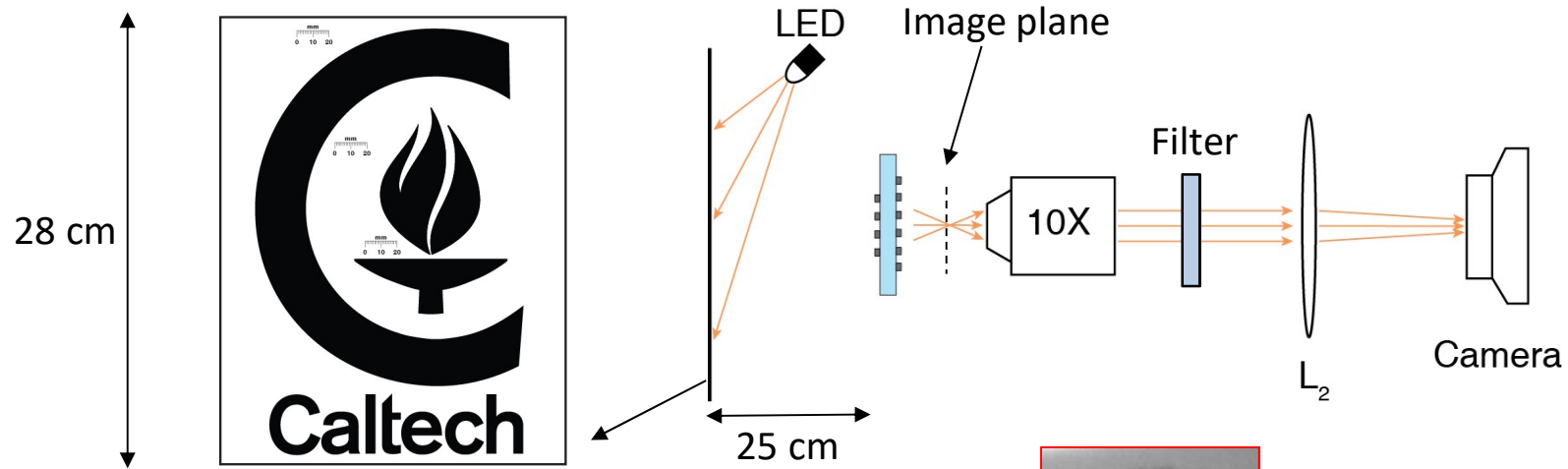
- Camera lens formed of two dielectric metasurfaces lenses (each 600 nm thick)
- Geometrical aberrations are corrected (fisheye lens)
- Works with 850nm LED illumination

Imaging results with a CMOS image sensor

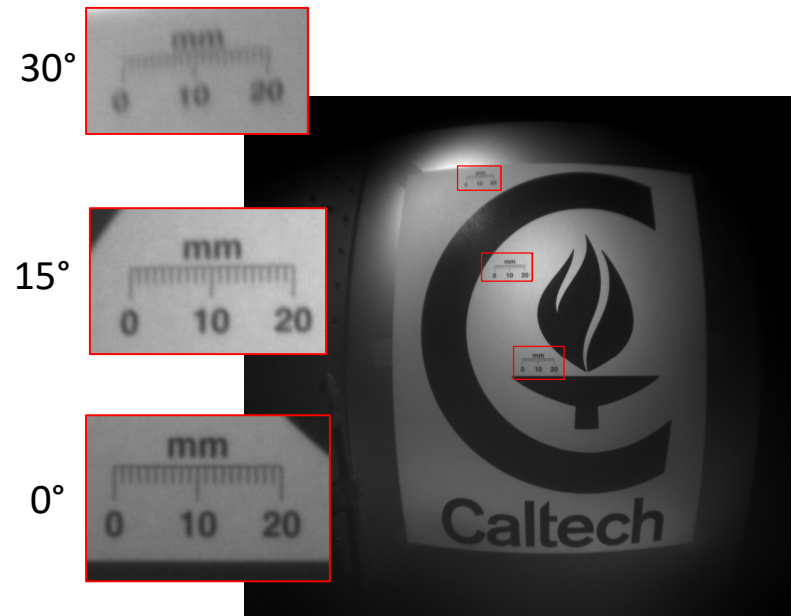


f-number: 0.9
Field of view: $\pm 40^\circ$

Image quality/ potential applications

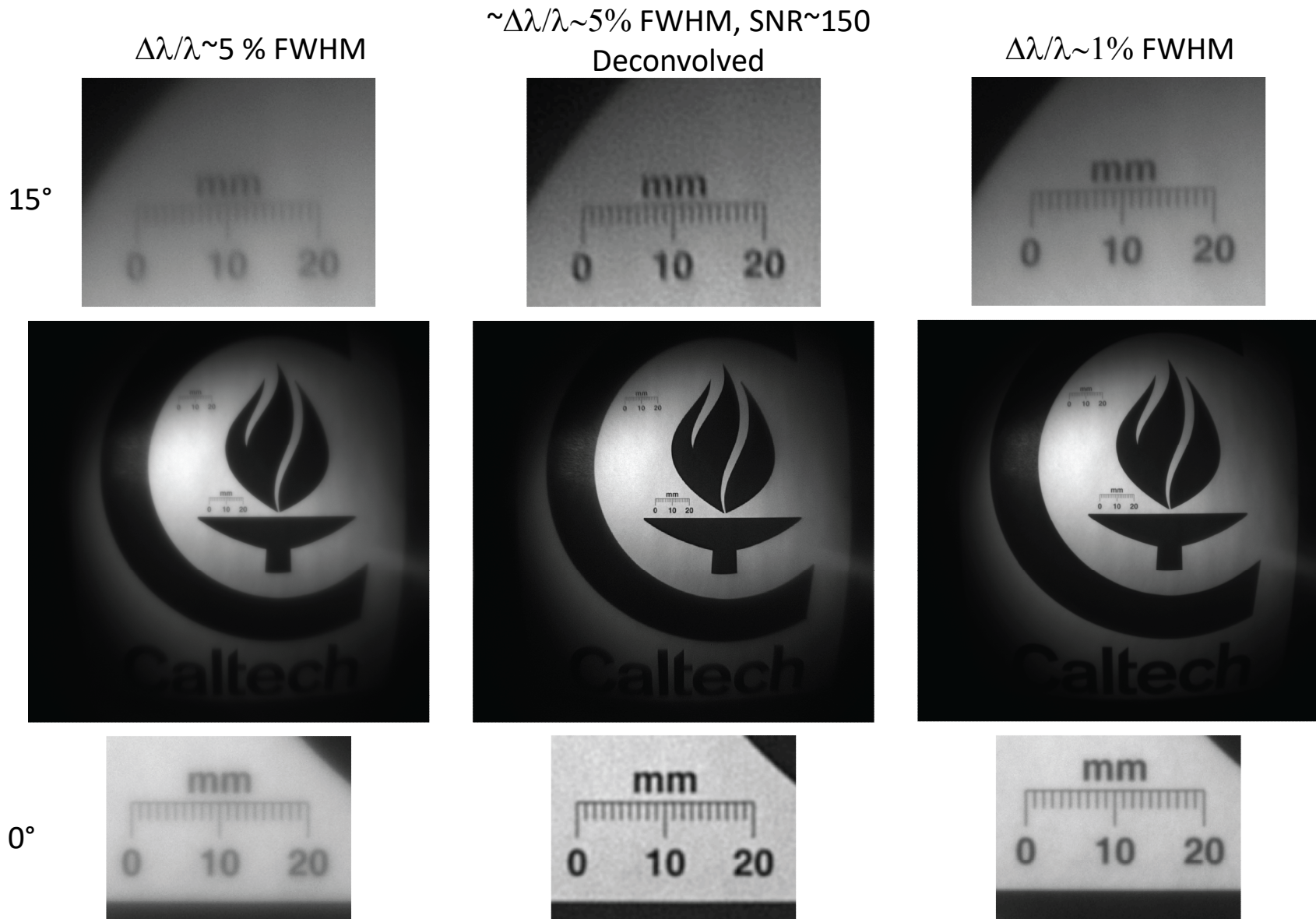


- Advantages:
 - Can be easily fabricated on top of image sensor using lithographic techniques
 - High image quality under LED illumination
- Possible applications
 - Ultra-compact imaging systems – drones, surveillance, endoscopes, internet of things

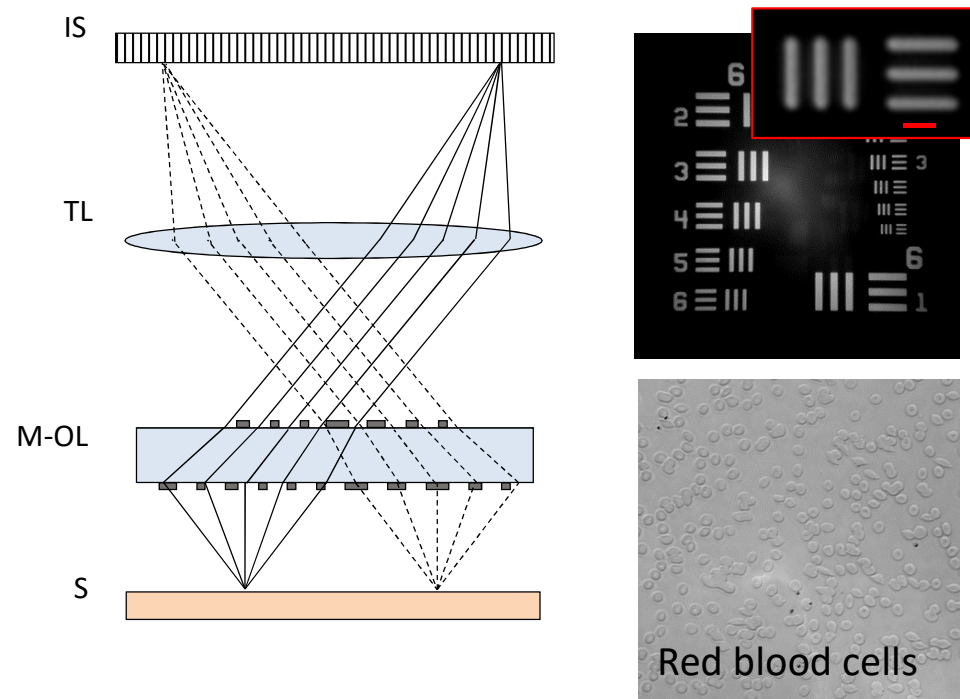


70% efficiency on the axis

Image processing for chromatic aberration



Lens doublet used as a microscope objective



Pictures of people



Dr. Amir Arbabi

Yu Horie

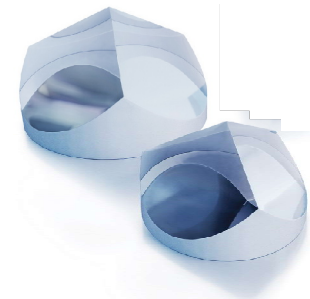
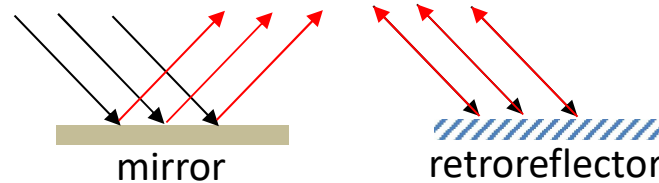
Ehsan Arbabi

Mahsa Kamali

Dr. Andrei Faraon

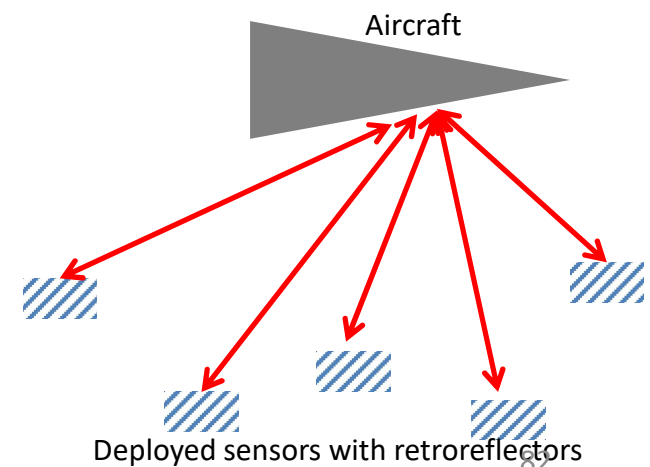
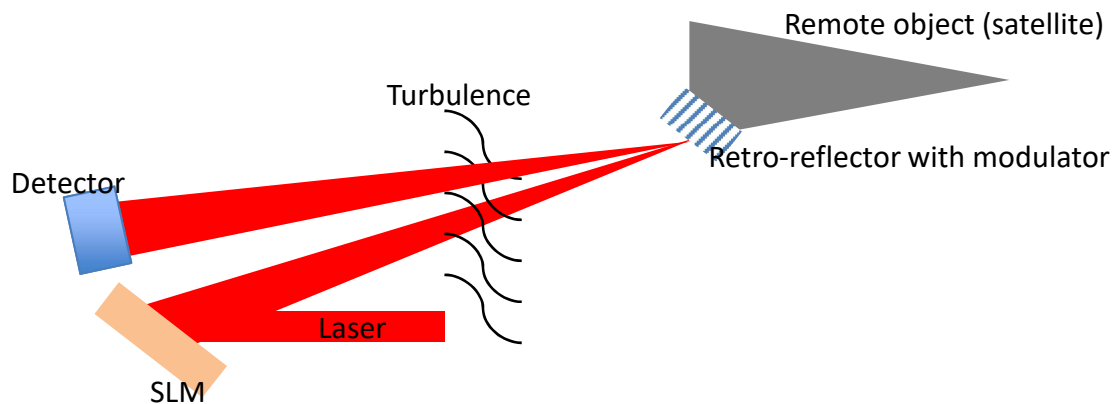
Planar Retroreflectors

Retroreflectors reflect the light back along the incident angle



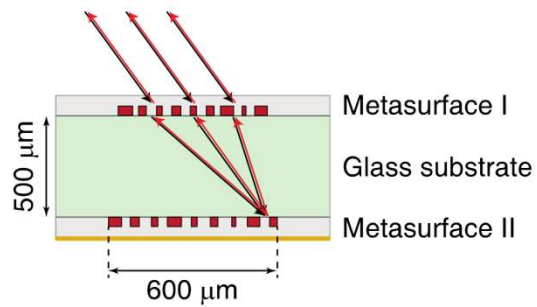
Applications in free space optical communications, length measurement, and optical remote sensing

- Small size and weight
- Integrability with an optical modulator

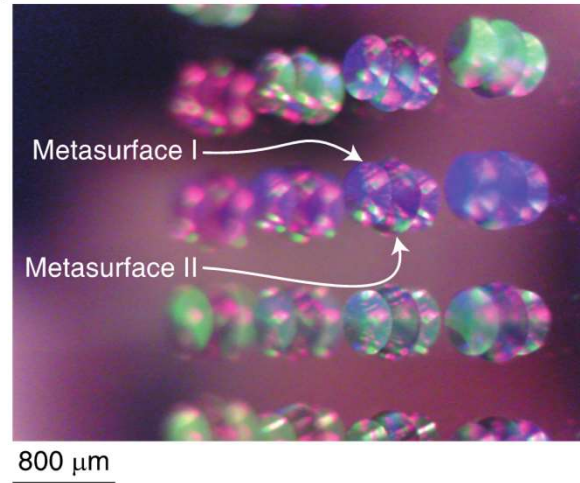


Metasurface Retroreflector Design

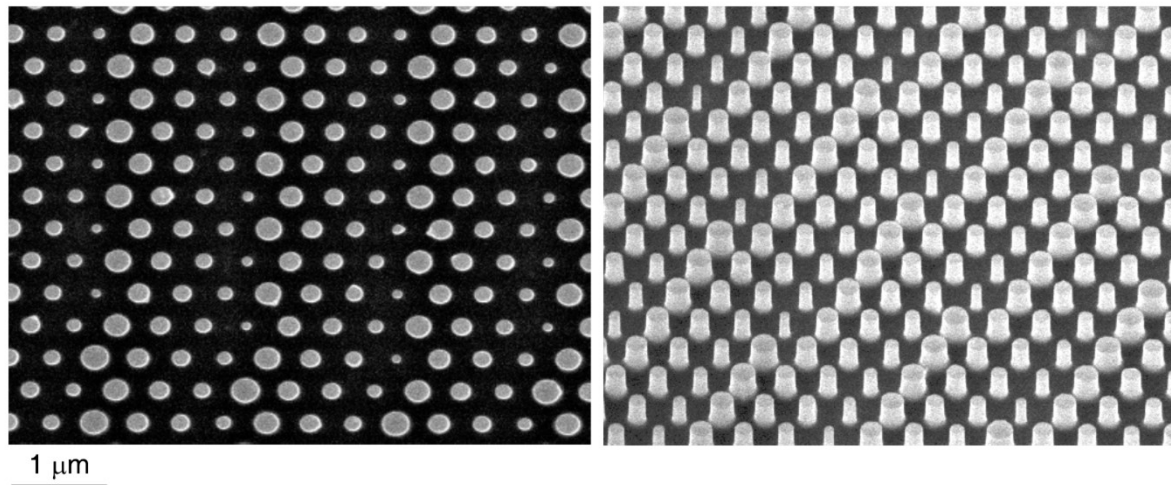
A



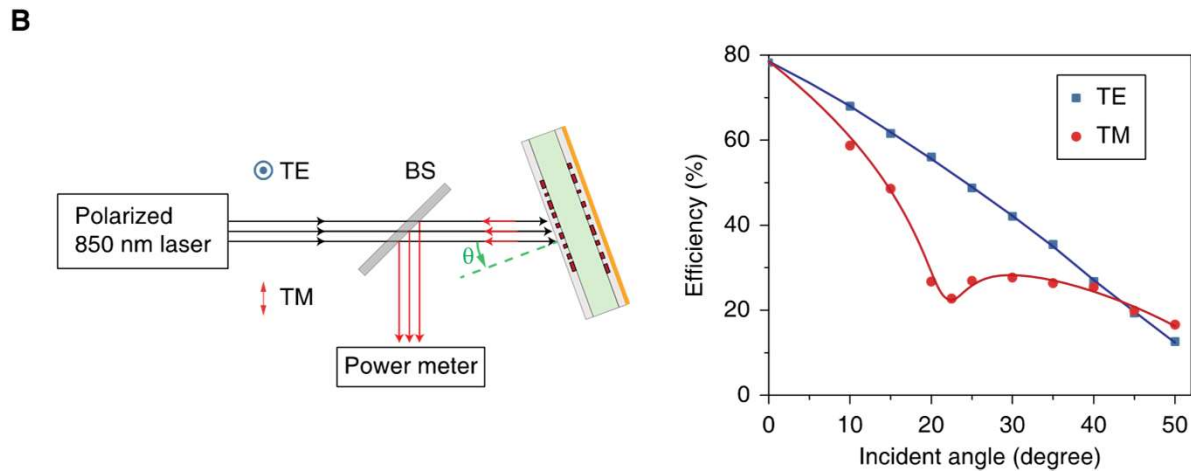
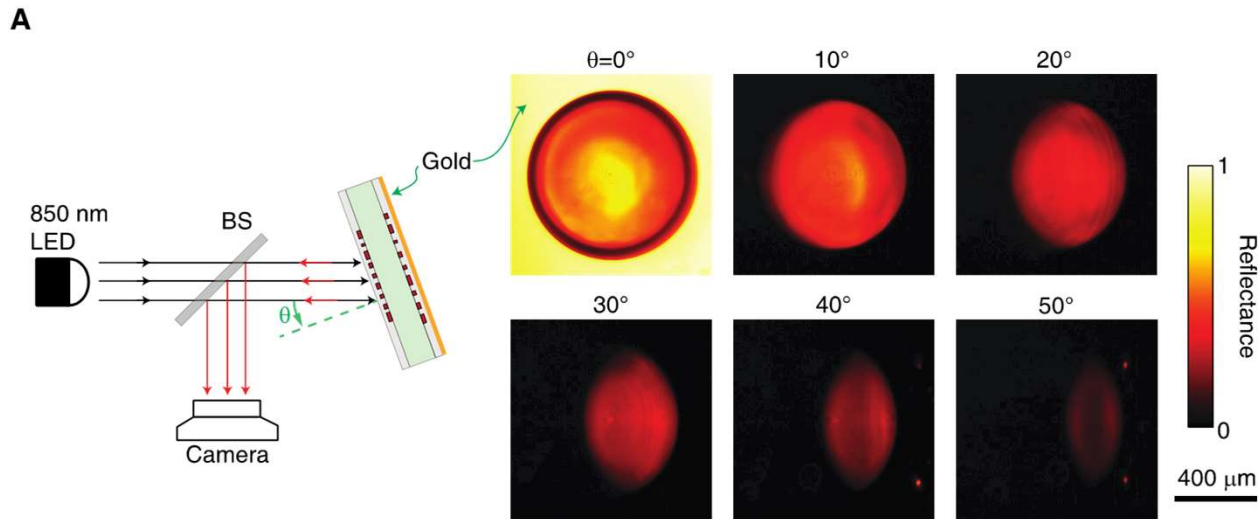
B



C



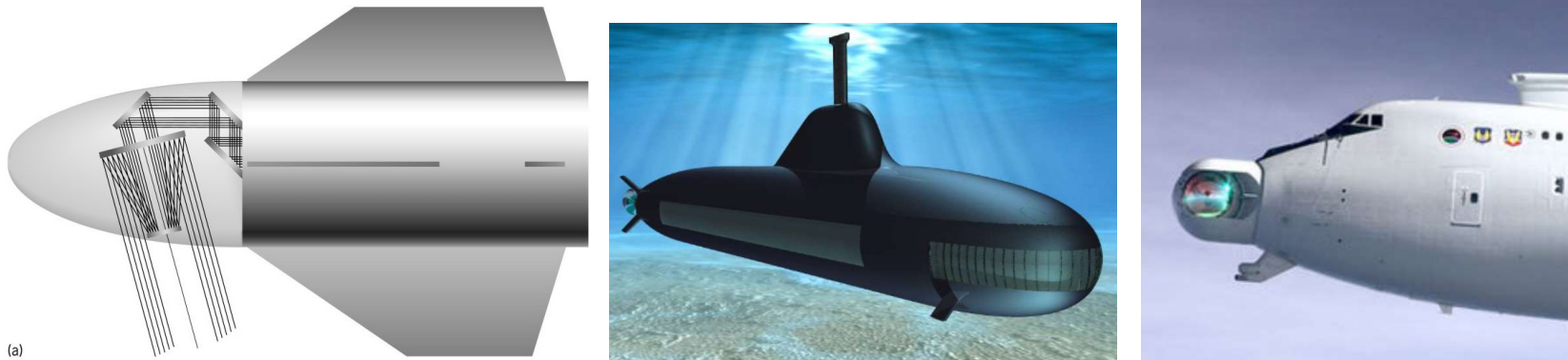
Retroreflector Measurements



A Arbabi et al, Submitted

Conformal Optics - Motivation

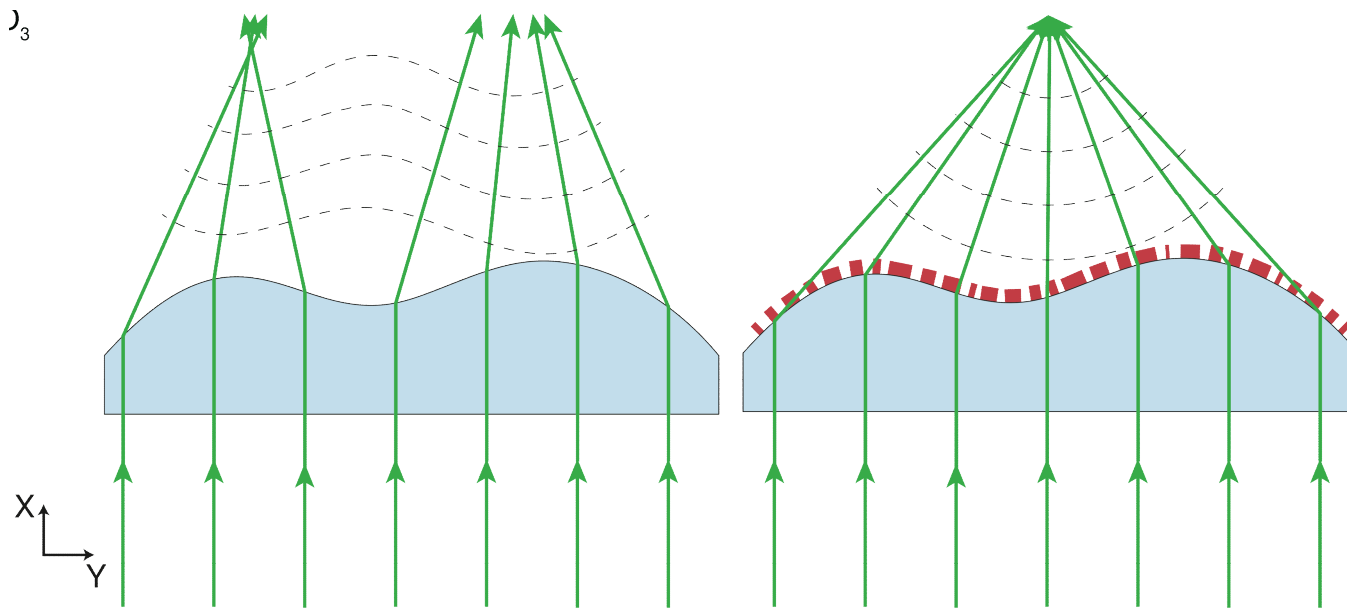
Conventional conformal optics



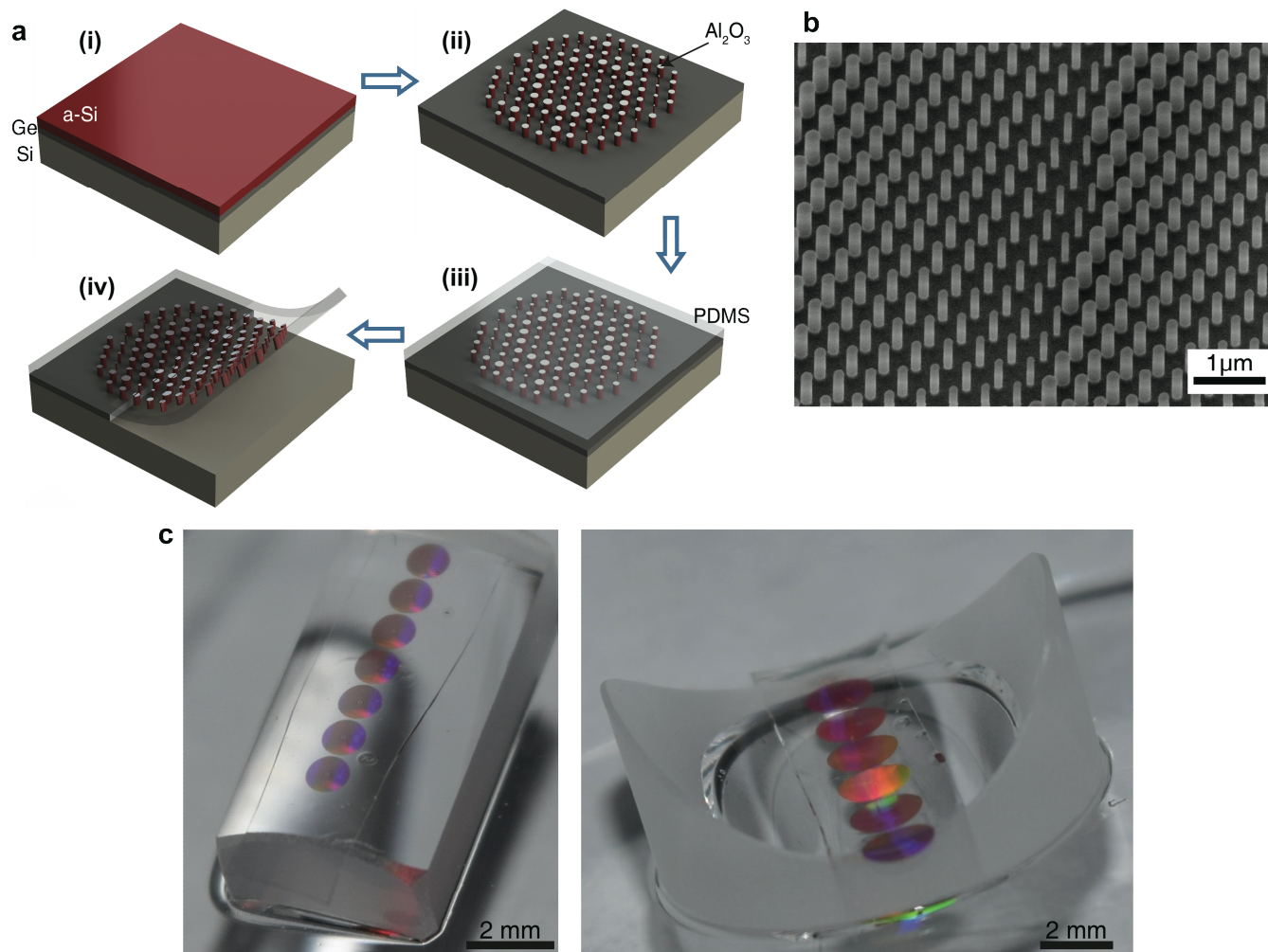
Integration with electronics and medical devices



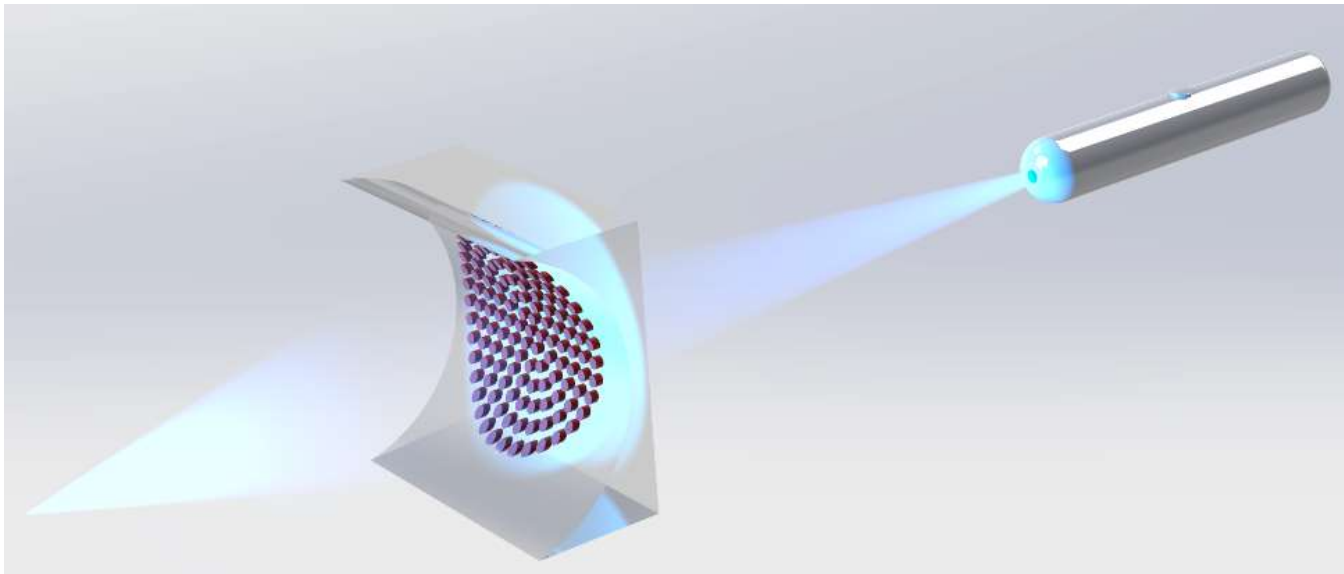
Conformal optics with phase compensation



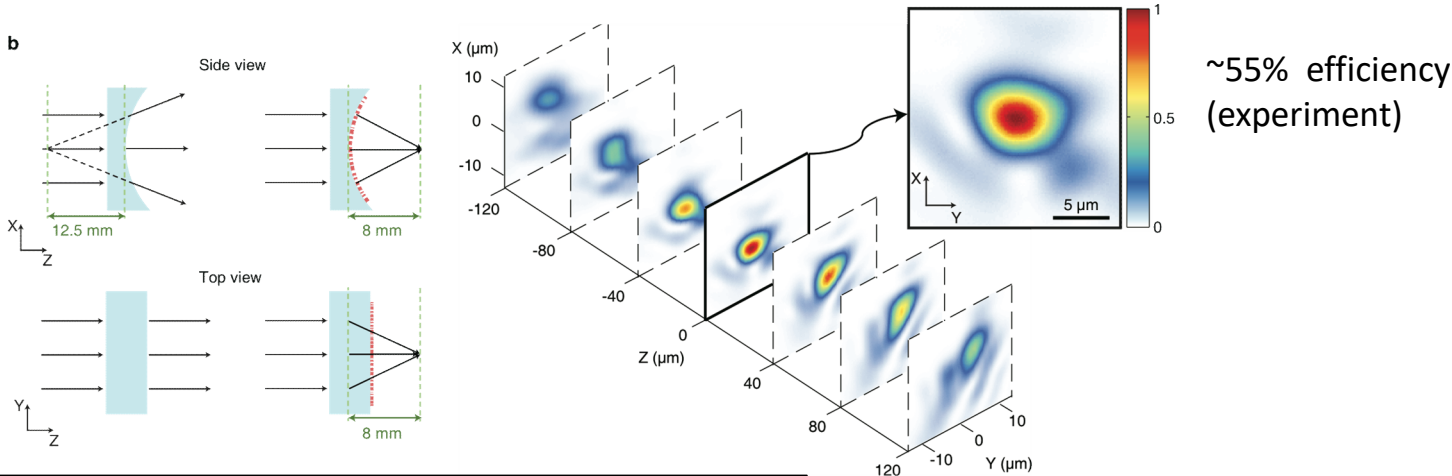
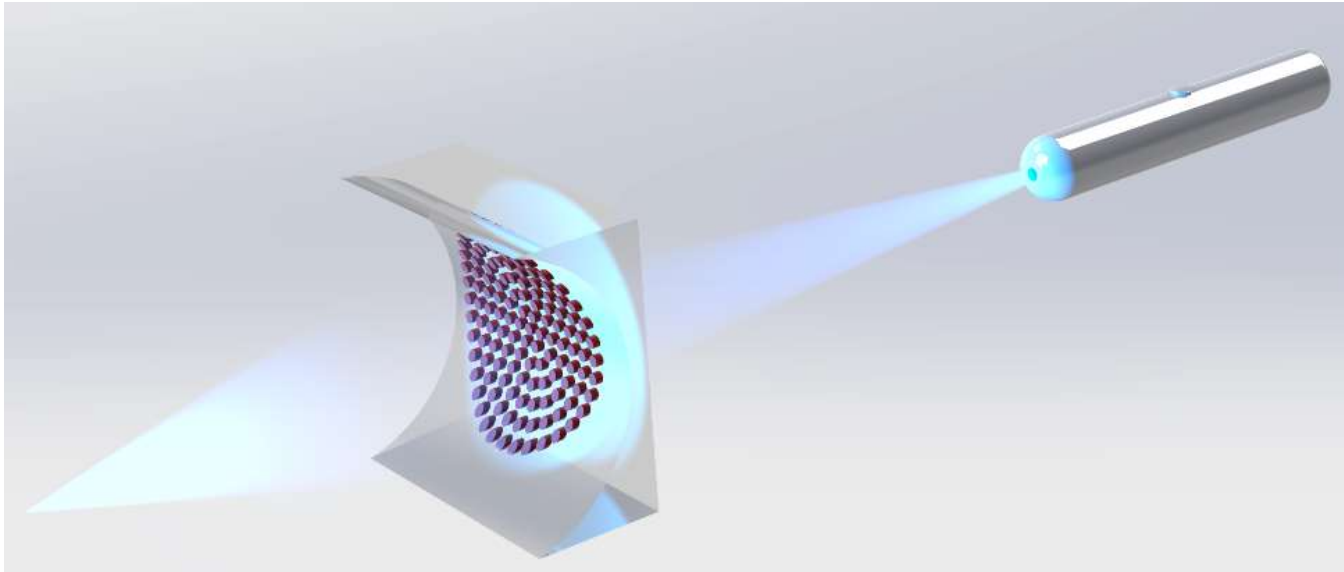
Flexible and Conformal Metasurfaces



Concave cylinder focusing light to a point!



Concave cylinder focusing light to a point!

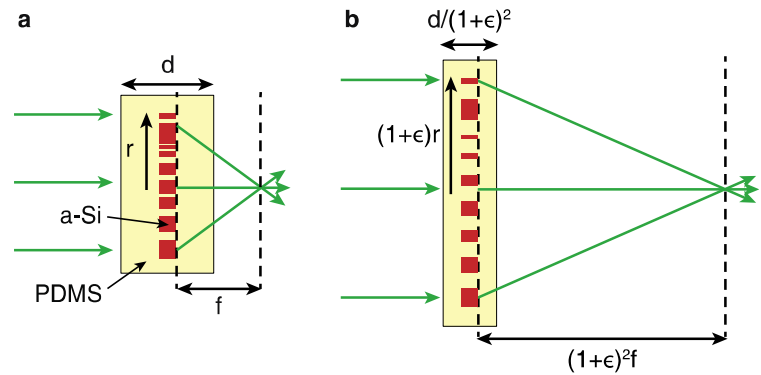
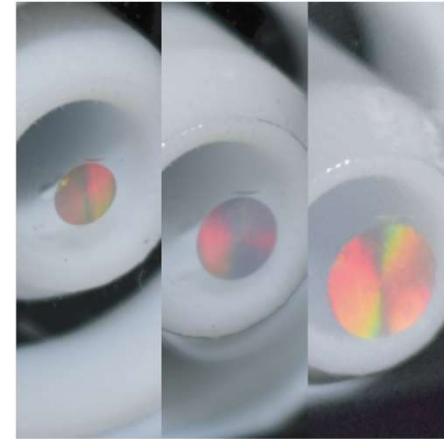


Kamali et al, *Nature Communications* 7, Article number: 11618, 2016

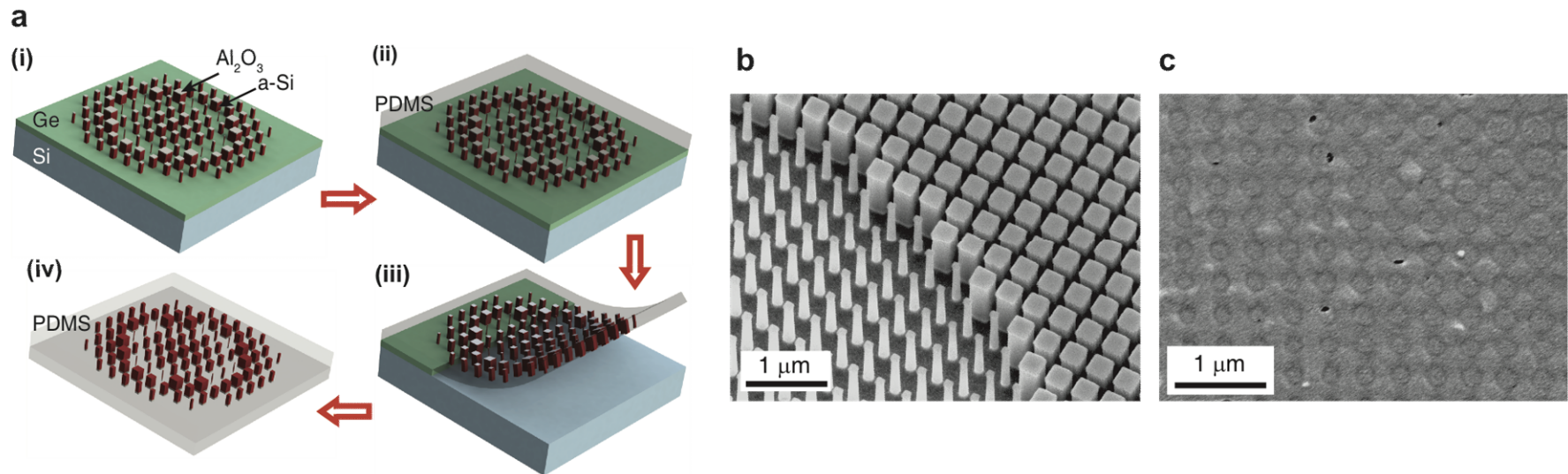
Flexible Tunable Lenses



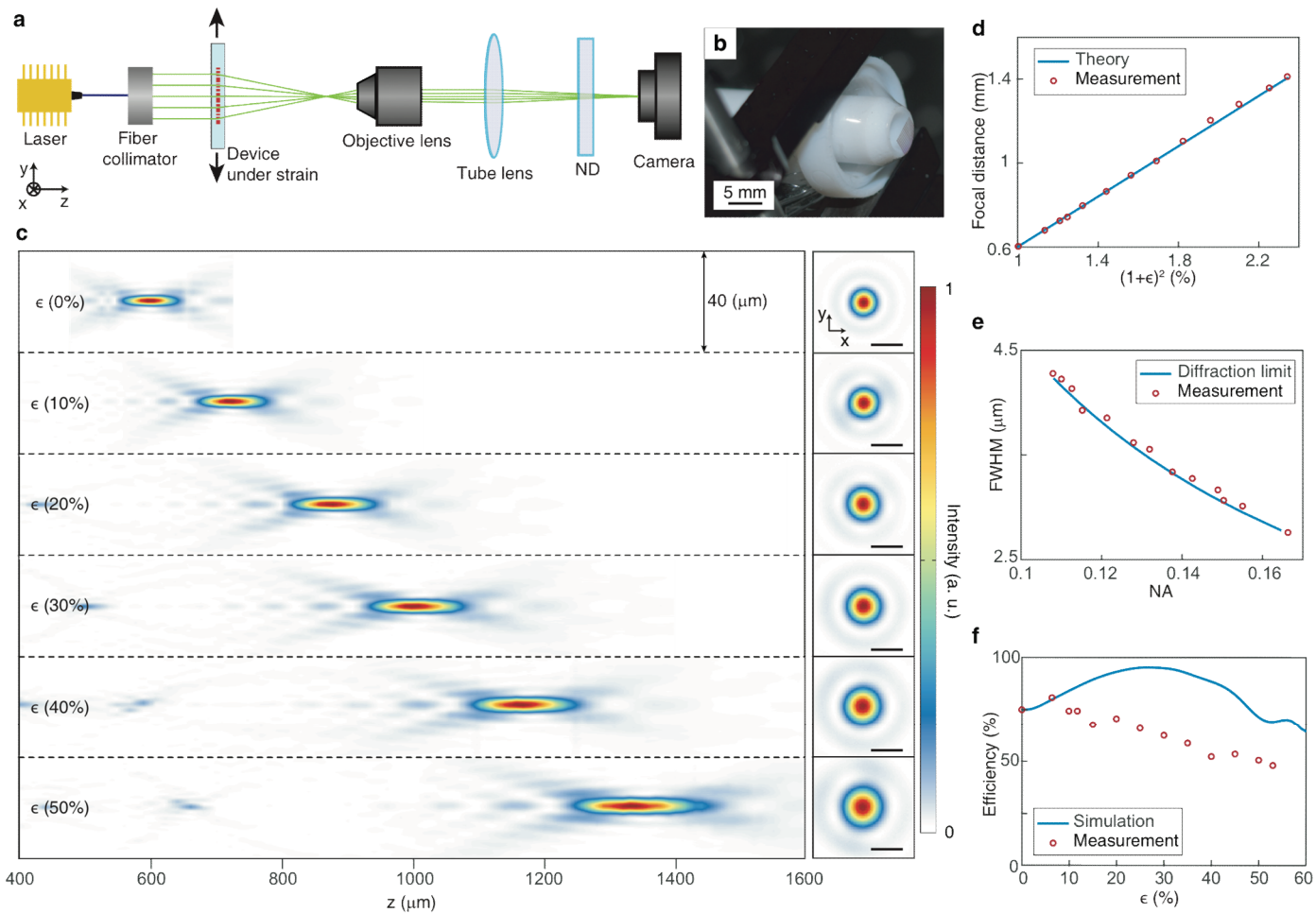
Flexible Tunable Lenses



Fabrication of stretchable metasurfaces



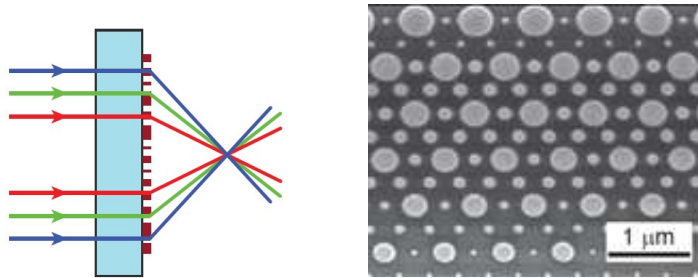
Flexible tunable lens performance



Kamali et al, arXiv:1604.03597, (2016), accepted in **Lasers and Photonic Reviews**

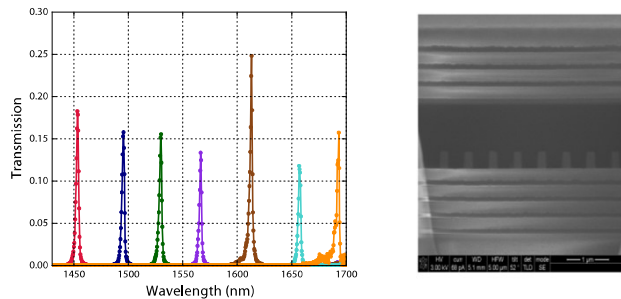
Other Applications

Multi-Wavelength Metasurface Lenses



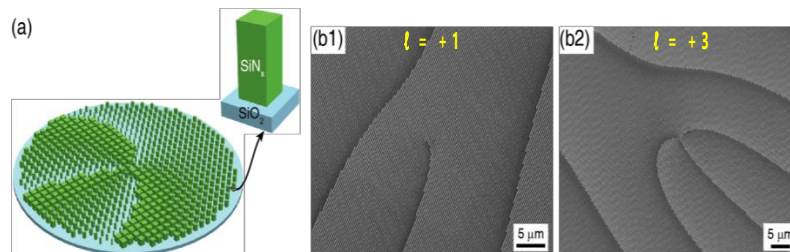
E Arbabi et al, **Optica** Vol. 3, Issue 6, pp. 628-633 (2016)
E Arbabi et al, **Optics Express** Vol. 24, Issue 16, pp. 18468-18477 (2016)
E Arbabi et al, **Scientific Reports**, DOI:10.1038/srep32803 (2016)

On-chip optical filter arrays



Y Horie et al, **Optics Express** Vol. 24, Issue 11, pp. 11677-11682 (2016)
Y Horie et al, **Optics Express** Vol. 23, Issue 23, pp. 29848-29854 (2015)

Phase masks for OAM under-water communications



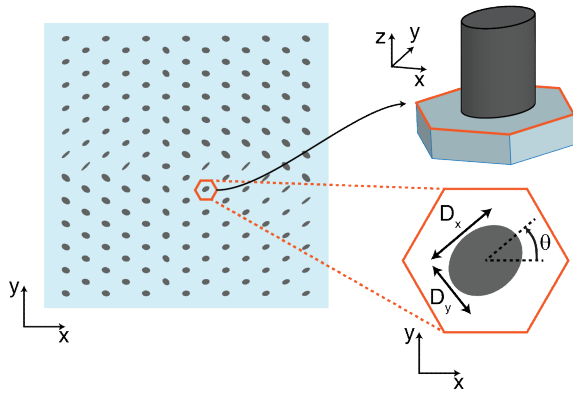
Ren et al, **Scientific Reports** 6, Article number: 33306 (2016)

Outlook

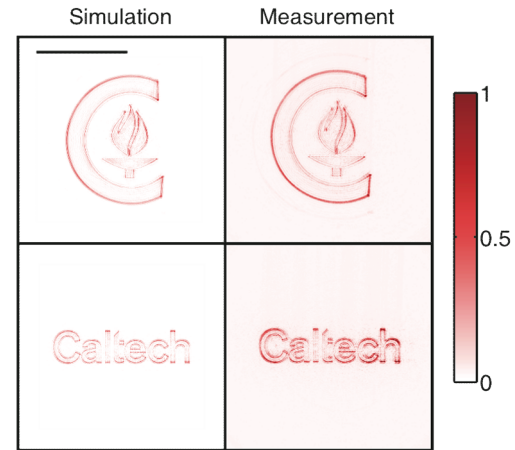
- Ultra-compact microscopy systems
- Holographic microscopy based on bi-refringent elements
- Optical phased arrays

Summary

Metasurfaces for complete phase and polarization control



Arbitrary polarization/phase plates

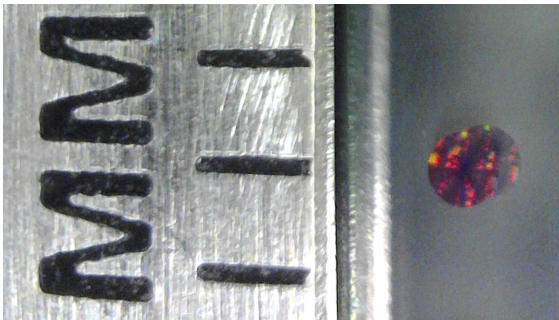


APPLICATIONS

Microscopy



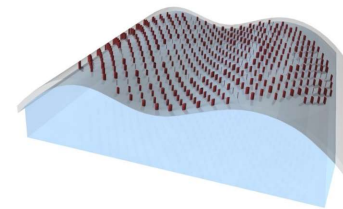
Ultra-Compact Camera Lenses



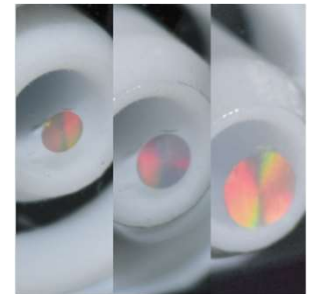
Retroreflector



Conformal Optics



Tunable Lenses

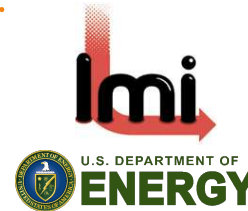


Acknowledgements



Faraon group: Dr. Amir Arbabi, Yu Horie, Mahsa Kamali, Ehsan Arbabi, Leon Ding (UG), Alex Ball (UG)

Collaborators: Dr. David Fattal (HP/Leia Inc.), Dr. Seunghoon Han (Samsung), Dr. Mahmood Bagheri (JPL), Prof. W.E. Moerner (Stanford), Prof. Gary Brooker (Hopkins), Alan Willner (USC), Oscar Bruno (Caltech)



Caltech