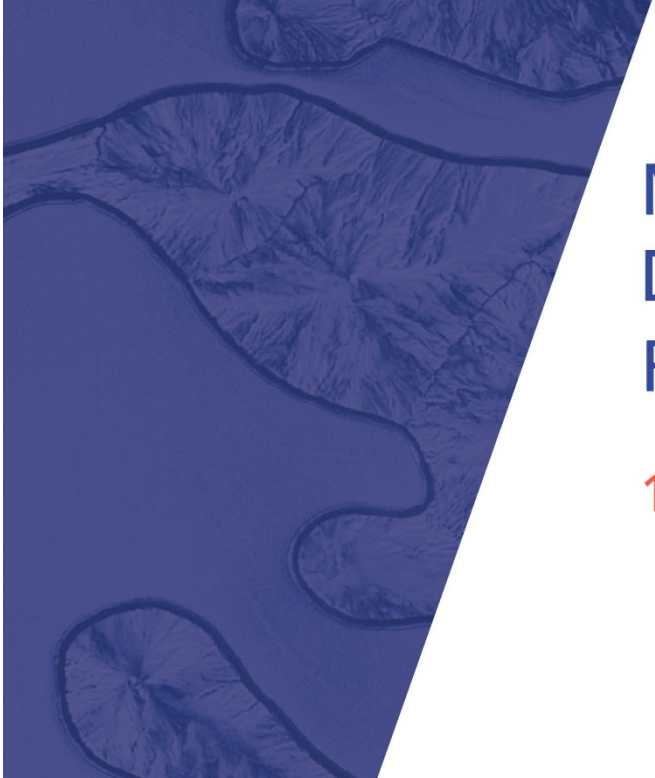


# Welcome to Today's Webinar!



## MODELING PHOTODETECTORS USING THE DRIFT-DIFFUSION EQUATIONS FOR RF-PHOTONICS APPLICATIONS

15 July 2021 • 10:00 EDT (UTC -4:00)

**OSA** Photonic  
Detection  
Technical Group

# Technical Group Executive Committee



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# About the Photonic Detection Technical Group

Our technical group focuses on detection of photons as received from images, data links, and experimental spectroscopic studies to mention a few. Within its scope, it is involved in the design, fabrication, testing of single and arrayed detectors. Detector materials, structures, and readout circuitry needed to translate photons into electrical signals.

Our mission is to connect the 2000+ members of our community through technical events, webinars, networking events, and social media.

## Our past activities have included:

- Special sessions at CLEO and OFC, including a panel discussion on *Silicon Photonics for LiDAR and Other Applications*
- 11 previous webinars

# Connect with our Technical Group

Join our online community to stay up to date on our group's activities. You also can share your ideas for technical group events or let us know if you're interested in presenting your research.

## Ways to connect with us:

- Our website at [www.osa.org/pd](http://www.osa.org/pd)
- On LinkedIn at <https://www.linkedin.com/groups/8297763/>
- Email us at [TGactivities@osa.org](mailto:TGactivities@osa.org)

# Today's Speaker



**Curtis R. Menyuk**

*University of Maryland Baltimore County*

## Short Bio:

- Professor, University of Maryland Baltimore County (USA)
- Research Interests: Modeling of photonic systems
- APS Fellow, OSA Fellow, IEEE Fellow, IEEE Photonics Society William Streifer Award (2013), Humboldt Foundation Research Award (2015)

# Modeling photodetectors (PDs) using the drift-diffusion equations for RF-photonics applications

Curtis R. Menyuk, Ehsan Jamali, Y. Hu, M. Hutchinson, J. D. McKinney,  
V. J. Urick, and K. J. Williams

July 15, 2020



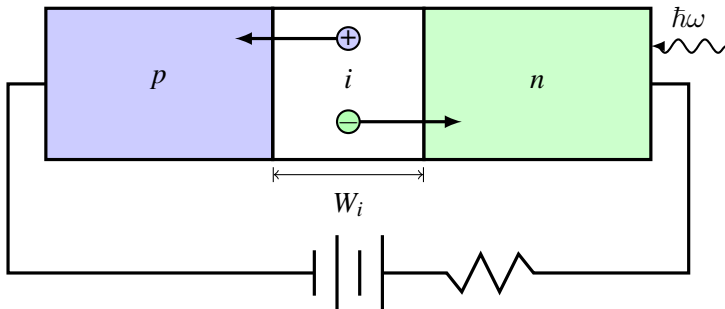
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# Table of contents

- 1 Introduction
  - Motivation
  - History
- 2 Model
  - Structure of the *p-i-n* PD
  - Structure of the MUTC PD
  - Drift-Diffusion Model
  - Implicit modeling method
- 3 Harmonic powers in CW mode
- 4 AM-to-PM noise conversion
- 5 Phase noise
  - Phase noise model
  - Device optimization
- 6 Nonlinearity in frequency combs and bleaching
  - Characterization of nonlinearity
  - Bleaching model
  - OIPs and IMDs in MUTC and *p-i-n* PDs
  - Distortion-to-signal ratios
- 7 Future initiative



# What is a PD



- Optical intensity is transferred to the electric current
- The bandwidth or response time is constrained by
  - ▶ transit time
  - ▶ RC time constant

**Nonlinear distortion and noise limit the PD performance**





# Motivation

- High-current, high-power PDs are important in
  - ▶ RF-photonic systems<sup>1</sup>
  - ▶ Optical communication systems<sup>1</sup>
  - ▶ Photonic microwave generation systems<sup>2</sup>
- Phase noise in PDs is a critical limiting factor in many RF-photonic applications<sup>3</sup>
- Device nonlinearity limits the performance of these PDs

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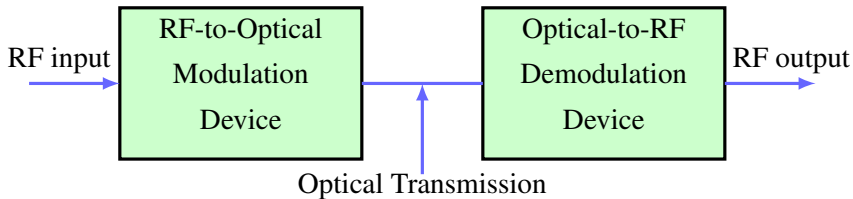
<sup>1</sup>A. Seeds and K. Williams, *J. Lightw. Technol.* **24**, 4628–4641 (2006).

<sup>2</sup>T. M. Fortier et al., *Opt. Lett.* **38**, 1712–1714 (2013).

<sup>3</sup>V. J. Urick et al., *Fundamentals of Microwave Photonics* (Wiley, 2015).



# RF-photonic system

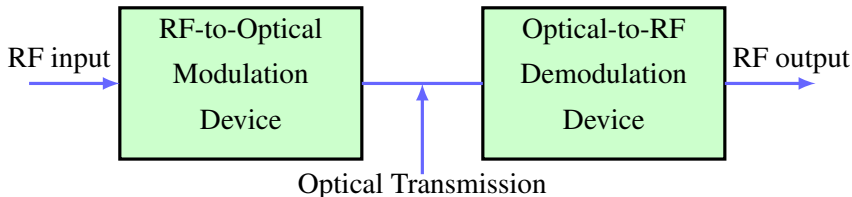


High-current, high-power PDs are important in

- ▶ RF-photonic systems
- ▶ optical communication systems



# RF-photonic system



- RF-photonic systems have advantages over purely electronic systems<sup>1</sup>
  - ▶ low transmission loss
  - ▶ large bandwidth
  - ▶ reduced size
  - ▶ immunity to electromagnetic interference
- RF-photonic systems also have some drawbacks
  - ▶ low transmission power
  - ▶ nonlinear distortion
  - ▶ spurious free dynamic range (SFDR)

<sup>1</sup>A. Seeds and K. Williams, J. Lightw. Technol. **24**, 4628–4641 (2006).



# History

- In the mid-1990s, Williams et al. developed a 1D model of high-current PDs, based on the drift-diffusion equations<sup>1</sup>
- In 1997, Wilson and Walker developed 1D and 2D models of metal-semiconductor-metal PDs to study the transient behavior of the PDs<sup>2</sup>
- In 2000, Jiang et al. developed a circuit-equivalent model to study distortion in a *p-i-n* PD<sup>3</sup>

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<sup>1</sup>K. J. Williams et al., J. Lightw. Technol. **14**, 84–96 (1996).

<sup>2</sup>S. P. Wilson and A. B. Walker, Semiconduct. Sci. Technol. **12**, 1265–1272 (1997).

<sup>3</sup>H. Jiang et al., IEEE Photon. Technol. Lett. **12**, 540–542 (2000).



# History

- In 2011, Fu et al. used a 1D drift-diffusion model to study the nonlinear intermodulation distortion in a modified uni-traveling-carrier (MUTC) PD<sup>1</sup>
- In 2014, Hu et al. developed 1D and 2D drift-diffusion models to study sources of nonlinearity in a *p-i-n* PD<sup>2</sup>
- In 2017, Hu et al. developed a 1D drift-diffusion model to study amplitude-to-phase conversion in an MUTC PD<sup>3</sup>

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<sup>1</sup>Y. Fu et al., IEEE J. Quantum Electron. **47**, 1312–1319 (2011).

<sup>2</sup>Y. Hu et al., J. Lightw. Technol. **32**, 3710–3720 (2014).

<sup>3</sup>Y. Hu et al., IEEE Photon. J. **9**, 5501111 (2017).



# History

- In 2019, Jamali et al. used a 1D drift-diffusion model to calculate phase noise in MUTC PDs<sup>1</sup>
- In 2020, Jamali et al. developed a model to study the impact of nonlinearity including bleaching in MUTC and *p-i-n* PDs on RF-modulated electro-optic frequency combs<sup>2,3,4</sup>

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<sup>1</sup>S. E. Jamali Mahabadi et al., Opt. Express **27**, 3717–3730 (2019).

<sup>2</sup>S. E. Jamali Mahabadi et al., Opt. Lett. **46**, 813–816 (2021).

<sup>3</sup>S. E. Jamali Mahabadi et al., Opt. Express **29**, 11520–11532 (2021).

<sup>4</sup>S. E. Jamali Mahabadi et al., IEEE Photon. J. DOI: 10.1109/JPHOT.2021.3091039.



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- Structure of the MUTC PD
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- Phase noise model
- Device optimization

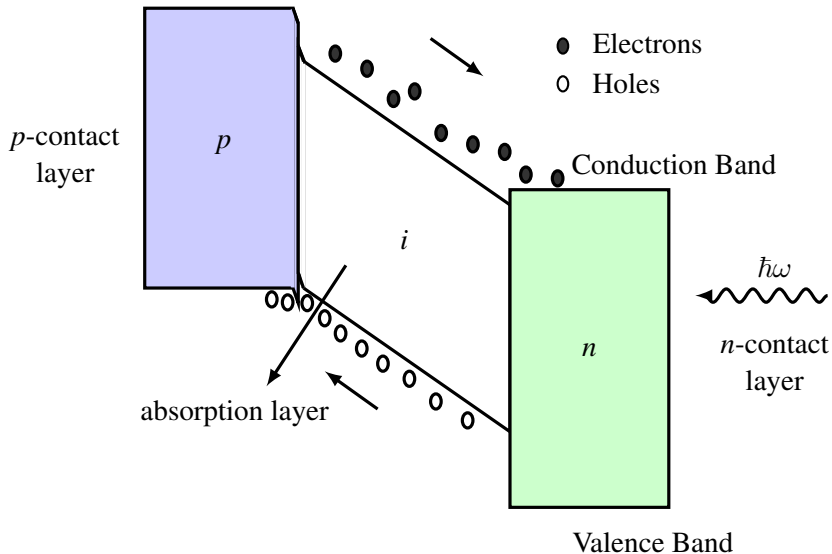
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- Distortion-to-signal ratios

## 7 Future initiative



# Structure of a $p-i-n$ PD

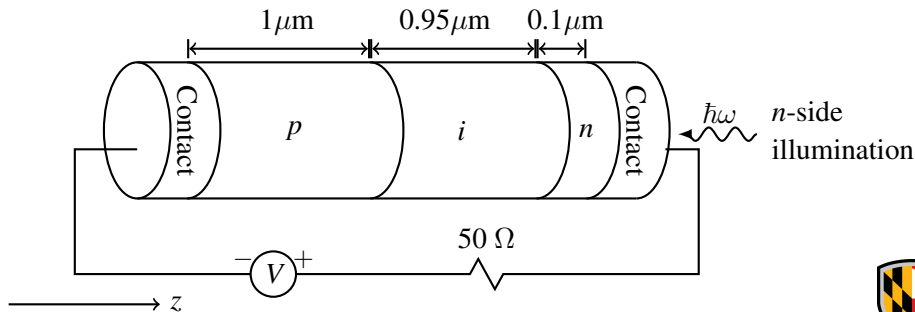




# Structure of the $p$ - $i$ - $n$ PD we model

Device is composed of<sup>1</sup>

- $n$ -InP substrate ( $N_D = 2 \times 10^{17} \text{ cm}^{-3}$ )
- $n$ -InGaAs  $i$ -layer ( $N_B = 5 \times 10^{15} \text{ cm}^{-3}$ )
- $p$ -InGaAs  $p$ -layer ( $N_A = 7 \times 10^{18} \text{ cm}^{-3}$ )

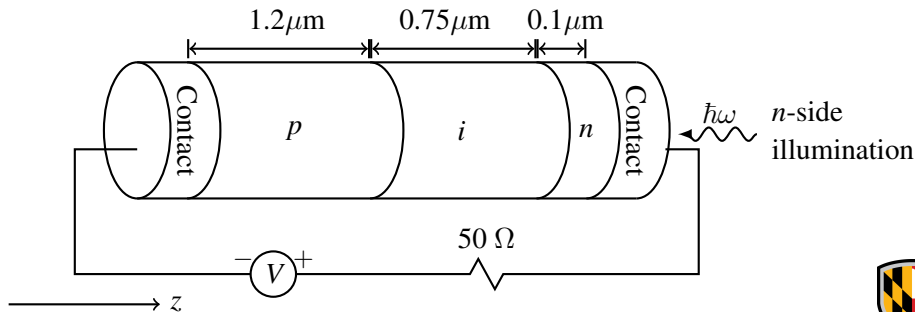


<sup>1</sup>K. J. Williams et al., *J. Lightw. Technol.* **14**, 84–96 (1996).

# Structure of the *p-i-n* PD we model

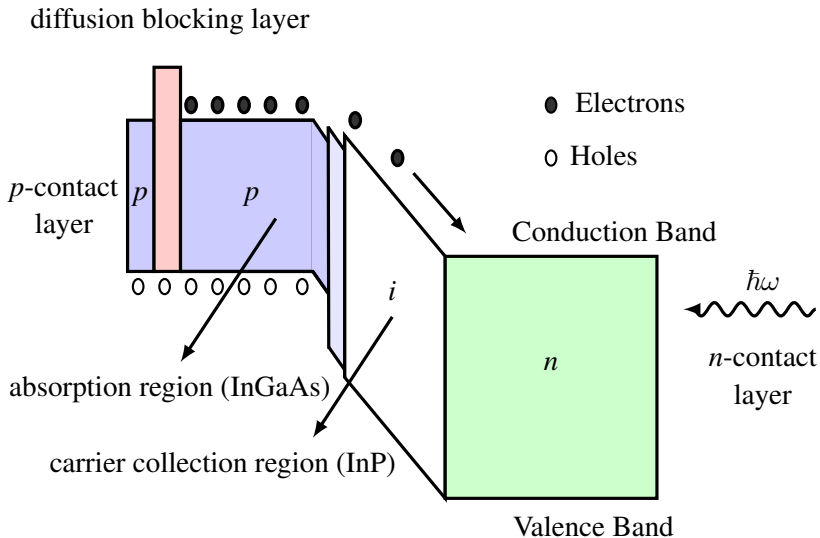
Device is composed of<sup>1</sup>

- *n*-InP substrate ( $N_D = 2 \times 10^{17} \text{ cm}^{-3}$ )
- *n*-InGaAs *i*-layer ( $N_B = 5 \times 10^{15} \text{ cm}^{-3}$ )
- *p*-InGaAs *p*-layer ( $N_A = 7 \times 10^{18} \text{ cm}^{-3}$ )

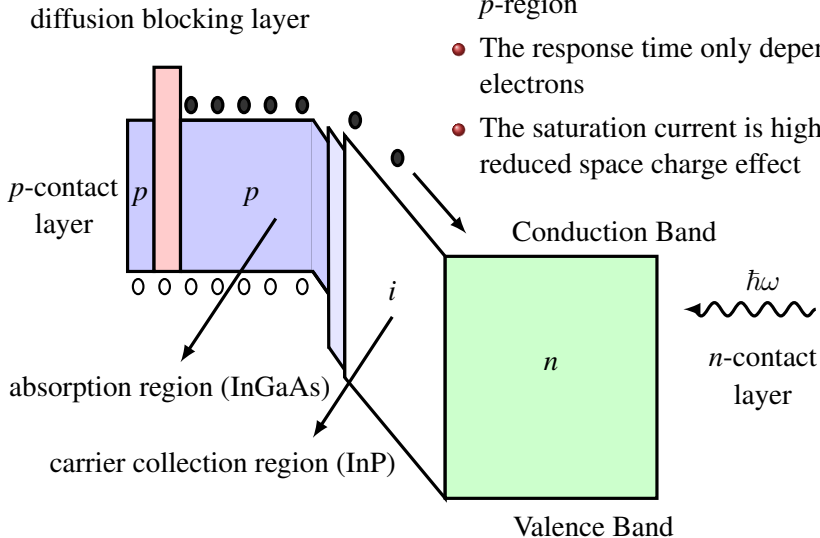


<sup>1</sup>K. J. Williams et al., *J. Lightw. Technol.* **14**, 84–96 (1996).

# Structure of a UTC PD



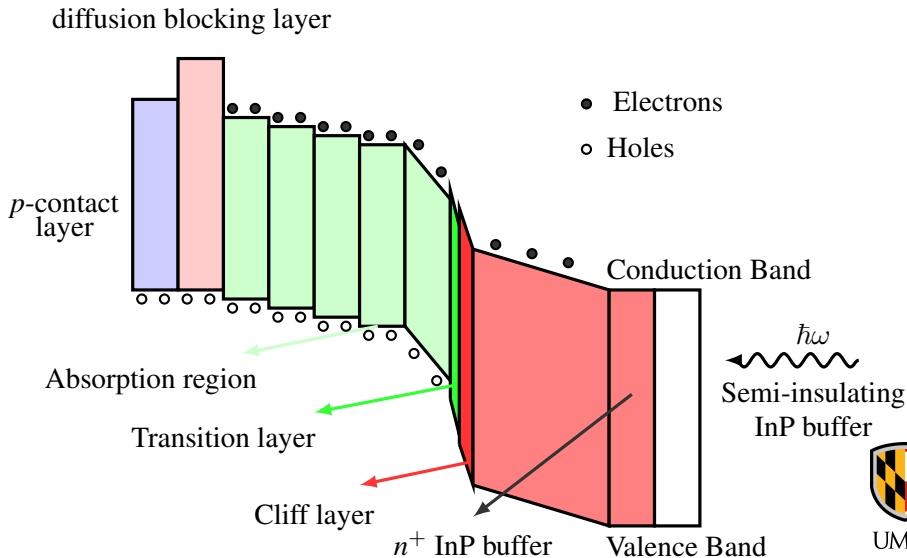
# Structure of a UTC PD



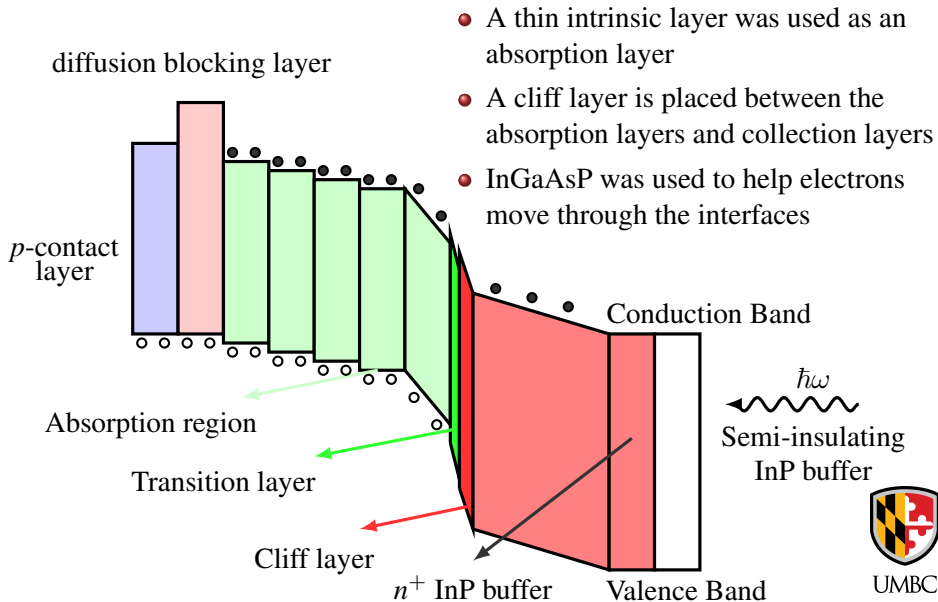
- The photons are only absorbed in the *p*-region
- The response time only depends on the electrons
- The saturation current is high due to a reduced space charge effect



# Structure of an MUTC PD



# Structure of an MUTC PD



# Structure of the MUTC PD we model

p-region	InGaAs, $p^+$ , Zn, $2.0 \times 10^{19}$ , 50 nm	50 nm
	InP, $p^+$ , Zn, $1.5 \times 10^{18}$ , 100 nm	150 nm
	InGaAsP, Q1.1, Zn, $2.0 \times 10^{18}$ , 15 nm	165 nm
	InGaAsP, Q1.4, Zn, $2.0 \times 10^{18}$ , 15 nm	180 nm
	InGaAs, $p$ , Zn, $2.0 \times 10^{18}$ , 100 nm	280 nm
	InGaAs, $p$ , Zn, $1.2 \times 10^{18}$ , 150 nm	430 nm
	InGaAs, $p$ , Zn, $8.0 \times 10^{17}$ , 200 nm	630 nm
	InGaAs, $p$ , Zn, $5.0 \times 10^{17}$ , 250 nm	880 nm
i-region	InGaAs, Si, $1.0 \times 10^{16}$ , 150 nm	1030 nm
	InGaAsP, Q1.4, Si, $1.0 \times 10^{16}$ , 15 nm	1045 nm
	InGaAsP, Q1.1, Si, $1.0 \times 10^{16}$ , 15 nm	1060 nm
	InP, Si, $1.4 \times 10^{17}$ , 50 nm	1110 nm
	InP, Si, $1.0 \times 10^{16}$ , 900 nm	2010 nm
n-region	InP, $n^+$ , Si, $1.0 \times 10^{18}$ , 100 nm	2110 nm
	InP, $n^+$ , Si, $1.0 \times 10^{19}$ , 900 nm	3010 nm
	InGaAs, $n^+$ , Si, $1.0 \times 10^{19}$ , 20 nm	3030 nm
	InP, $n^+$ , Si, $1.0 \times 10^{19}$ , 200 nm	3230 nm
	InP, semi-insulating substrate Double side polished	

→ Cliff layer

MUTC structure fabricated by Li *et al.*<sup>1</sup>

<sup>1</sup>Z. Li et al., IEEE J. Quantum Electron. **46**, 626–632 (2010).

# Drift-Diffusion Model

$$\frac{\partial n}{\partial t} = G_{\text{opt}} + G_{\text{ii}} - R(n, p) + \frac{\nabla \cdot \mathbf{J}_n}{q},$$

$$\frac{\partial p}{\partial t} = G_{\text{opt}} + G_{\text{ii}} - R(n, p) - \frac{\nabla \cdot \mathbf{J}_p}{q},$$

$$0 = \nabla \cdot \nabla \varphi + \frac{q}{\epsilon} (N_D^+ + p - n - N_A^-),$$

$n$	electron density,	$p$	hole density,
$G_{\text{opt}}$	optical generation rate,	$G_{\text{ii}}$	impact ionization generation rate,
$R$	recombination rate,	$\varphi$	electric potential,
$\mathbf{J}_n$	electron current density,	$\mathbf{J}_p$	hole current density,
$N_D^+$	donor density,	$N_A^-$	acceptor density,





# Drift-Diffusion Model

$$\mathbf{J}_p = qp\mathbf{v}_p(\mathbf{E}) - qD_p(\mathbf{E})\nabla p, \quad \mathbf{J}_n = qn\mathbf{v}_n(\mathbf{E}) + qD_n(\mathbf{E})\nabla n,$$

$$G_{ii} = \alpha_n \frac{|\mathbf{J}_n|}{q} + \alpha_p \frac{|\mathbf{J}_p|}{q}, \quad G_{\text{opt}} = G_c \exp[-\alpha(L - x)],$$

$$G_c = Q\alpha, \quad Q = \frac{P}{A},$$

$\mathbf{v}_n$  electron drift velocity,  
 $D_n$  electron diffusion coefficient,  
 $G_c$  generation rate coefficient,  
 $L$  device length,  
 $A$  the area of the light beam,  
 $\alpha_n$  electron impact ionization coefficient,

$\mathbf{v}_p$  hole drift velocity,  
 $D_p$  hole diffusion coefficient,  
 $\alpha$  absorption coefficient,  
 $x$  distance across the device,  
 $P$  the power of the light beam,  
 $\alpha_p$  hole impact ionization coefficient,



# Velocity model

Empirical expressions that have been used to fit  $\mathbf{v}_n(\mathbf{E})$  for electrons<sup>1</sup> and  $\mathbf{v}_p(\mathbf{E})$  for the holes<sup>2</sup> are given by

$$\mathbf{v}_n(\mathbf{E}) = \frac{\mathbf{E} (\mu_n + v_{n,\text{sat}}\beta|\mathbf{E}|)}{1 + \beta|\mathbf{E}|^2}, \quad \mathbf{v}_p(\mathbf{E}) = \frac{\mu_p v_{p,\text{sat}} \mathbf{E}}{(v_{p,\text{sat}}^\gamma + \mu_p^\gamma |\mathbf{E}|^\gamma)^{1/\gamma}},$$

$\mu_n$	:	low-field electron mobility,	$\mu_p$	:	low-field hole mobility,
$v_{n,\text{sat}}$	:	saturated electron velocity,	$v_{p,\text{sat}}$	:	saturated hole velocity,
$\beta$	:	fitting parameter,	$\gamma$	:	fitting parameter,

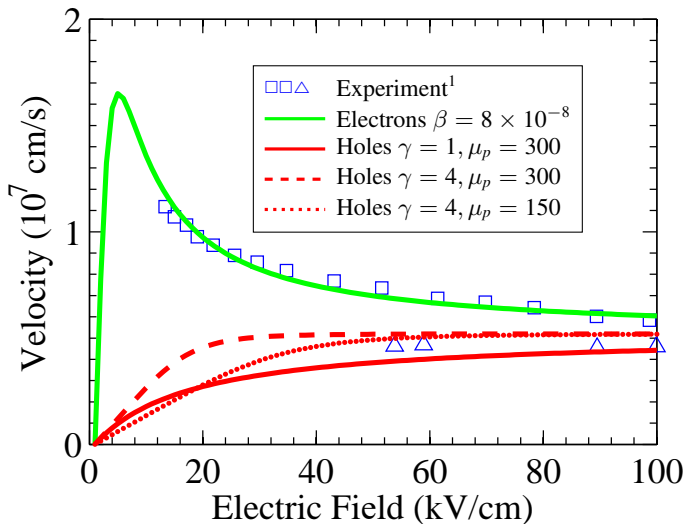
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<sup>1</sup>M. Dentan and B. de Cremoux, *J. Lightw. Technol.* **8**, 1137–1144 (1990).

<sup>2</sup>K. W. Böer, *Survey of Semiconductor Physics* (Van Nostrand Reinhold, 1990).



# Velocity of electrons and holes in InGaAs



<sup>1</sup>T. H. Windhorn et al., J Electron. Mater. **11**, 1065–1082 (1982).



# Diffusion model

Empirical expressions that have been used to fit  $D_n(\mathbf{E})$  for electrons<sup>1</sup> and  $D_p(\mathbf{E})$  for the holes<sup>2</sup> are given by

$$D_n(\mathbf{E}) = \frac{k_B T \mu_n / q}{\left[1 - 2 (|\mathbf{E}|/E_p)^2 + \frac{4}{3} (|\mathbf{E}|/E_p)^3\right]^{1/4}}, \quad D_p(\mathbf{E}) = \frac{k_B T}{q} \frac{\mathbf{v}_p(\mathbf{E})}{\mathbf{E}},$$

$E_p$  : fitting parameter,  $4 \times 10^3$  V/cm

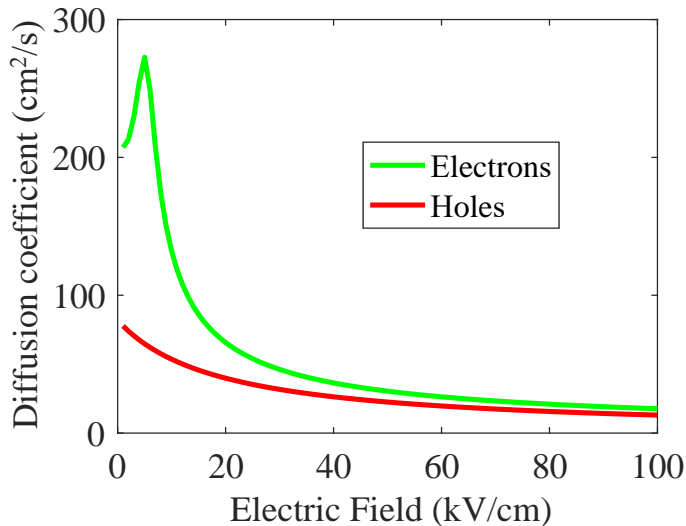
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<sup>1</sup>K. W. Böer, *Survey of Semiconductor Physics* (Van Nostrand Reinhold, 1990).

<sup>2</sup>K. J. Williams, "Microwave nonlinearities in photodiodes," PhD Dissertation, University of Maryland College Park, Maryland, USA, 1994.

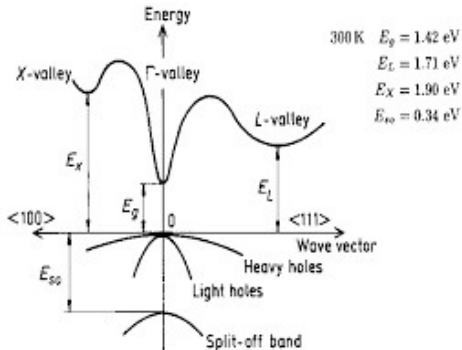


# Diffusion model



# Drift-diffusion coefficients

- The electron velocity and diffusion decrease when the field increases
- Why?
  - ▶ electrons transition from the  $\Gamma$ -valley to the L-valley and X-valley
  - ▶ their effective mass increases<sup>1</sup>



<sup>1</sup>Y. A. Goldberg and N. M. Schmidt, *Handbook Series on Semiconductor Parameters* (World Scientific, London, 1999).



# Recombination-Generation

- The largest contribution to recombination is the Shockley-Read-Hall (SRH) effect.
  - ▶ also known as trap-assisted nonradiative recombination
  - ▶ the expression for SRH recombination is

$$R = \frac{np - n_i^2}{\tau_p(n + n_i) + \tau_n(p + n_i)},$$

$\tau_n$  : electron lifetime,  $\tau_p$  : hole lifetime,  
 $n_i$  : intrinsic doping concentration,

- The generation rate from impact ionization:

$$G_{ii} = \alpha_n \frac{|\mathbf{J}_n|}{q} + \alpha_p \frac{|\mathbf{J}_p|}{q}, \quad \alpha_{n,p} = A_{n,p} \cdot \exp \left[ - \left( \frac{B_{n,p}}{|\mathbf{E}|} \right)^m \right],$$

$A_n, A_p, B_n, B_p, m$  : impact ionization parameters



# Implicit method

Fully implicit method<sup>1</sup> (backwards Euler method) is used to solve the equations

$$\frac{n^{t+1} - n^t}{\Delta t} = F_n(n^{t+1}, p^{t+1}, \varphi^{t+1})$$

$$\frac{p^{t+1} - p^t}{\Delta t} = F_p(n^{t+1}, p^{t+1}, \varphi^{t+1})$$

$$0 = F_\varphi(n^{t+1}, p^{t+1}, \varphi^{t+1})$$

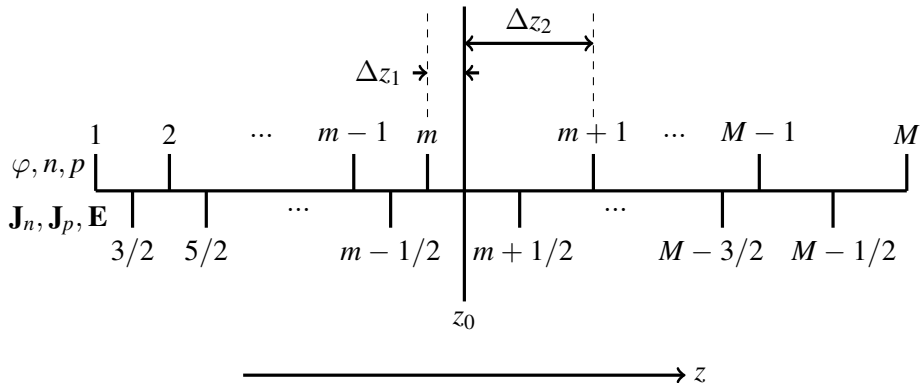
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<sup>1</sup>S. Selberherr, *Analysis and simulation of semiconductor devices* (Springer-Verlag Wien, 1984).





# Modeling Approach (1-D Scheme)

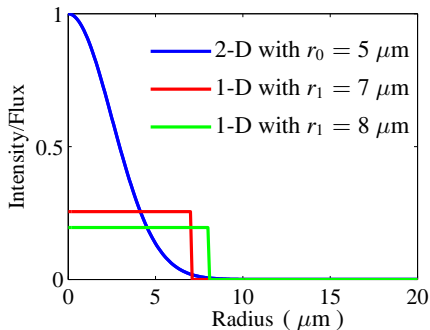


Gridding scheme used in device model for multilayer devices

- $\varphi, n, p$  are defined at integer grid values
- $\mathbf{J}_n, \mathbf{J}_p, \mathbf{E}$  are defined at half-integer grid values



# 1-D model: light beam



- In 2-D simulations, we assume that the beam is Gaussian-shaped with a profile given by

$$Q(r, t) = Q_0(t) \exp \left[ -2 (r/r_0)^2 \right],$$

- In 1-D simulations, the physical Gaussian beam profile must be approximated by a constant intensity  $Q_{1d}$  over an effective beam area with  $r_1$ , so that

$$\int_0^{\infty} 2\pi r Q(r, t) dr = \pi r_1^2 Q_{1d}$$



# Table of contents

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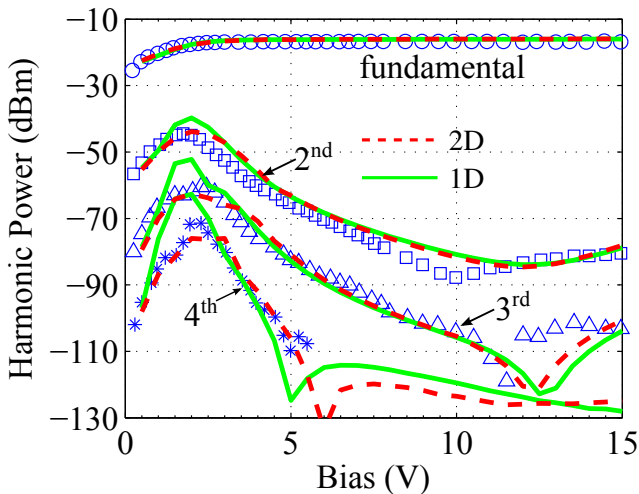
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## 7 Future initiative

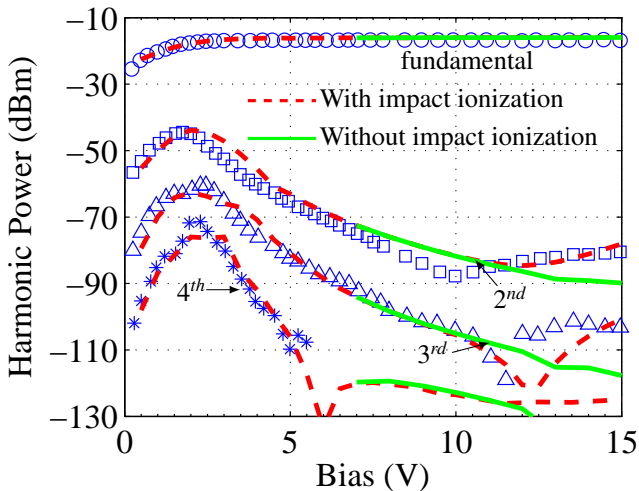


# Harmonic powers in CW mode

Simulation results: 1-D vs. 2-D



# Impact ionization



# Summary for the $p-i-n$ PD

- We studied 1-D and 2-D models of a single heterojunction  $p-i-n$  PD that are based on the drift-diffusion equations.
- We obtained excellent agreement with experiments
- We have examined the impact of
  - ▶ An external load
  - ▶ Thermionic emission
  - ▶ Impact ionization

## Conclusion

The dominant physical cause of the observed saturation and the increased nonlinearity at large reverse bias is impact ionization

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<sup>1</sup>Y. Hu, et al., J Lightw. Tech. **32**, 3710–3720 (2014).



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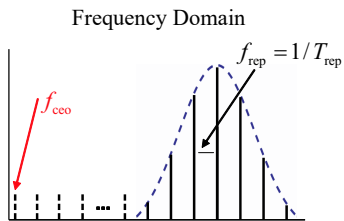
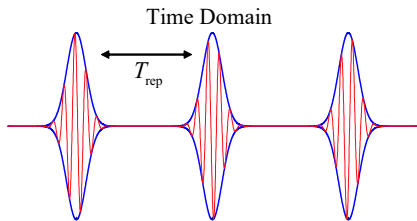
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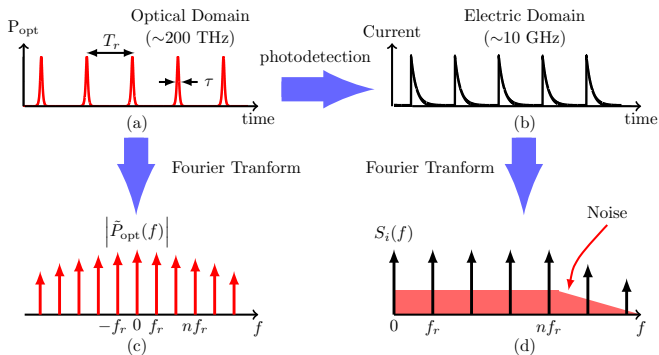


# Frequency combs





# Microwave generation



## Remark

Optical amplitude noise can be converted into phase noise. We will analyze amplitude-to-phase noise conversion in the PD

<sup>1</sup>T. M. Fortier et al., Opt. Lett. **38**, 1712–1714 (2013).

- The amplitude-to-phase noise coefficient  $\alpha_{\text{AM/PM}}$  can be defined as the induced root mean square phase variation arising from a fractional power change

$$\alpha_{\text{AM/PM}} = \left| \frac{\Delta\phi}{\Delta P/P} \right|,$$

$\Delta P/P$  : fractional power change

$\Delta\phi$  : phase change

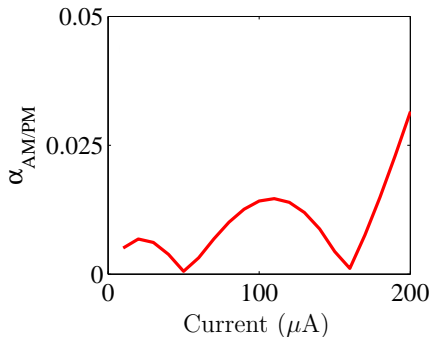
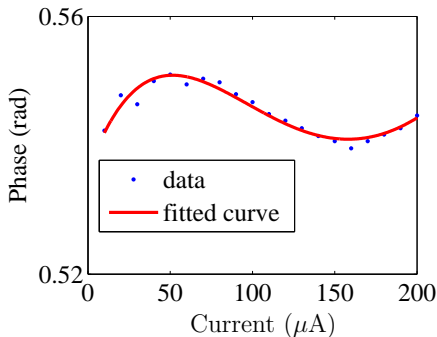
- One method to measure AM-to-PM conversion is to measure the time-domain impulse response of the PD and to use a Fourier transform to calculate the phase difference as the power varies

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<sup>1</sup>J. Taylor et al., IEEE Photonics J. 3, 140–151 (2011).



# Experimental results

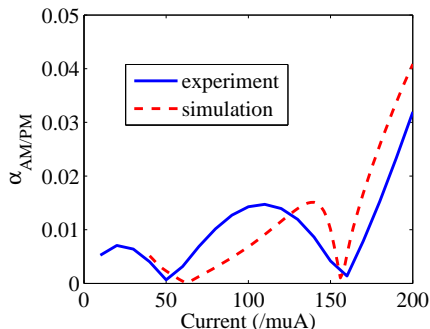
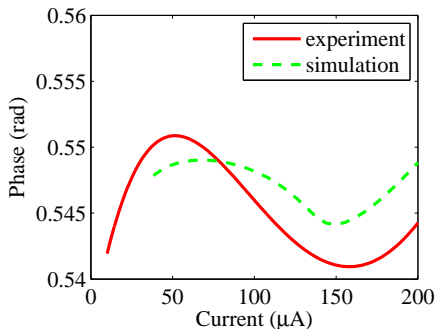


- There are two null points
- At these two points, the AM-to-PM noise conversion is zero
- What is physical reason?

<sup>1</sup>J. Taylor et al., IEEE Photonics J. 3, 140–151 (2011).



# Simulation results



- We obtain good agreement with experimental results for the location of nulls



# Summary of AM to PM conversion

- The appearance of nulls in the AM-to-PM noise conversion is due to the nonlinear dependence of the electron velocity on the electric field
- When the pulse duration is reduced below 500 fs, the AM-to-PM noise conversion coefficient does not change
- When the pulse duration is greater than 500 fs, the second null in the AM-to-PM shifts to larger photocurrents
- The repetition rate does not change the AM-to-PM conversion coefficient
- The AM-to-PM noise conversion coefficient can be greatly reduced by removing InGaAs and InP heterojunction



# Table of contents

## 1 Introduction

- Motivation
- History

## 2 Model

- Structure of the *p-i-n* PD
- Structure of the MUTC PD
- Drift-Diffusion Model
- Implicit modeling method

## 3 Harmonic powers in CW mode

## 4 AM-to-PM noise conversion

## 5 Phase noise

- Phase noise model
- Device optimization

## 6 Nonlinearity in frequency combs and bleaching

- Characterization of nonlinearity
- Bleaching model
- OIPs and IMDs in MUTC and *p-i-n* PDs
- Distortion-to-signal ratios

## 7 Future initiative



# Calculation of the phase noise in MUTC PDs

- The mean-square phase fluctuation is given by

$$\langle \Phi_n^2 \rangle = \frac{1}{N_{\text{tot}}} \frac{\int_0^{T_R} h(t) \sin^2 [2\pi n(t - t_c)/T_R] dt}{\left\{ \int_0^{T_R} h(t) \cos [2\pi n(t - t_c)/T_R] dt \right\}^2},$$

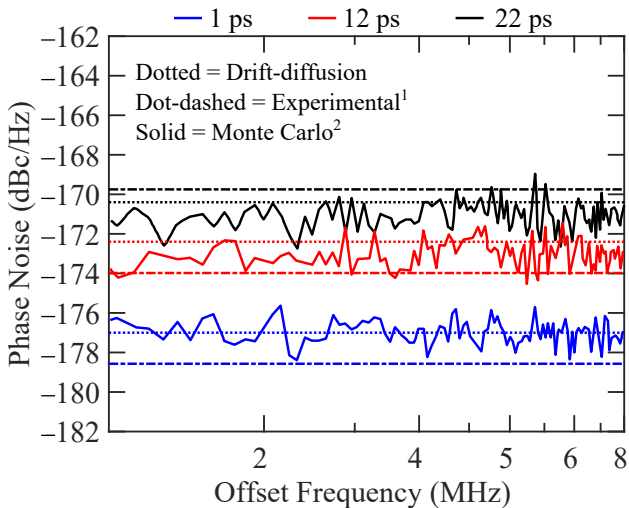
- ▶  $N_{\text{tot}}$  = total number of electrons in the photocurrent
- ▶  $t_c$  = central time of the output current
- ▶  $T_R$  = repetition time between optical pulses
- In the limit of short optical pulse widths ( $\lesssim 500$  fs):
  - ▶  $\langle \Phi_n^2 \rangle$  tends to a non-zero constant

$$\langle \Phi_n^2 \rangle = \frac{1}{N_{\text{tot}}} \frac{\int_0^{T_R} h_e(t) \sin^2 [2\pi n(t - t_c)/T_R] dt}{\left\{ \int_0^{T_R} h_e(t) \cos [2\pi n(t - t_c)/T_R] dt \right\}^2},$$

- ★  $h_e(t)$  = electronic impulse response of the device



# Phase noise results



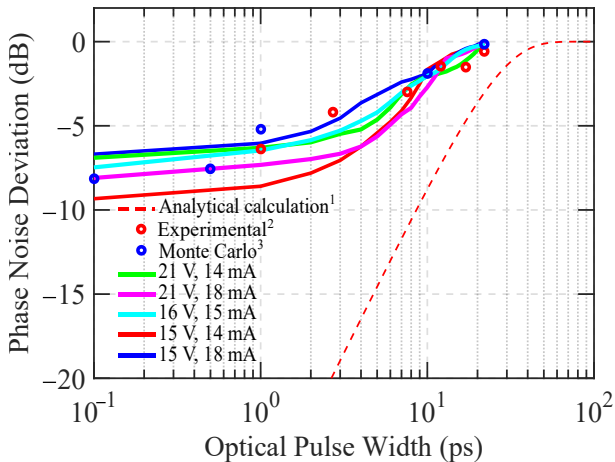
<sup>1</sup>F. Quinlan et al., Nat. Photonics **7**, 290–293 (2013).

<sup>2</sup>W. Sun et al., Phys. Rev. Lett. **113**, 203901 (2014).





# Phase noise results



<sup>1</sup>F. Quinlan et al., J. Opt. Soc. Am. B **30**, 1775–1785 (2013).

<sup>2</sup>F. Quinlan et al., Nat. Photonics **7**, 290–293 (2013).

<sup>3</sup>W. Sun et al., Phys. Rev. Lett. **113**, 203901 (2014).

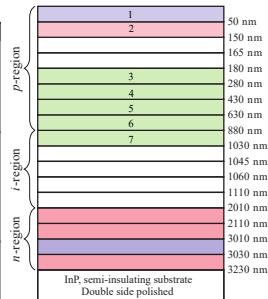
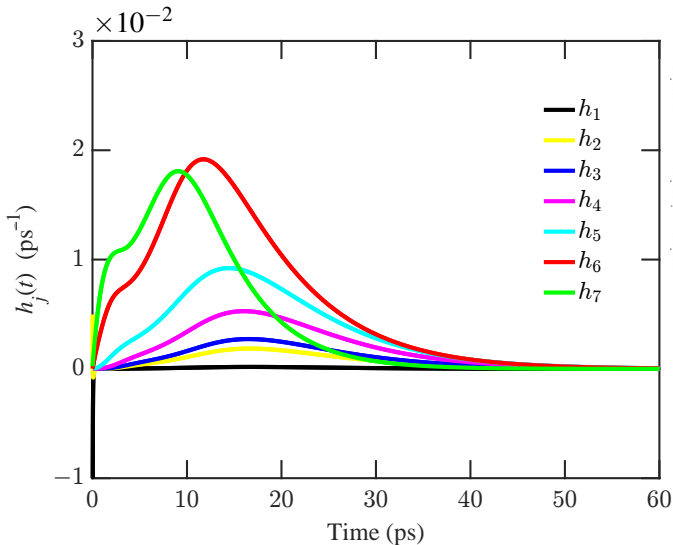


# Device optimization

- Our goal is to reduce the tails in the impulse response
  - ▶ A long tail in the impulse response translates to higher phase noise
- We first altered the thickness of each absorption layer up to 10%
  - ▶ no significant change
- We next altered the doping density in each of the absorption layers
  - ▶ we obtained an impulse response with a smaller tail to lower the phase noise



# Device optimization



$$h(t) = \sum_{j=1}^N h_j(t),$$



# Device optimization

- Phase Noise 1 = phase noise of the Li et al.<sup>1</sup> structure
- Phase Noise 2 = phase noise of the modified structure
- Difference = (Phase Noise 1) – (Phase Noise 2)

Pulse Width	Original structure	Modified structure	Difference
1 ps	–178.6 dBc/Hz	–180.0 dBc/Hz	1.4 dBc/Hz
12 ps	–174.0 dBc/Hz	–175.5 dBc/Hz	1.5 dBc/Hz
22 ps	–169.7 dBc/Hz	–172.8 dBc/Hz	3.1 dBc/Hz

<sup>1</sup>Z. Li et al., IEEE J. Quantum Electron. **46**, 626–632 (2010).



# Summary of phase noise

- We used the drift-diffusion equations to calculate
  - ▶ the impulse response
  - ▶ the phase noisein an MUTC PD with short optical pulses
- We found excellent agreement with prior experiments<sup>1</sup> and Monte Carlo simulations<sup>2</sup>
- Optimization results:
  - ▶ we designed a structure with
    - ★ lower phase noise
    - ★ reduced nonlinearity

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<sup>1</sup>F. Quinlan et al., Nat. Photonics **7**, 290–293 (2013).

<sup>2</sup>W. Sun et al., Phys. Rev. Lett. **113**, 203901 (2014).



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- Motivation
- History

## 2 Model

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- Structure of the MUTC PD
- Drift-Diffusion Model
- Implicit modeling method

## 3 Harmonic powers in CW mode

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## 5 Phase noise

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- Device optimization

## 6 Nonlinearity in frequency combs and bleaching

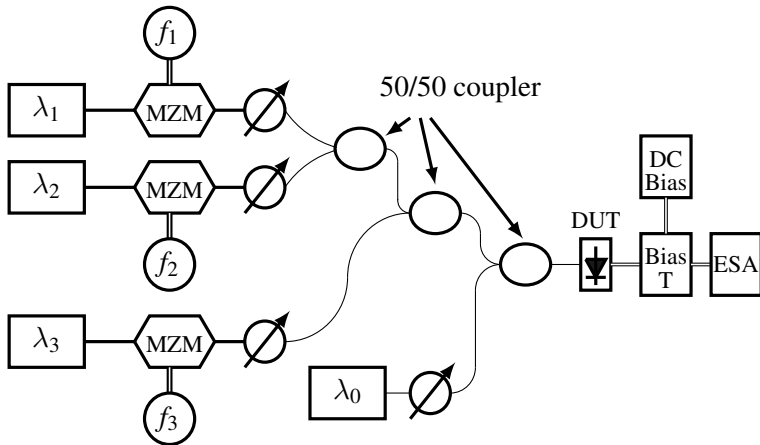
- Characterization of nonlinearity
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- Distortion-to-signal ratios

## 7 Future initiative



# Characterization of nonlinearity

## Measurement setup<sup>1</sup>



<sup>1</sup>M. Draa et al., Opt. Express **19**, 12635-12645 (2011).

# Characterization of nonlinearity

The input modulated average light power  $P(t)$ :

$$P(t) = P_0(t) \{1 + m [\sin (2\pi f_1 t) + \sin (2\pi f_2 t) + \sin (2\pi f_3 t)]\},$$

- In the CW mode
  - ▶  $P_0(t)$  is a constant value as a function of time
- In the pulsed mode
  - ▶  $P_0(t)$  is given by

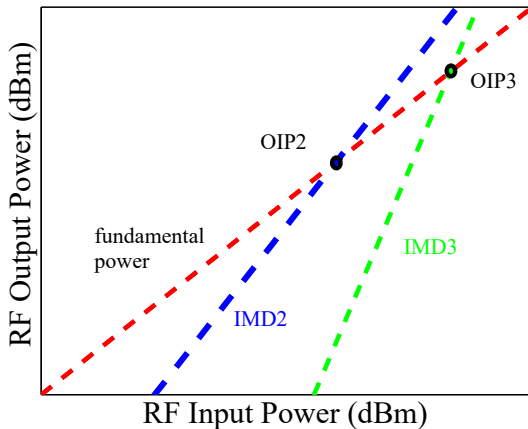
$$P_0(t) = \sum_n A_0 \operatorname{sech} \left( \frac{t - nT_r}{\tau} \right),$$

$P_0(t)$	:	input average light power	$t$	:	time
$m$	:	modulation depth	$\tau$	:	pulse width
$f_1, f_2, \text{ and } f_3$	:	three modulation frequencies	$T_r$	:	repetition time





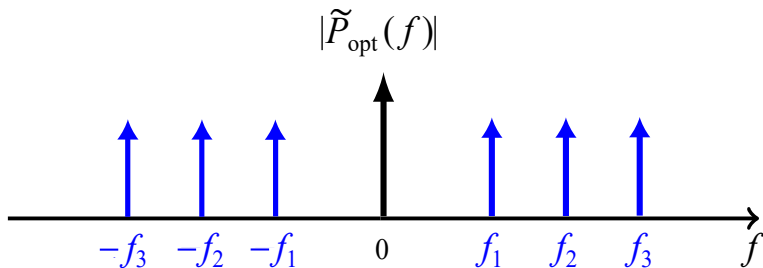
# Characterization of nonlinearity



$$\text{OIP3} = P_f + \frac{1}{2} (P_f - P_{\text{IMD3}}) \text{ (dBm)},$$
$$\text{OIP2} = 2P_f - P_{\text{IMD2}} \text{ (dBm)},$$



# Spectrum of a CW three-tone modulated input

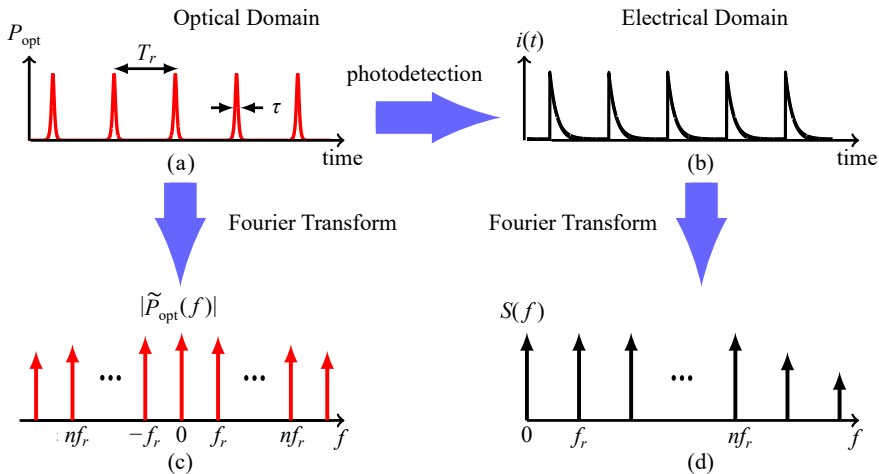


$\tilde{P}_{\text{opt}}(f)$  is the Fourier transform of  $P_{\text{opt}}(t)$

$$P_{\text{opt}}(t) = P_0(t) \{1 + m [\sin(2\pi f_1 t) + \sin(2\pi f_2 t) + \sin(2\pi f_3 t)]\},$$



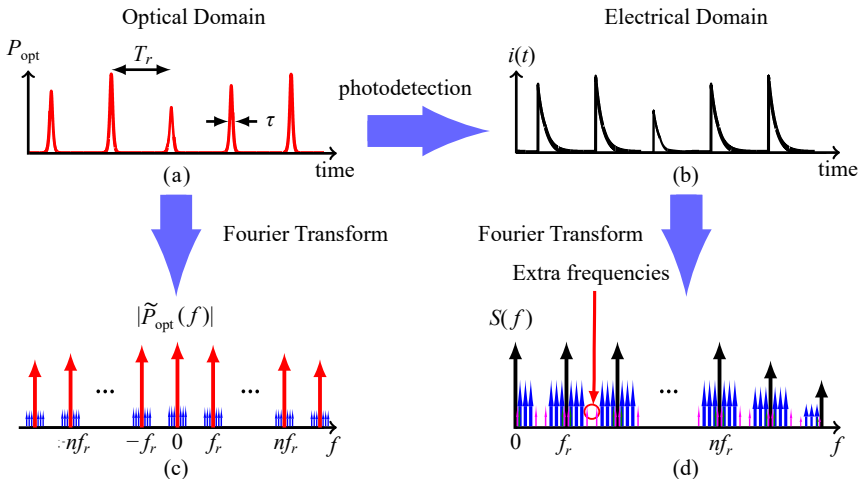
# Comb generation with a pulsed input



$$P_{\text{opt}}(t) = \sum_n A_0 \operatorname{sech} \left( \frac{t - nT_r}{\tau} \right),$$



# Comb generation with an RF-modulated input

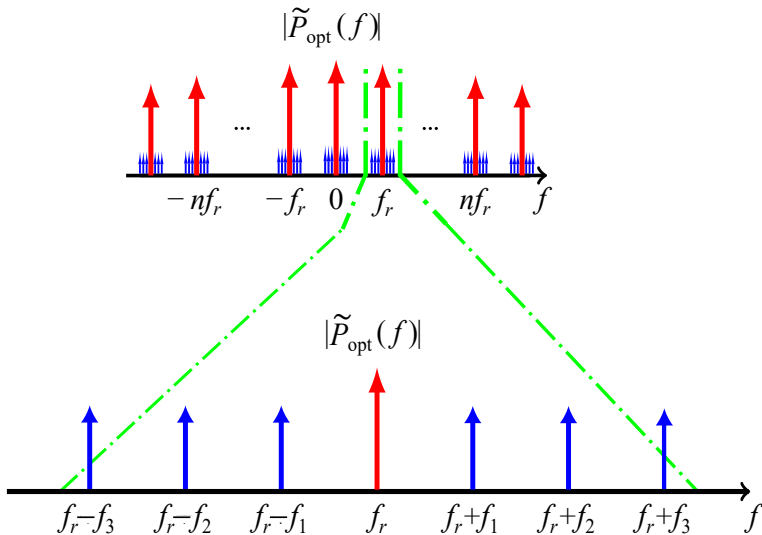


$$P_{\text{opt}}(t) = P_0(t) \{1 + m [\sin(2\pi f_1 t) + \sin(2\pi f_2 t) + \sin(2\pi f_3 t)]\},$$

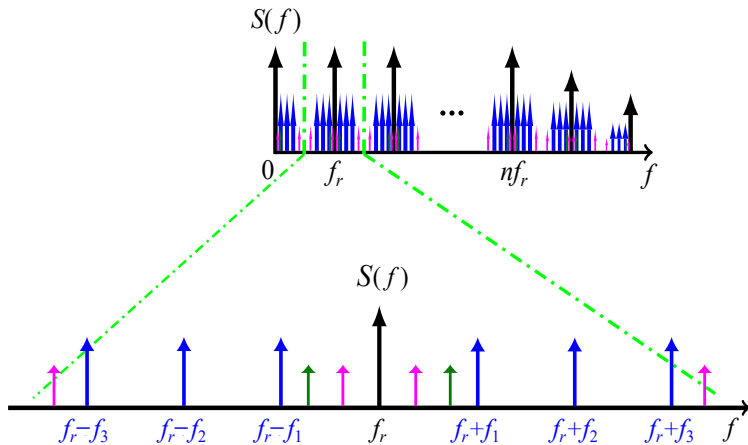
$$P_0(t) = \sum_n A_0 \operatorname{sech}\left(\frac{t - nT_r}{\tau}\right),$$



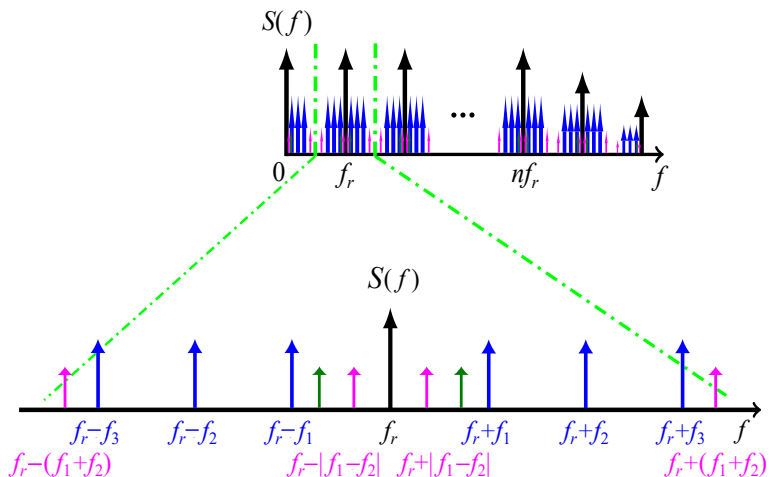
# Comb generation with an RF-modulated input



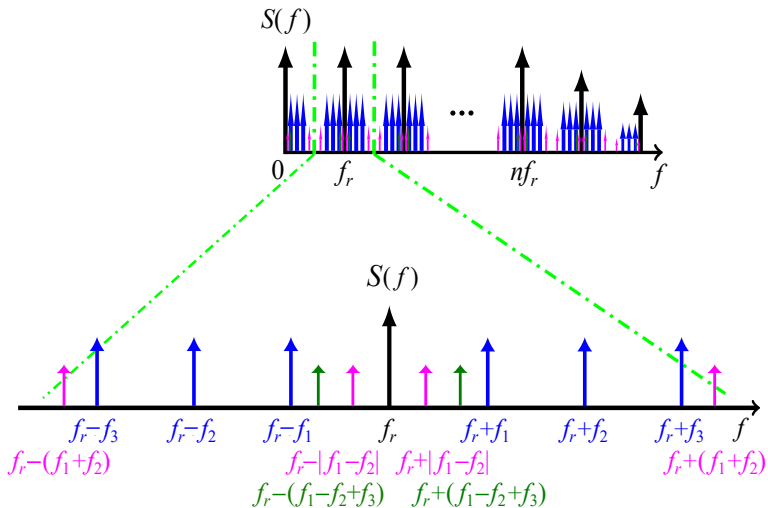
# Comb generation with an RF-modulated input



# Comb generation with an RF-modulated input



# Comb generation with an RF-modulated input





# Nonlinearity characterization: CW vs. pulsed mode

- For CW mode
  - ▶ there is only one IMD2 and one IMD3
  - ▶ there is only one OIP2 and one OIP3
- For pulsed mode
  - ▶ **there is one  $\text{IMD2}_n$  and one  $\text{IMD3}_n$  for each comb line  $n$**
  - ▶ **there is one  $\text{OIP2}_n$  and one  $\text{OIP3}_n$  for each comb line  $n$**

CW		Pulsed
IMD2	→	$\text{IMD2}_n$
IMD3	→	$\text{IMD3}_n$
OIP2	→	$\text{OIP2}_n$
OIP3	→	$\text{OIP3}_n$

Subscript  $n$  indicates the comb line number



# Bleaching in PDs

## Empirical Bleaching Model

In the drift diffusion equations:

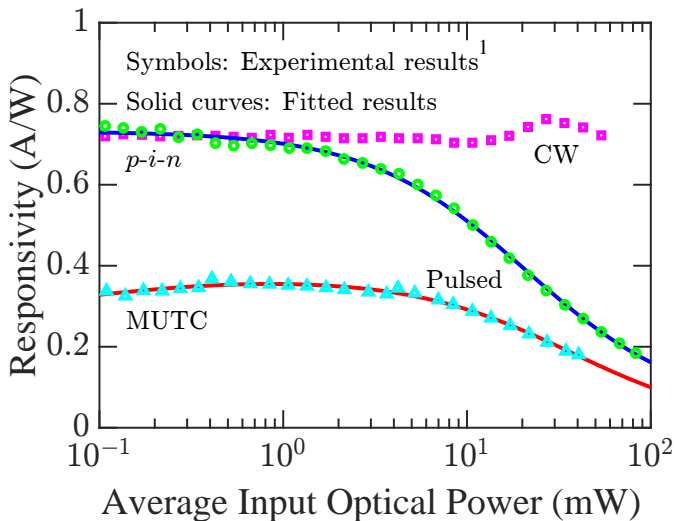
$$G_{\text{opt}} = B_f G_c \exp[-\alpha(L - x)],$$

$$B_f = \frac{A + BI_p}{C + DI_p + EI_p^2},$$

Fitting parameters	<i>p-i-n</i>	MUTC
<i>A</i>	1.0000	1.0000
<i>B</i>	0.0098	0.0322
<i>C</i>	0.6526	2.7181
<i>D</i>	0.0236	0.1632
<i>E</i>	0.0015	0.0016



# Experimental and empirical results



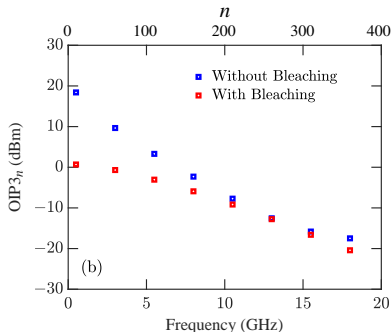
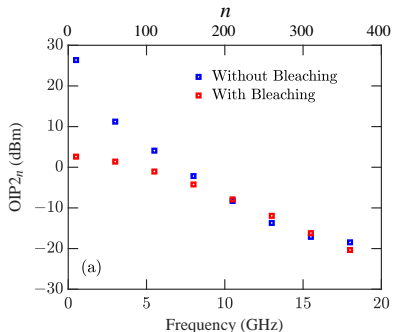
<sup>1</sup>S. E. Jamali Mahabadi et al., Opt. Lett. **46**, 813–816 (2021).

# Characterization of nonlinearity

- We calculated the impact of the bleaching on the device nonlinearity as a function of the average optical power
- We calculated the second- and third-order intermodulation distortion (IMD2 and IMD3) powers
  - ▶ frequencies that IMD3 generates can introduce spurious signals
    - ★ that cannot be filtered out from the fundamental response
    - ★ this is important for microwave photonic applications where these would appear as false signals of interest
- We calculated the second- and third-order output intercept points (OIP2 and OIP3) to characterize IMD2 and IMD3



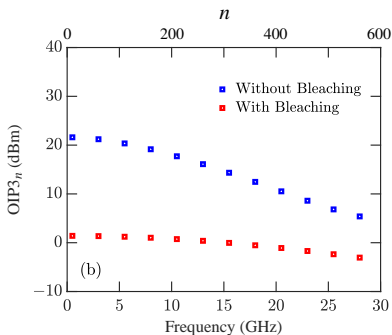
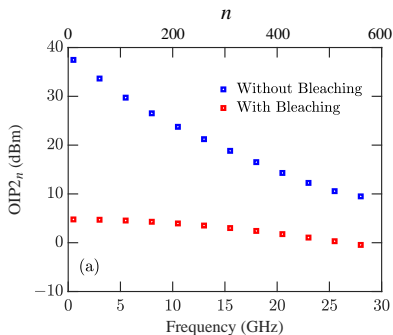
# OIP<sub>2n</sub> and OIP<sub>3n</sub> in the MUTC PD



- OIPs decrease as frequency increases with and without bleaching
- OIPs are bigger at low frequencies without bleaching
- The effect of bleaching vanishes between 10 GHz and 16 GHz
  - ▶ due to decrease in space charge
- It reappears beyond 16 GHz
  - ▶ due to reduction in powers



# OIP<sub>2n</sub> and OIP<sub>3n</sub> in the *p-i-n* PD



- OIPs decrease as frequency increases without bleaching
- They are almost flat with bleaching
  - ▶ the decrease in space charge compensates for the decrease in responsivity
- The gap decreases as frequency increases



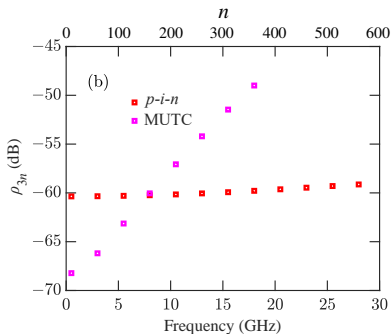
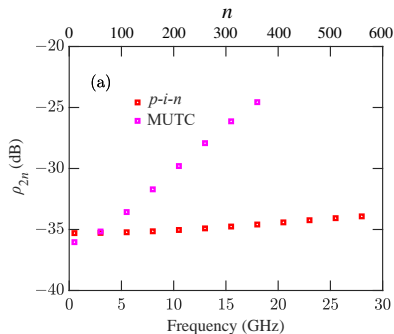
# Distortion-to-signal ratios

We define distortion-to-signal as

$$\rho_{2n} = \text{IMD}_{2n}/S_n, \quad \rho_{3n} = \text{IMD}_{3n}/S_n,$$



# Comparison of $\rho_{2n}$ and $\rho_{3n}$

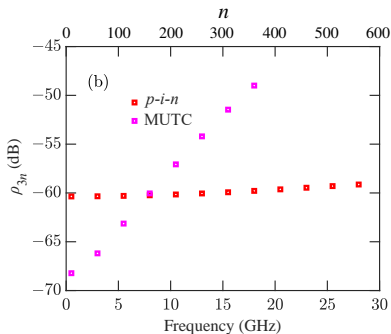
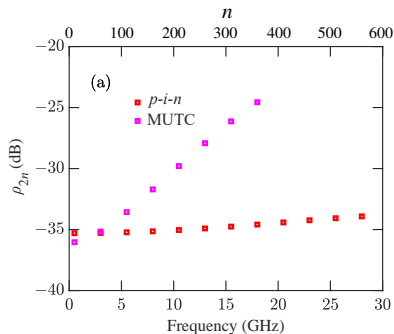


- The ratios increase rapidly for the MUTC PD
- The ratios remain relatively flat for the *p-i-n* PD





# Comparison of $\rho_{2n}$ and $\rho_{3n}$



- $p-i-n$  PD has better performance at high frequencies
  - ▶ this is surprising
    - ★ it is well known that MUTC PDs have less distortion in CW
- MUTC PD has better performance at low frequencies



# Comparison of $\rho_{2n}$ and $\rho_{3n}$

## Why does nonlinearity have more impact on the MUTCs at high frequencies?

- Distortion products are summed contributions from many comb lines
  - ▶ distortion product at  $nf_r + (f_1 - f_2)$  is obtained from:  
signals at  $lf_r + f_1$  and  $mf_r - f_2$  with  $l + m = n$  for all  $l$  and  $m$
- The distortion products add more coherently in the MUTC PD than they do in the  $p-i-n$  PD
  - ▶ MUTC PD
    - ★ the current in the MUTC PD is almost entirely due to electrons
  - ▶  $p-i-n$  PD
    - ★ the current has significant contributions from both electrons and holes
    - ★ we attribute the lower coherence of the distortion products at each frequency to the presence of two carriers



# Summary of nonlinearity in frequency combs with bleaching

- We have developed an empirical model of bleaching
  - ▶ we determined the parameters of bleaching model
  - ▶ we obtained good agreement with experimental results
- We calculated  $\text{IMD}_{2n}$  and  $\text{IMD}_{3n}$  in the *p-i-n* and MUTC PDs
  - ▶ taking into account bleaching
  - ▶ **Note: There is a separate  $\text{IMD}_{2n}$  and  $\text{IMD}_{3n}$  for each comb-line where  $n$  is the comb line number**
- We calculated  $\text{OIP}_{2n}$  and  $\text{OIP}_{3n}$  to characterize  $\text{IMD}_{2n}$  and  $\text{IMD}_{3n}$  for each comb line  $n$
- We calculated distortion-to-noise ratios  $\rho_{2n}$  and  $\rho_{3n}$



# Summary of nonlinearity in frequency combs with bleaching

- The principal effect of bleaching is to lower the responsivity
  - ▶ decreases the number of electrons in the device
    - ★ lowers the nonlinearity due to space-charge effects
  - ▶ the decrease becomes more pronounced as input optical power increases
    - ★ fundamental comb powers  $S_n$  and the intermodulation products saturate
  - ▶ the impact of bleaching decreases at high comb line numbers
- The difference in behavior of  $\rho_{2n}$  and  $\rho_{3n}$  between the *p-i-n* PD and MUTC PD is due to
  - ▶ greater coherence of the nonlinear products in the MUTC PD
  - ▶ the impact in the MUTC PD increases at high comb line numbers



# Table of contents

## 1 Introduction

- Motivation
- History

## 2 Model

- Structure of the *p-i-n* PD
- Structure of the MUTC PD
- Drift-Diffusion Model
- Implicit modeling method

## 3 Harmonic powers in CW mode

## 4 AM-to-PM noise conversion

## 5 Phase noise

- Phase noise model
- Device optimization

## 6 Nonlinearity in frequency combs and bleaching

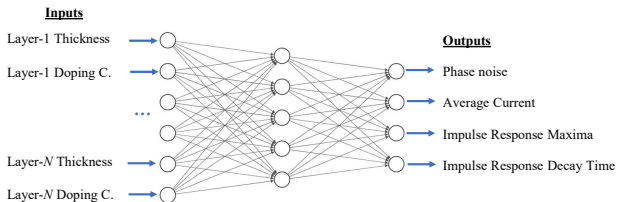
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- OIPs and IMDs in MUTC and *p-i-n* PDs
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## 7 Future initiative

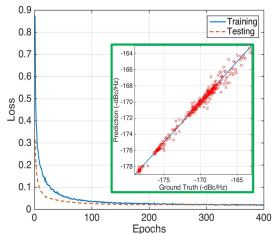


# Future Initiative: Intelligent optimization (E. Simsek)

## Machine Learning: Prediction Using an Artificial Neural Network (ANN)



### Preliminary Results

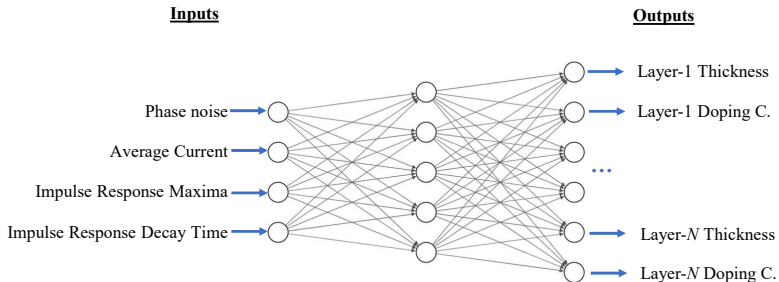


	Performance Metric Statistics			Prediction Errors (%)			
	Minimum	Maximum	CV	LR	KNN	RF	ANN
Phase noise	-178.62 dBc/Hz	-161.51 dBc/Hz	-0.022	0.38	0.39	0.19	0.18
Ave. Current	1.46 mA	9.9 mA	0.65	16.81	5.16	3.5	2.47
IR Max	28.5 ns <sup>-1</sup>	97.56 ns <sup>-1</sup>	0.22	4.81	5.48	3.06	2.88
Decay Time	22.22 ns	184.77 ns	0.5	9.91	11.34	7.12	5.21



# Future Initiative: Intelligent optimization (E. Simsek)

## Machine Learning: Design



**Preliminary Results:** The design, recommended by an ANN trained with 1000+ samples, outperforms all PDs used in training.



Thank you for your attention