

Source Modeling in Illumination Optics

Presented by:



**The OSA NonImaging Optical Design Technical Group
Welcomes You**



**SOURCE MODELING IN
ILLUMINATION OPTICS**

3 December 2019 • 12:00 EST

OSA NonImaging
Optical Design
Technical Group

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Technical Group at a Glance

- **Focus**

- Design and characterization of illumination systems using modeling techniques.
- Non-sequential design techniques, including both software and tailoring methods provide the tools to design efficient optical components that provide the desired distribution at the target.
- Typical applications include solar energy, lighting, and displays.

- **Mission**

- To benefit *YOU* and to strengthen *OUR* community
- Webinars, podcasts, publications, technical events, business events, outreach
- Interested in presenting your research? Have ideas for TG events? Contact us at TGactivities@osa.org.

- **Find us here**

- Website: https://www.osa.org/en-us/get_involved/technical_groups/fdi/nonimaging_optical_design/
- Facebook: <https://www.facebook.com/groups/OSAnonimagingopticaldesign/>
- LinkedIn: <https://www.linkedin.com/groups/4766842/>

Today's Webinar



What is etendue, and why is it important?

Julius Muschaweck

CEO, JMO GmbH

julius@jmoptics.de

Speaker's Short Bio:

Julius Muschaweck, a German physicist, has been working on optical design for illumination for over twenty years. After a stay as Visiting Scholar at the University of Chicago with Prof. Roland Winston (well known as the originator of Nonimaging Optics), he was co-founder and CEO of OEC, an optical engineering service which pioneered freeform optics. Later, at OSRAM, where he held the position of Senior Principal Key Expert (the highest rank in the OSRAM/Siemens expert career), he coordinated the over 100 optical designers within OSRAM world-wide. He then joined ARRI, the leading movie camera and lamp head maker, as Principal Optical Scientist. Julius Muschaweck now works as an independent consultant, providing illumination optics solutions to industry clients, teaching courses on illumination optics, and writing about the subject.



Source modeling in illumination optics

Julius Muschaweck

OSA webinar – Dec. 3, 2019

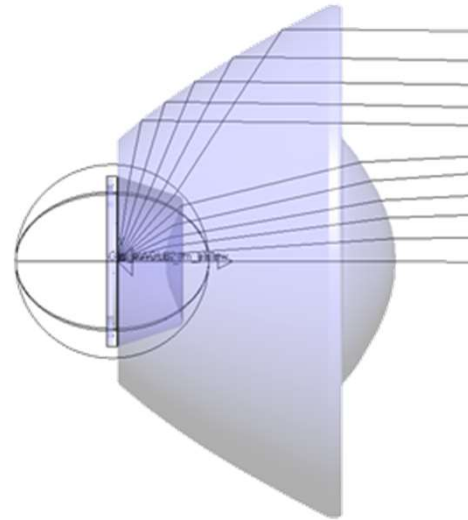
The problem

- Your task (just an example):
TIR lens design for a given target intensity distribution
- Let's assume you know sufficient optics

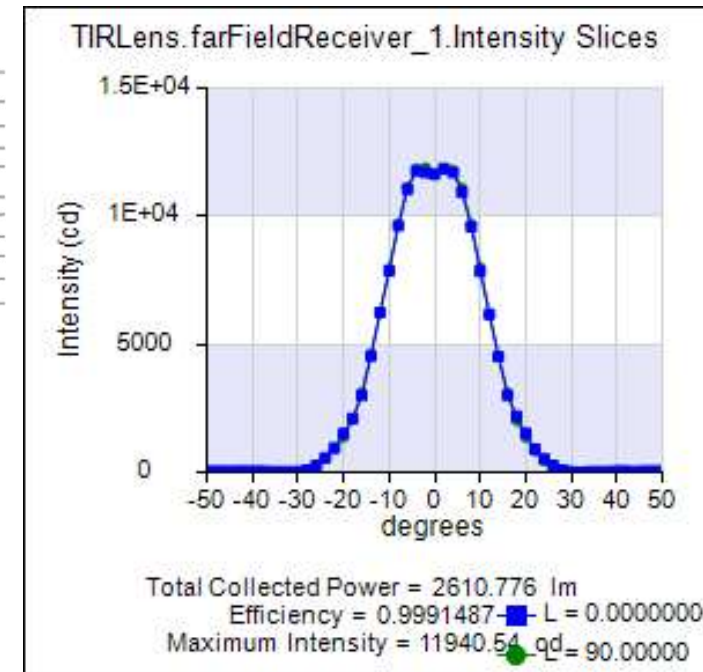
- No reliable simulation results **without**

- accurate geometry representation,
- accurate material information,
- accurate surface properties,

- **a sufficiently accurate source model**

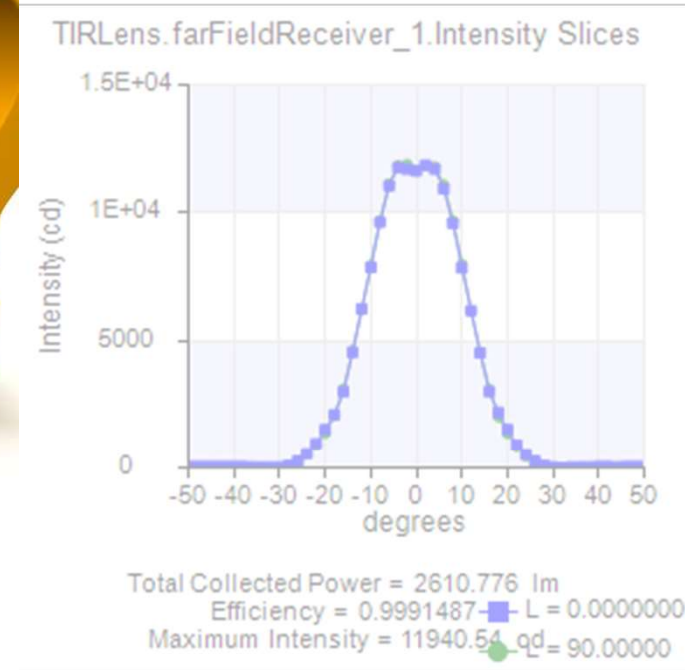


Apr 10, 2019
TIRLens 2
LightTools 8.6.0



The problem

- Your task (just an exact TIR lens design for a given geometry)
- Let's assume you know the geometry
- No reliable simulation
 - accurate geometry representation
 - accurate material information,
 - accurate surface properties,
- **a sufficiently accurate simulation**



A (nearly) perfectly accurate source model

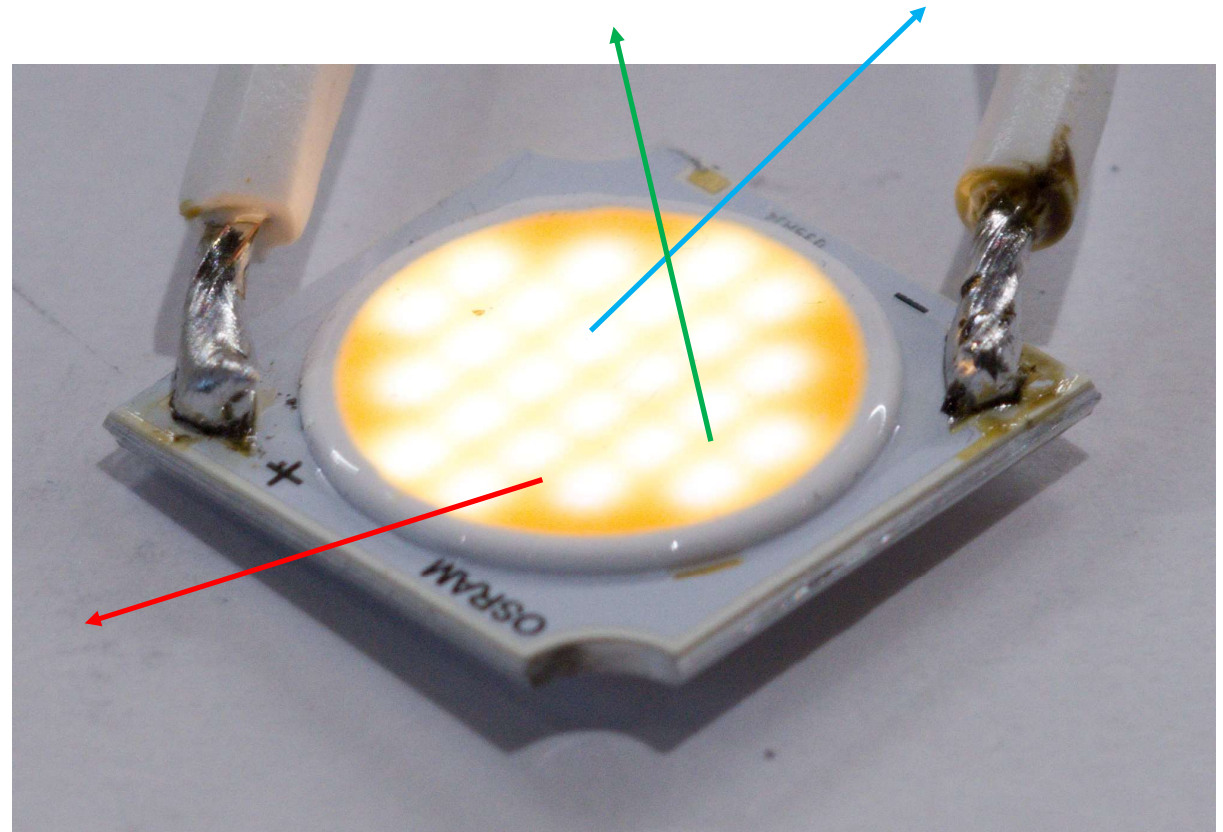
How much light

- from any point
- into any direction
- at any wavelength?

In more precise terms:

Spectral radiance

$$L_{\lambda}(x, y, \theta, \varphi, \lambda)$$



Why „nearly“ perfectly accurate?

- Polarization: Stokes vector

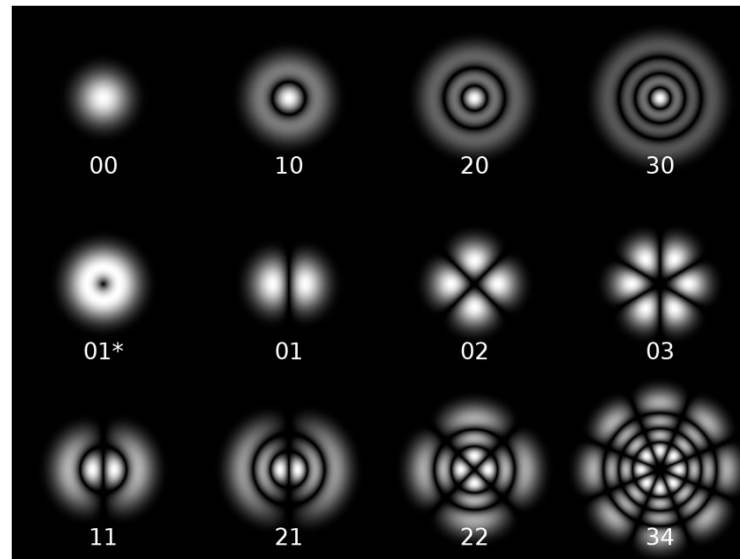
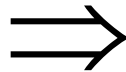
$$L_\lambda(x, y, \theta, \varphi, \lambda) \rightarrow \vec{S}_\lambda(x, y, \theta, \varphi, \lambda)$$

- Coherence: Correlation function

$$g^{(1)}(\mathbf{r}_1, t_1; \mathbf{r}_2, t_2) = \frac{\langle E^*(\mathbf{r}_1, t_1)E(\mathbf{r}_2, t_2) \rangle}{\sqrt{\langle |E(\mathbf{r}_1, t_1)|^2 \rangle \langle |E(\mathbf{r}_2, t_2)|^2 \rangle}}$$

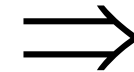
- We do not go there. No polarization, no coherence today.

Diffraction



<https://commons.wikimedia.org/wiki/File:Laguerre-gaussian.png>

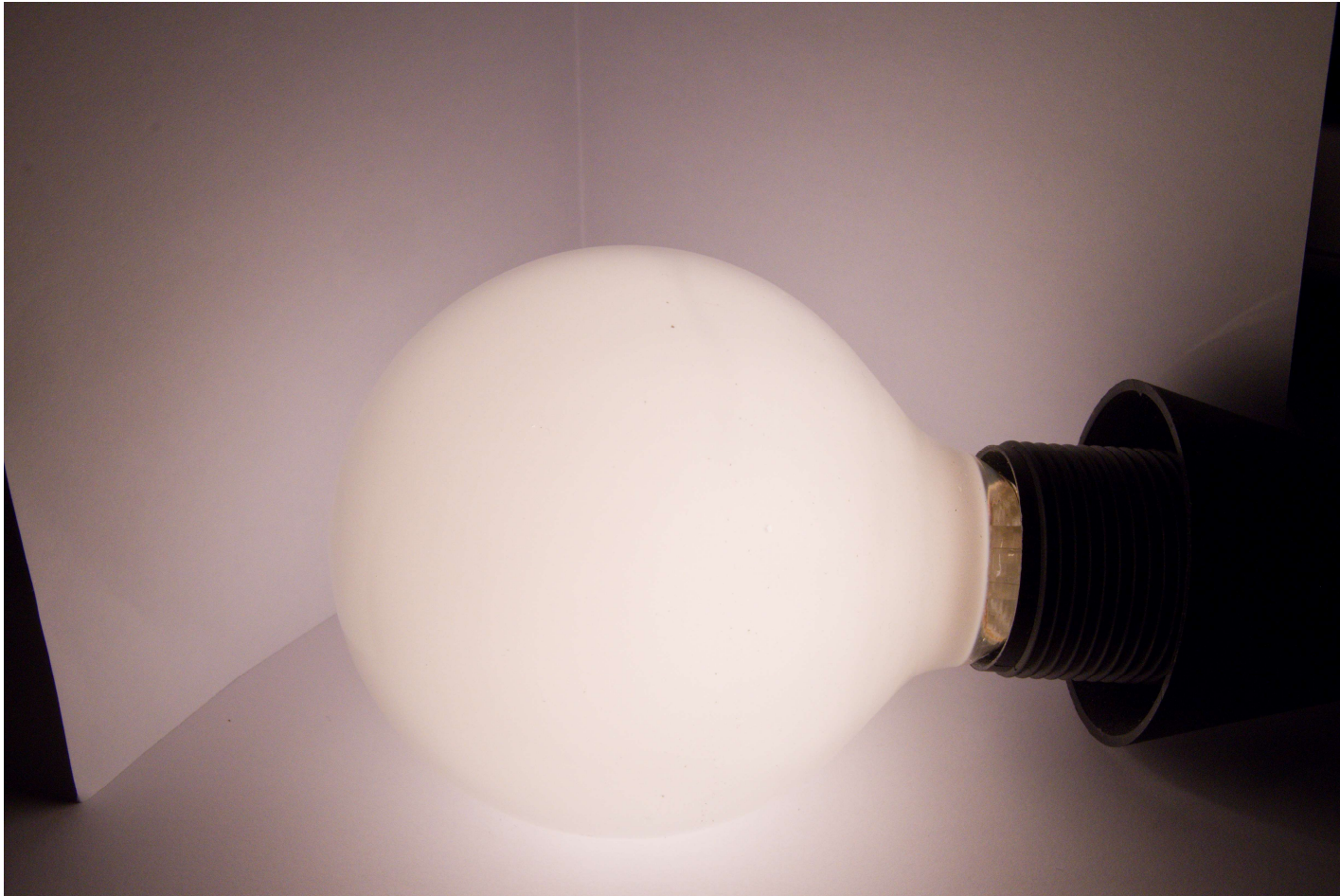
Cylindrical transverse modes



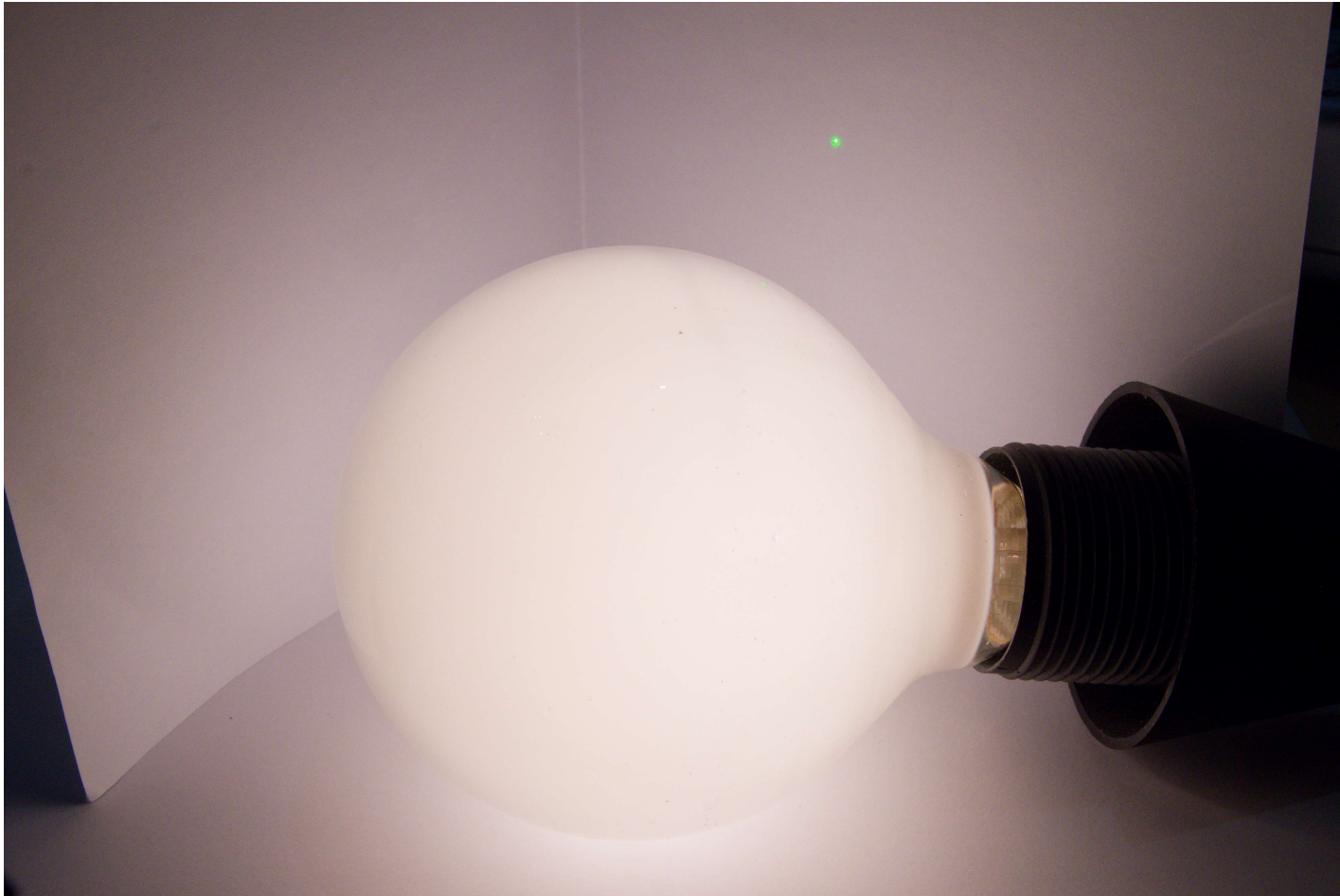
Roughly
250 million modes.
For each wavelength.

We do not go there.
No diffraction today.

Retroactive effects: Source reflectivity



Retroactive effects: Source reflectivity



Retroactive effects: Source reflectivity

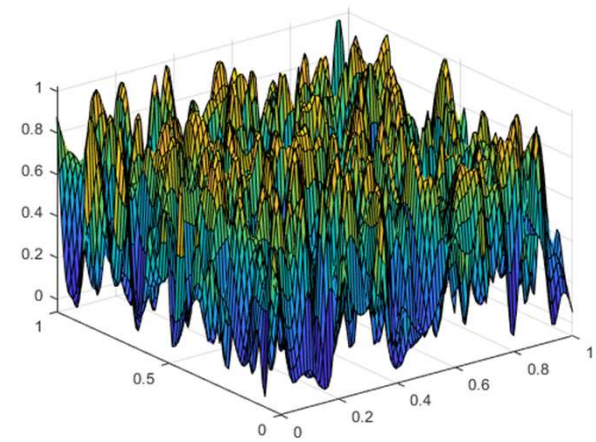
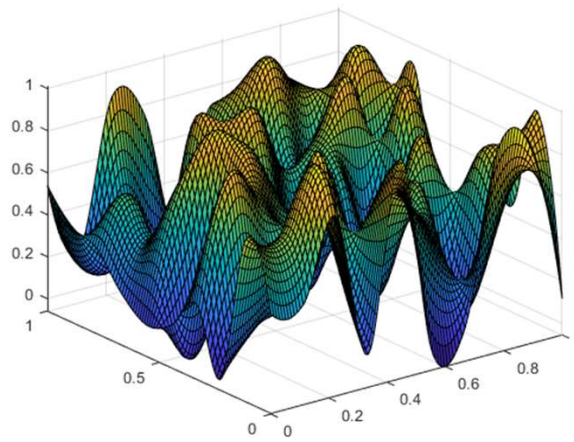
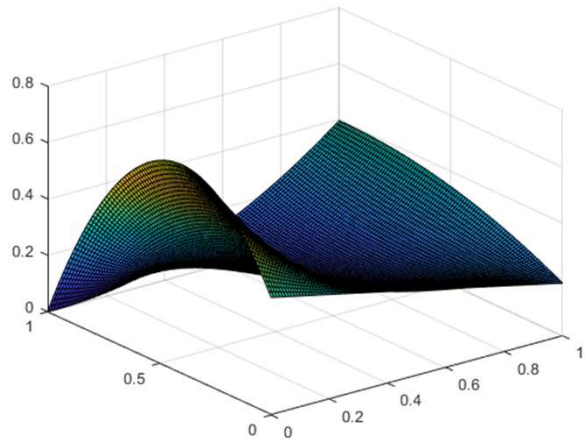
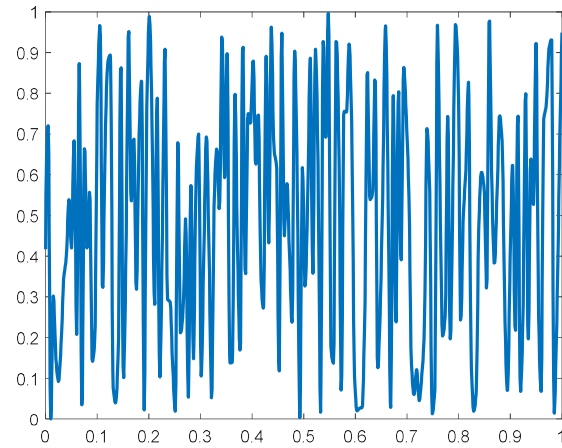
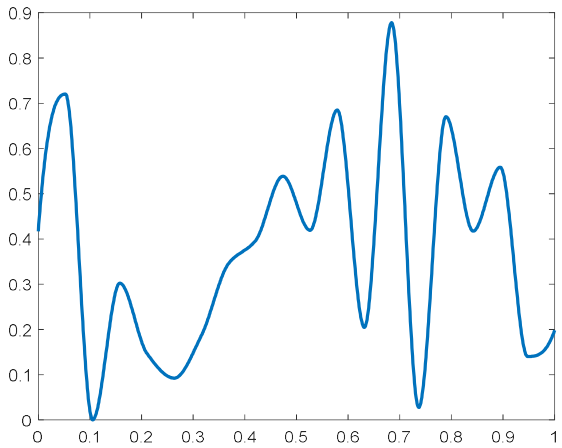
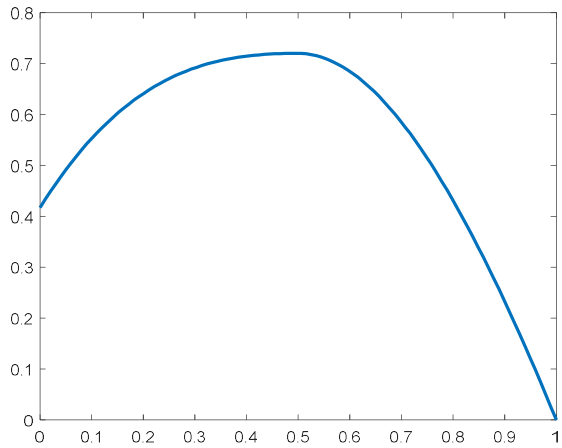


The full beauty source model – a nightmare

$$L_{\lambda}(x, y, \theta, \varphi, \lambda)$$

A function „living“ in five dimensions

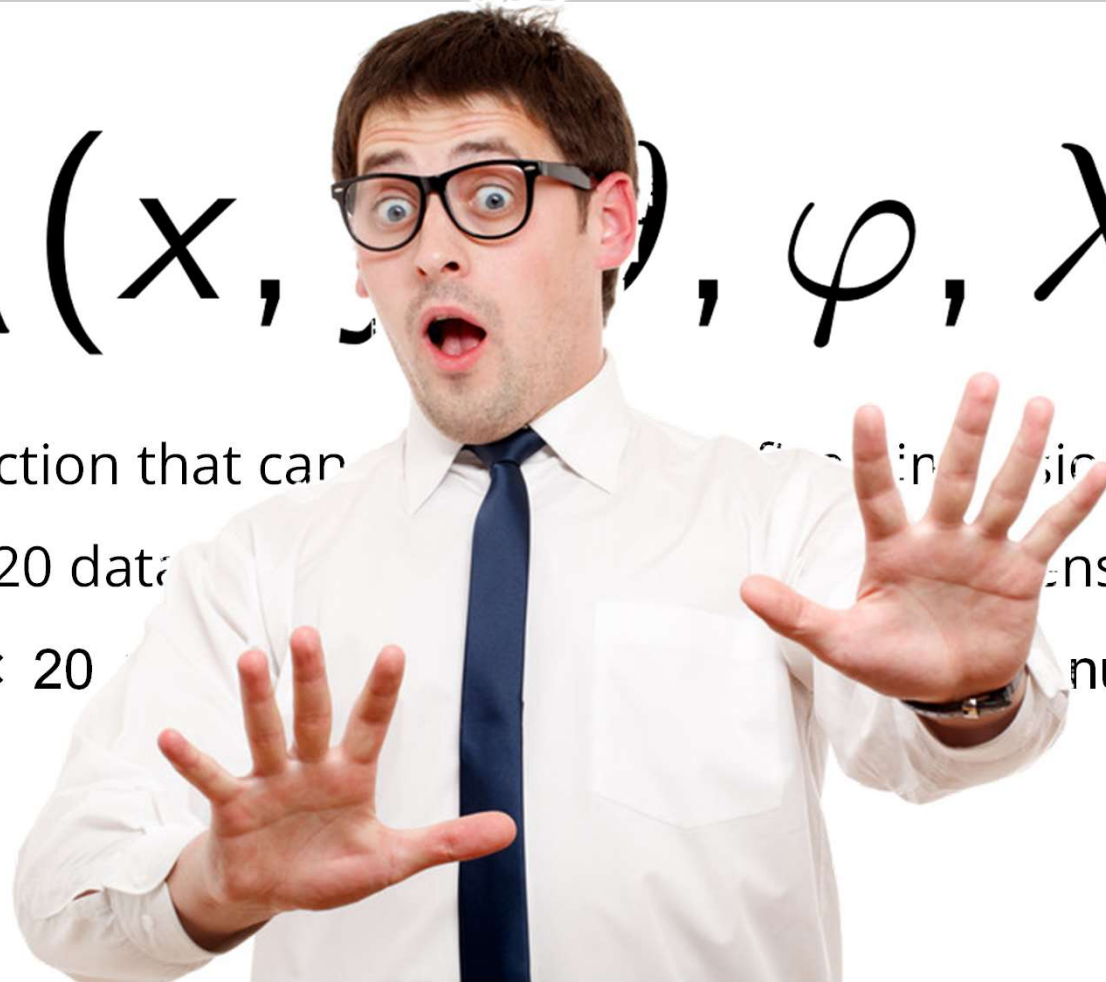
Some arbitrary functions



The full beauty source model – a nightmare

$$L_{\lambda}(x, y, z, \varphi, \lambda)$$

A function that can describe all possible illumination conditions
 at only 20 data points in each dimension:
 $20 \times 20 \times 20$ parameters

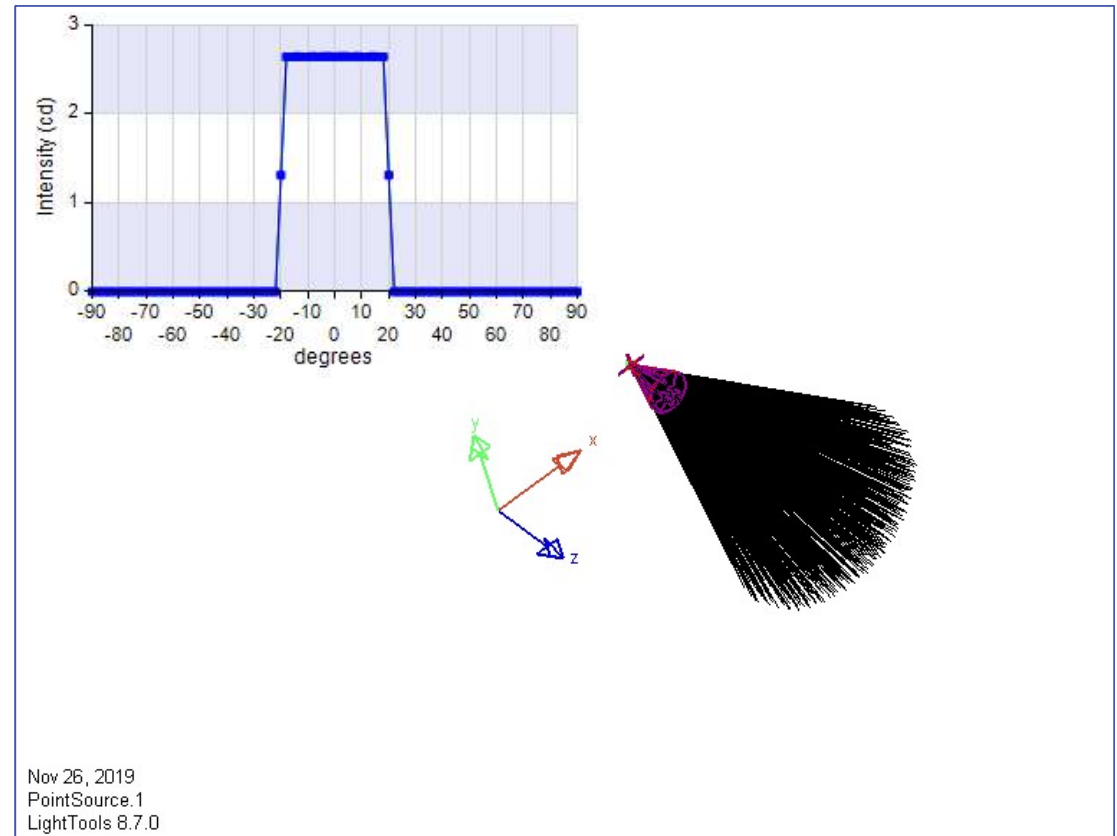


The simplest source model: point sources

Default: isotropic, monochromatic

Five numbers: x, y, z, λ, Φ

Add **aim cone** (e.g. $\pm 20^\circ$)

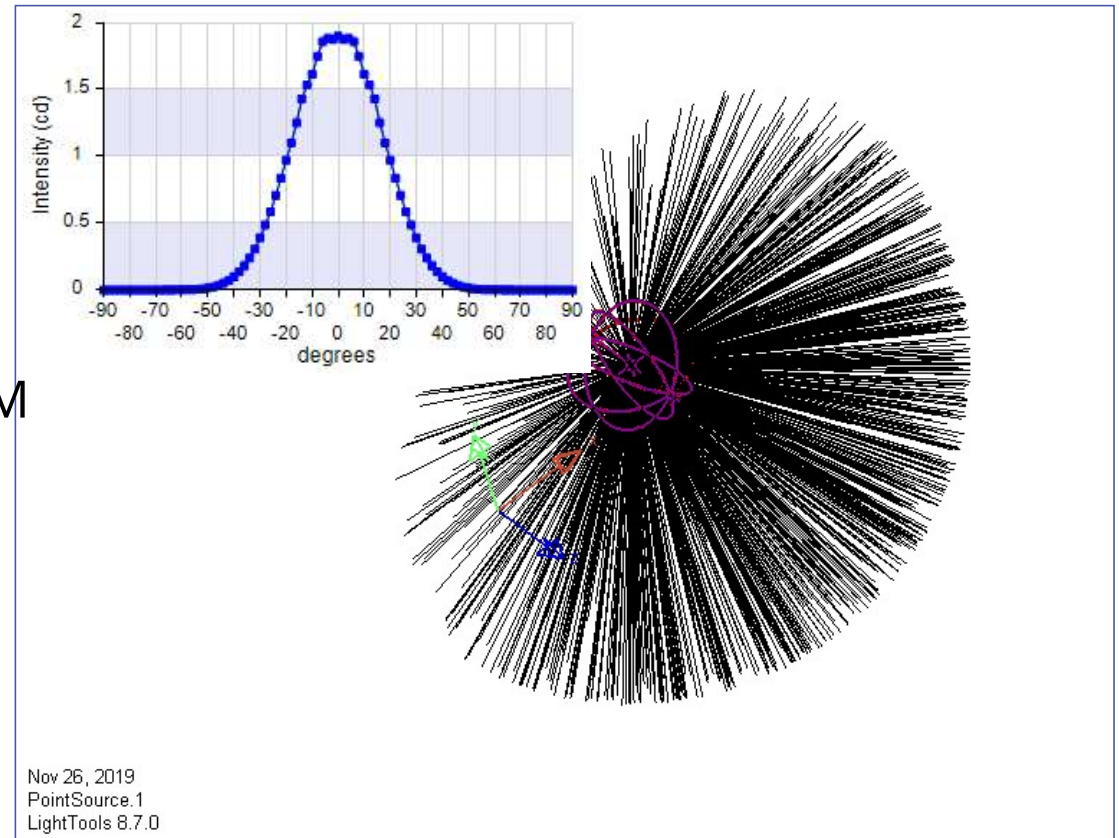


The simplest source model: point sources

Default: isotropic, monochromatic

Five numbers: x, y, z, λ, Φ

Add **apodization**, e.g. $\pm 20^\circ = 40^\circ$ FWHM



A word on intensity

Solid angle Ω = area on unit sphere, $\Omega = \frac{A}{r^2}$

Intensity I :

Choose direction (θ, φ)

Consider tiny solid angle $d\Omega$ there

Determine flux $d\Phi$ into $d\Omega$

$$I = I(\theta, \varphi) = d\Phi / d\Omega$$

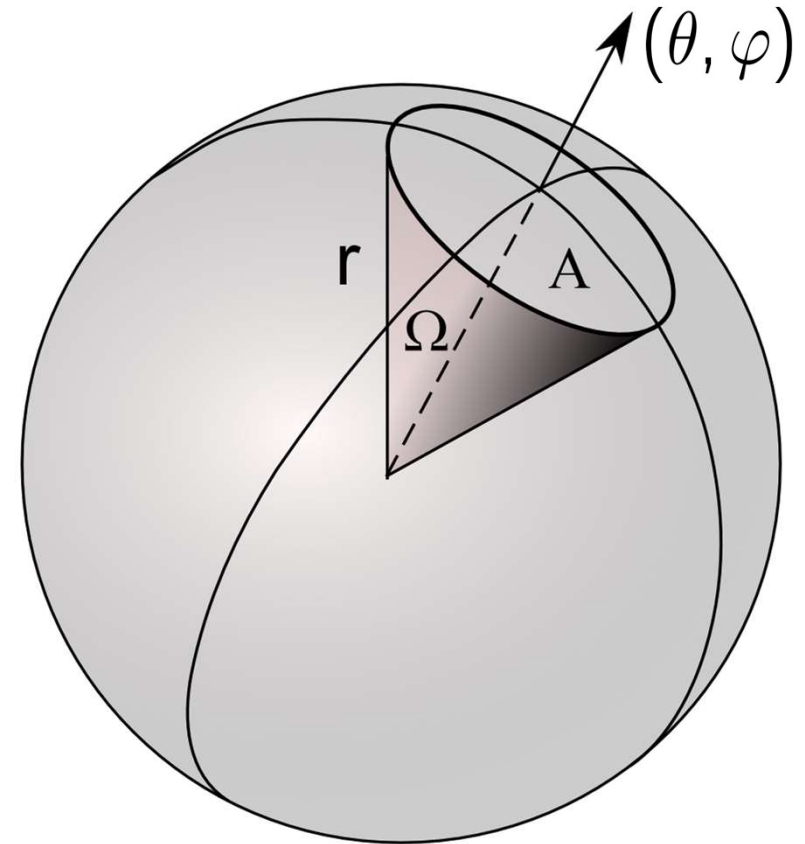


Image: https://de.wikipedia.org/wiki/Datei:Angle_solide_coordonnees.svg, by Haade / *derivative work: Habib.mhenni

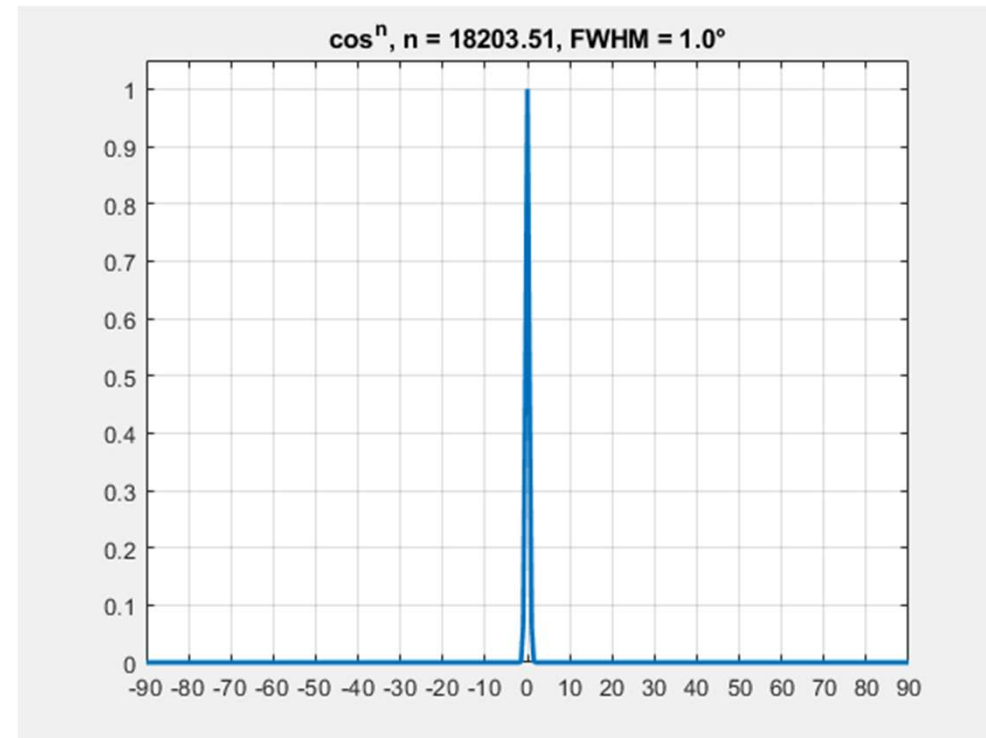
A very useful function to model intensity: \cos^n

For planar sources with circular beam:

Gauss ($e^{-\frac{c}{x^2}}$) not very good.

\cos^n :

- Full range of beam widths, collimated to isotropic
- Always „nice“
- Always zero at 90° (except when isotropic)
- $\gtrsim 50\%$ of flux within FWHM (more for wide beams)



My \cos^n calculator spreadsheet

cosⁿ calculator

Beams for illumination can often be modelled quite well using a $\cos^n(\alpha)$ distribution.

I prefer \cos^n over Gaussian distributions for several reasons:

- zero at 90°, even for wide beams
- easy to integrate analytically
- simple relation between peak intensity and flux

This worksheet is intended to make \cos^n easy to use for you, the optical designers.

Input is yellow! Output is green!

This software is public domain, provided as-is without any warranty, under the Unlicense. See <https://unlicense.org>

Comments are welcome! Please send to the author: Julius.Muschaweck@jimoptics.de

	Main (from n)	From FWHM	From I0/phi
n	2.00	11.14	5.28
FWHM	90.00 °	40.00	57.42 °
FW0.1M	143.13 °	71.16	99.41 °
I0 / Phi	0.477 cd/lm	1.933	1.000 cd/lm

cosⁿ distributions

value

alpha

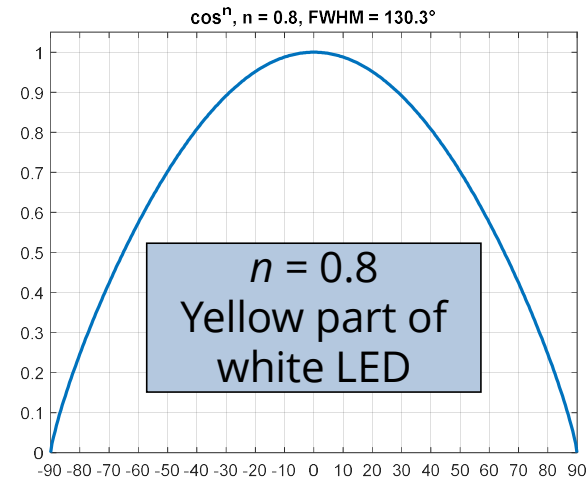
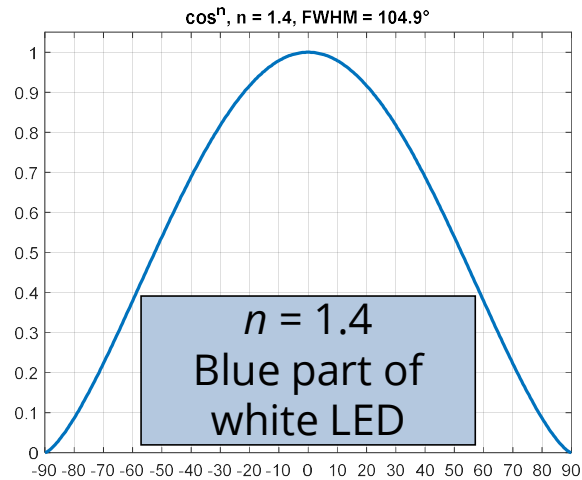
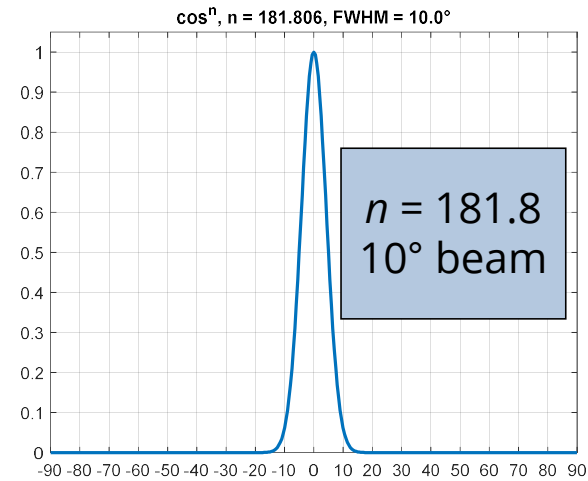
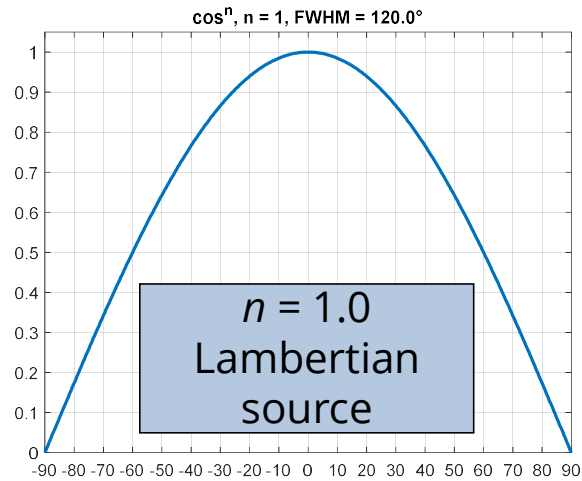
	Main (from n)	From FWHM	From I0/phi
14			
15	n	2.00	11.14
16	FWHM	90.00 °	40.00
17	FW0.1M	143.13 °	71.16
18	I0 / Phi	0.477 cd/lm	1.933
			1.000 cd/lm

Creates LightTools® apodization files,
correctly integrating the distribution over intervals

Freely available at

<https://github.com/JuliusMuschaweck/IlluminationDesignTools>

Some special \cos^n distributions



Lambertian sources

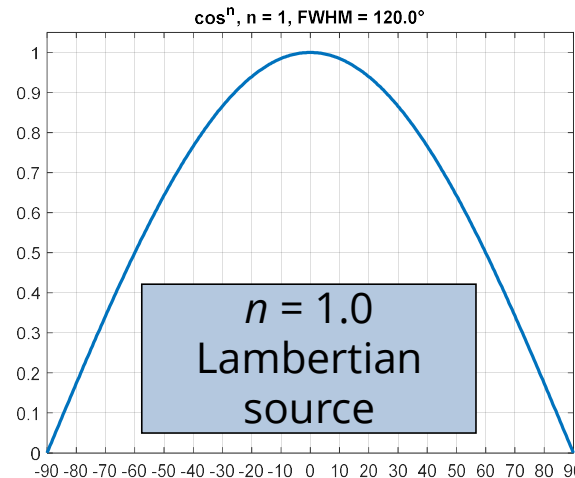
By definition: Lambertian means

- ✓ planar
- ✓ constant luminance over angle and area

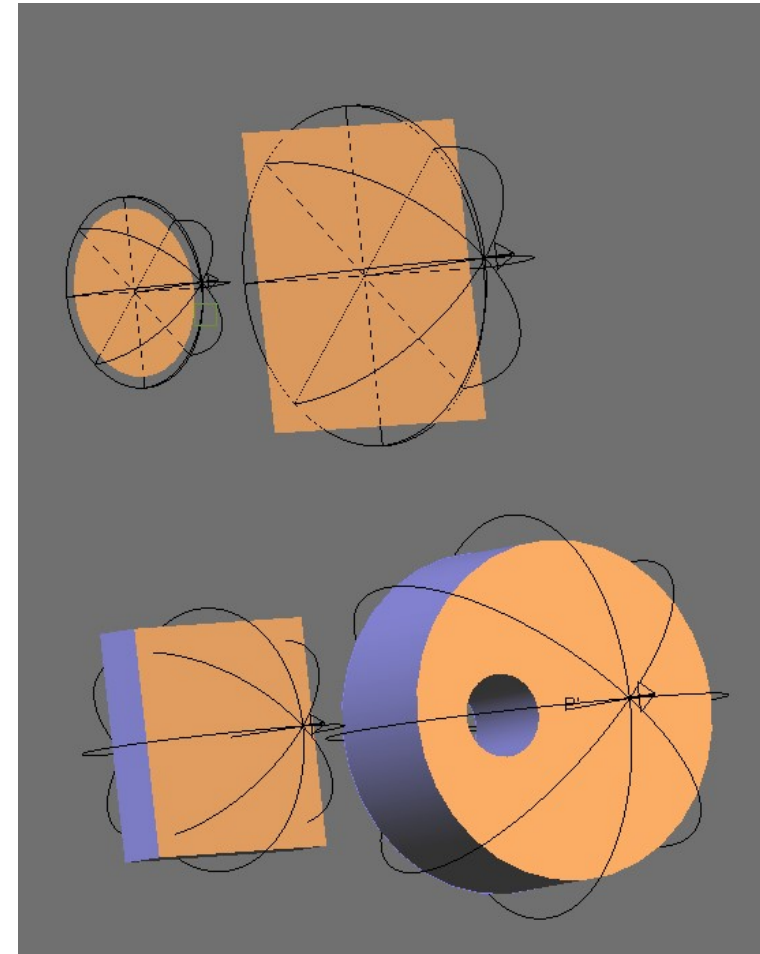
„A planar surface that looks equally bright, no matter where you look and from where you look“

Intensity distribution:

$$I(\theta) = I_0 \cos(\theta)$$



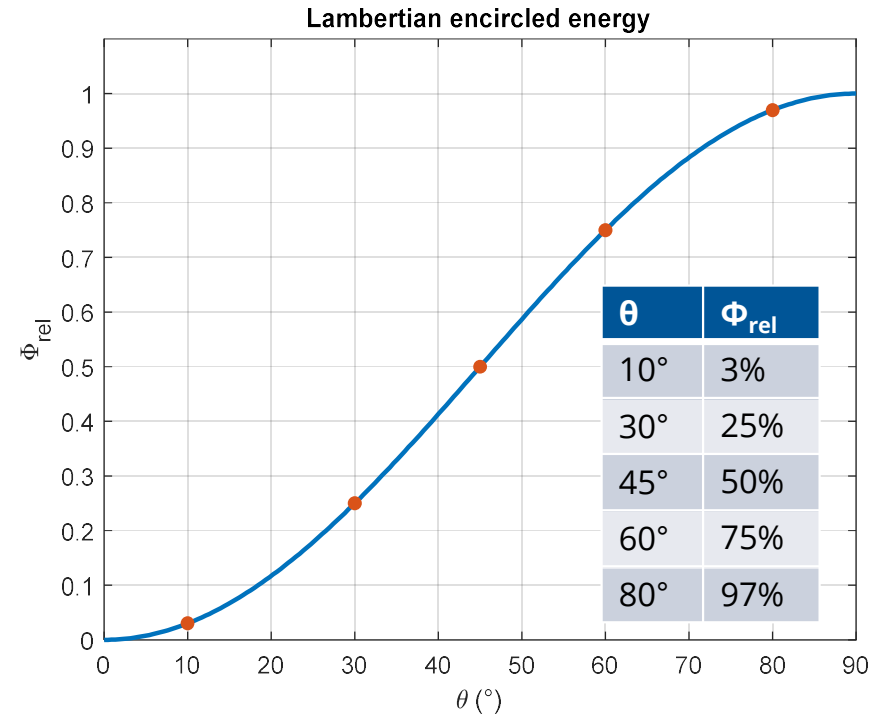
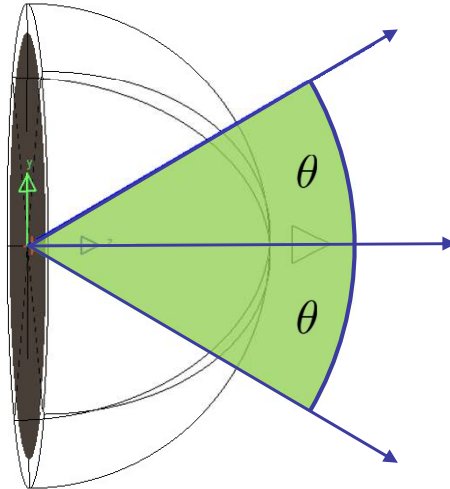
Julius Muschaweck – julius@jmoptics.de



Lambertian sources: Encircled energy

How much flux into $\pm\theta$ cone?

$$\Phi_{\text{rel}}(\theta) = (\sin \theta)^2 = \frac{1 - \cos(2\theta)}{2}$$



Lambertian sources: An idealization! Beware deviations at high angles!

A word on irradiance / exitance

How much flux per area
is coming (irradiance) or going (exitance)?

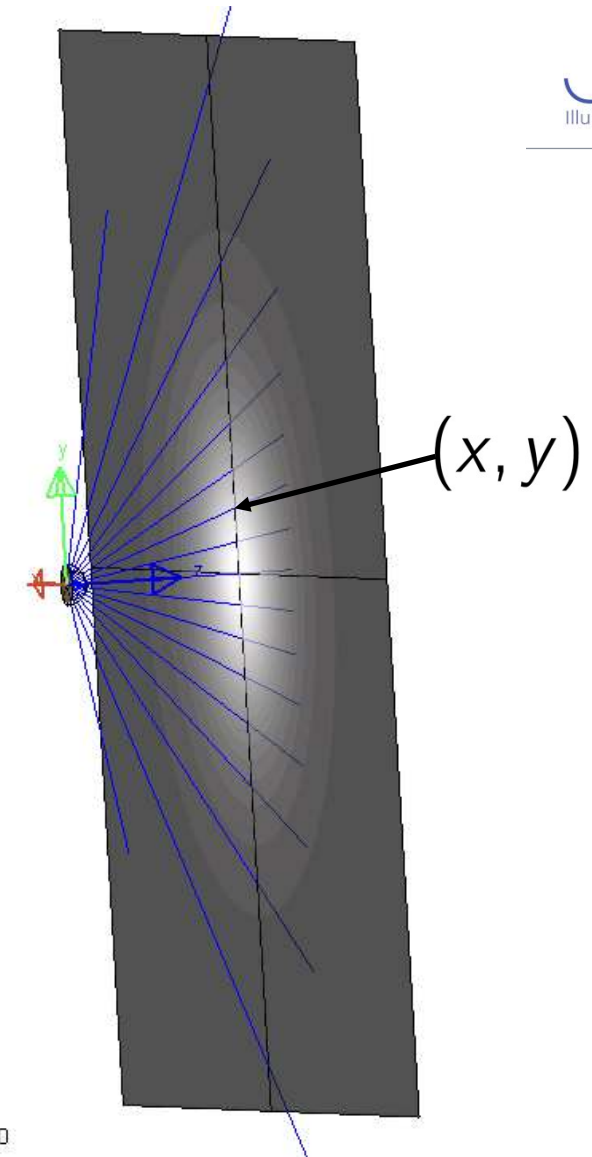
Irradiance E :

Choose location (x, y)

Consider tiny area element dA there

Determine flux $d\Phi$ into dA

$$E = E(x, y) = d\Phi / dA$$

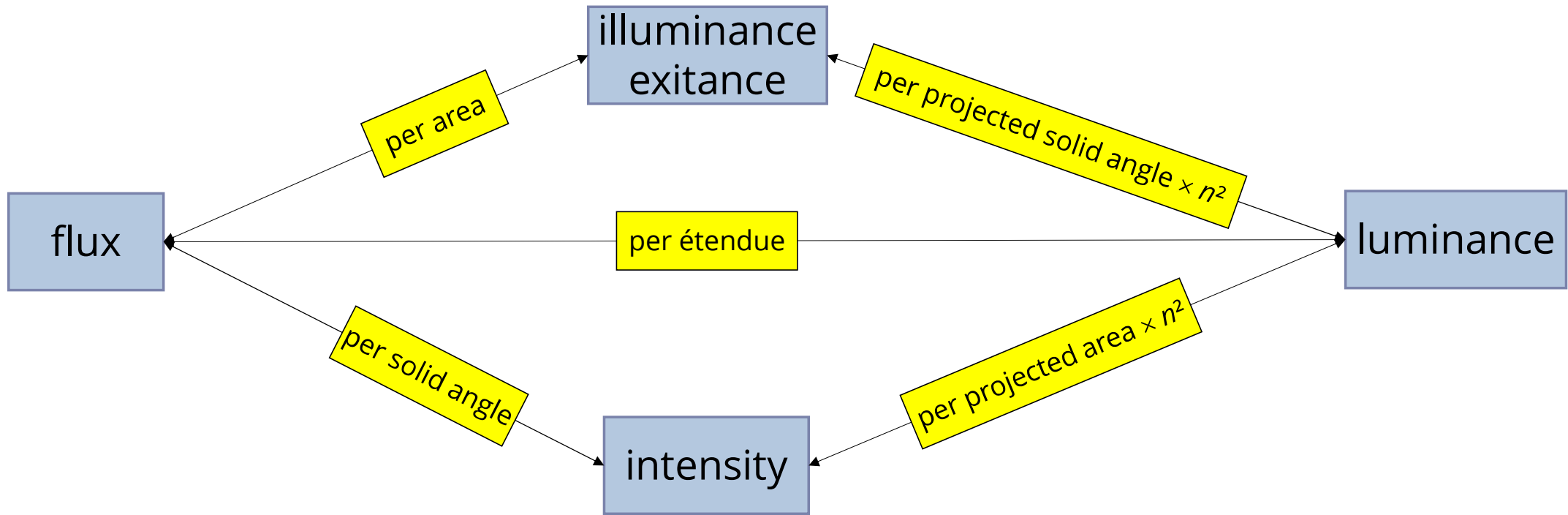


Nov 26, 2019
Lambertian.2
LightTools 8.7.0

A word on radiometric vs. photometric quantities

	Overall a number	Per solid angle function of θ, φ	Per area function of x, y	Per phase space volume Per proj. solid angle, area, n^2 function of x, y, θ, φ
Photometric	Luminous flux	Luminous intensity	Illuminance Luminous exitance	Luminance
	Lumen (lm)	Candela (cd = lm/sr)	Lux (lx = lm/m ²)	nit (cd/m ² = lx/sr = lm/(m ² sr))
	Φ_v	I_v	E_v	L_v
Radiometric	Radiant flux	Radiant intensity	Irradiance Radiant exitance	Radiance
	Watt (W)	W/sr	W/m ²	W/(m ² sr)
	Φ (Φ_E, P)	I	E	L

The diamond



For more on this, see my previous webinar on étendue at <https://www.osa.org/en-us/meetings/webinar/2019/what-is-etendue-and-why-is-it-important/>

Varying exitance: surface apodization

In general, exitance (flux per area as function of location) varies

Assign tabulated values to your emitting surface:

Emittance Ray Trace Spatial Grid

X Boundaries

Min mm

Max mm

Y Boundaries

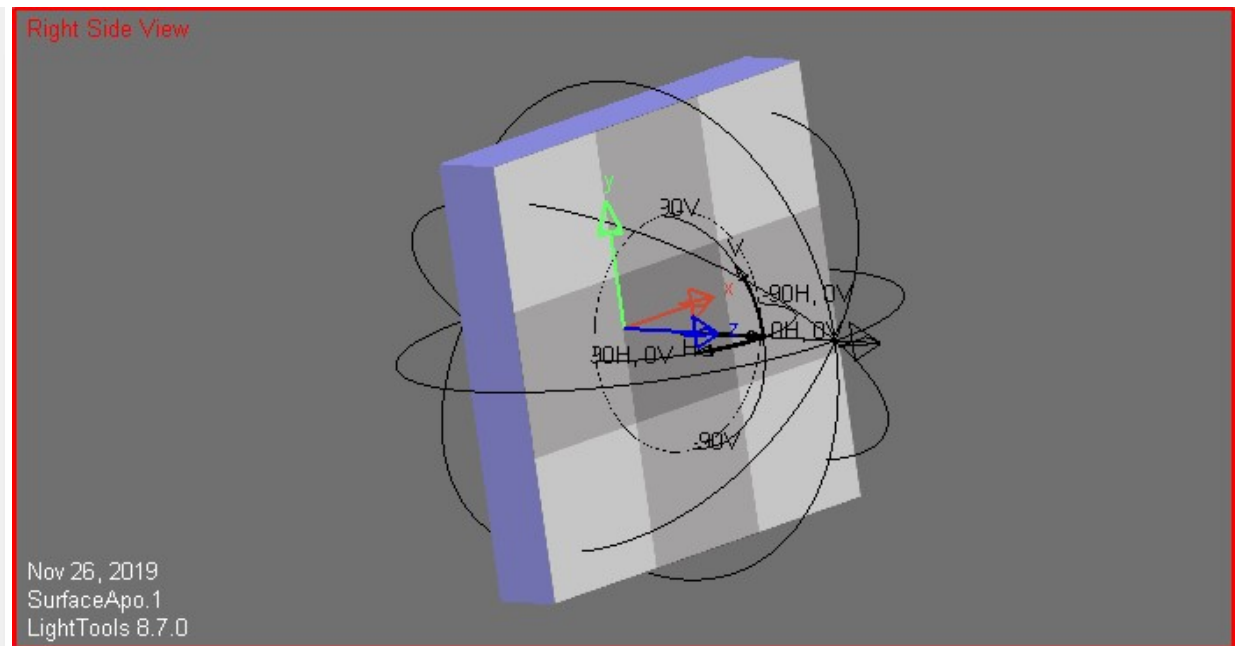
Min mm

Max mm

Interpolate Mesh

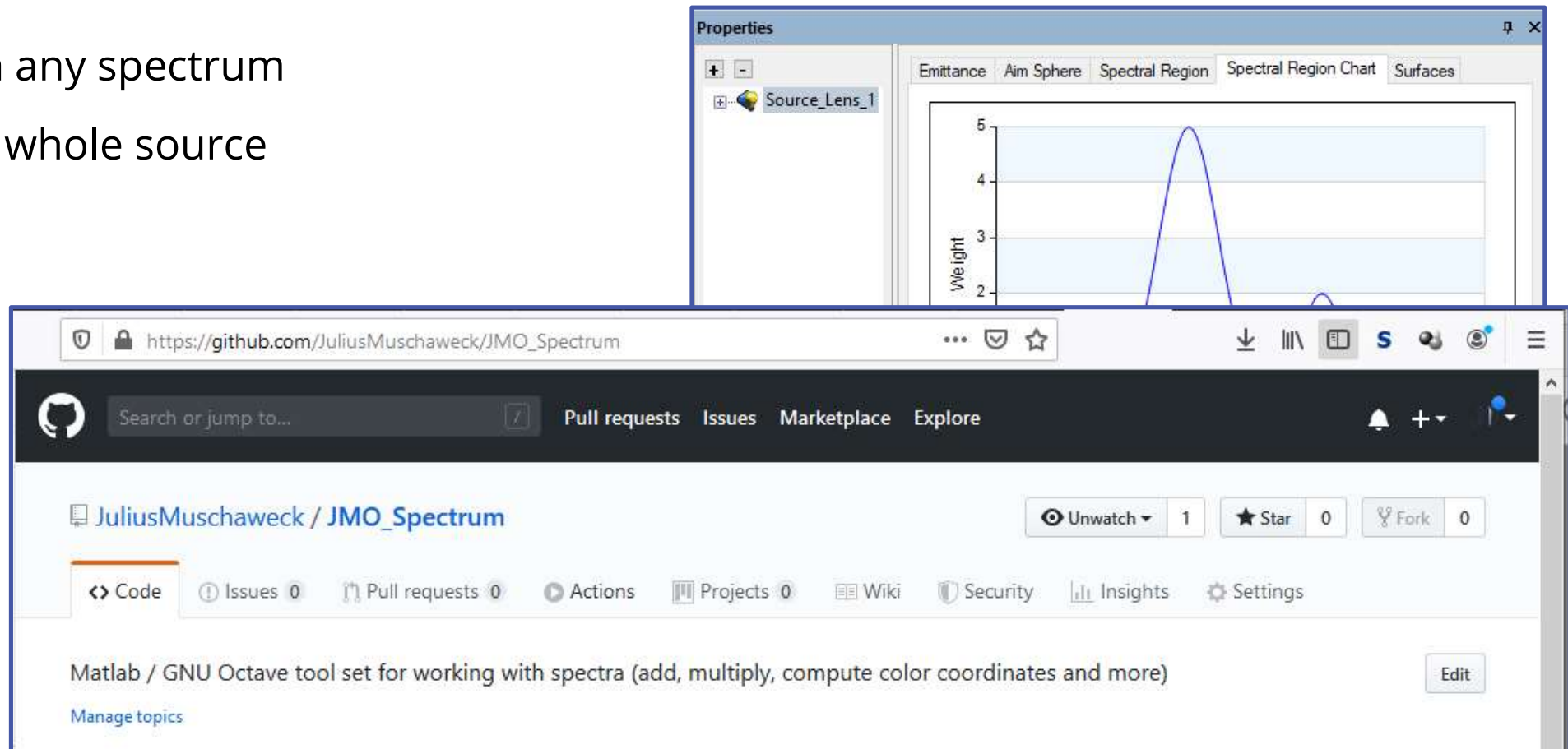
Filename ...

y \ x	-1.000000	0.000000	1.000000
1.000000	4.000000	2.000000	4.000000
0.000000	2.000000	1.000000	2.000000
-1.000000	4.000000	2.000000	4.000000
End of Data			



Spectral modeling

Assign any spectrum
to the whole source



Spatial / angular apodization and spectrum

Spatial, angular and spectral dependence separate:

$$L_{\lambda}(x, y, \theta, \varphi, \lambda) = C_0 \times f_1(x, y) \times f_2(\theta, \varphi) \times f_3(\lambda)$$

C_0 : Normalization factor for correct overall flux

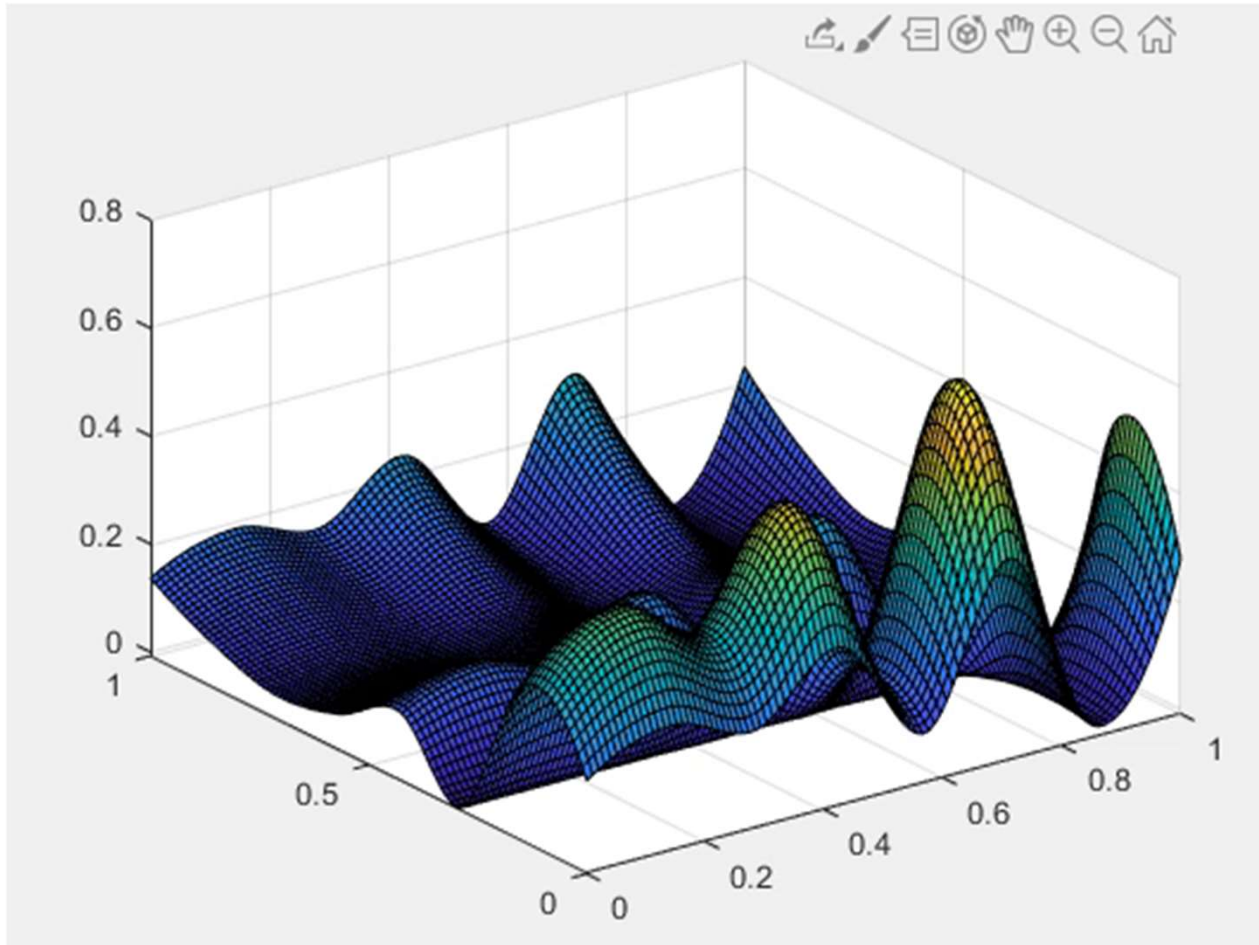
f_1 : Spatial apodization

f_2 : Angular apodization

f_3 : Spectrum

Much less general than the full model – but **very** useful in practice

Visualizing variable separation



- From wherever you look, the source surface has the same apodization pattern
- Wherever you place a pinhole on the source, the far field looks the same
- Each point on the source sends the same spectrum into each direction
- What if that's not sufficient?

Physical modeling

Build a detailed physical model of the source in software

Assign all relevant optical and emission properties



OSRAM Advanced
Power TopLED, LA G6SP

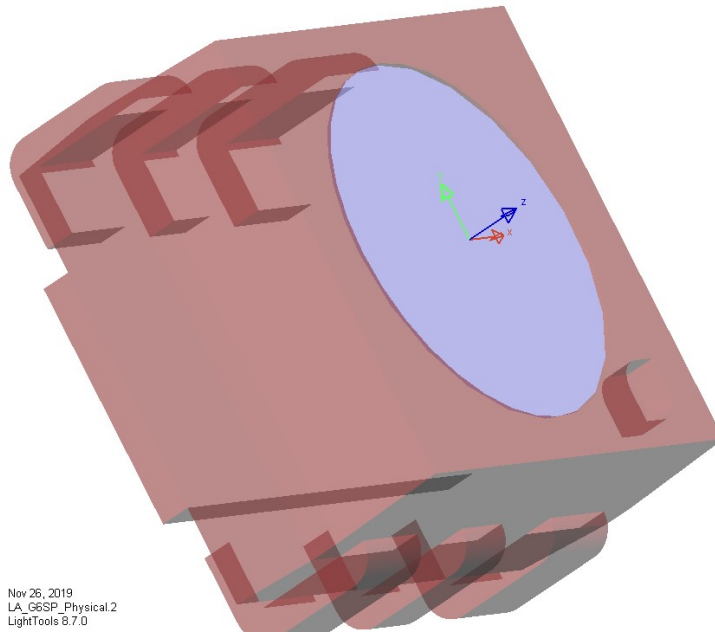


CAD model from
OSRAM web site

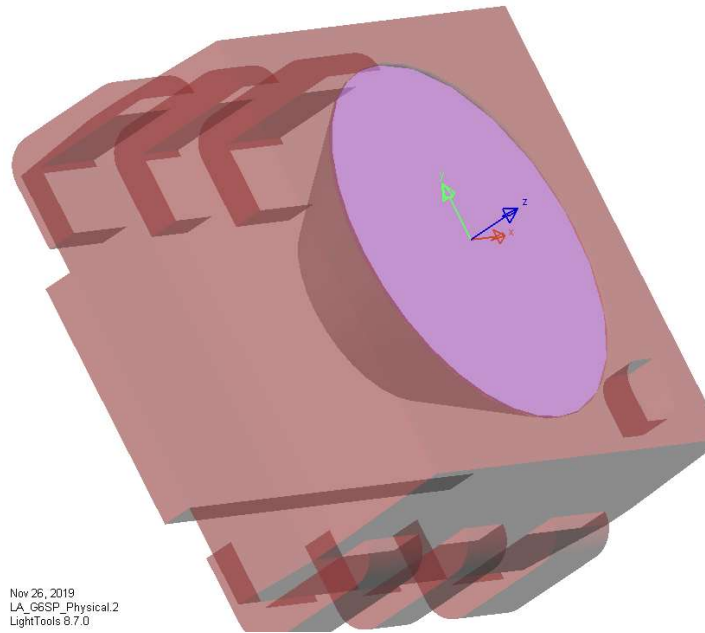
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LA_G6SP_Physical.2
LightTools 8.7.0



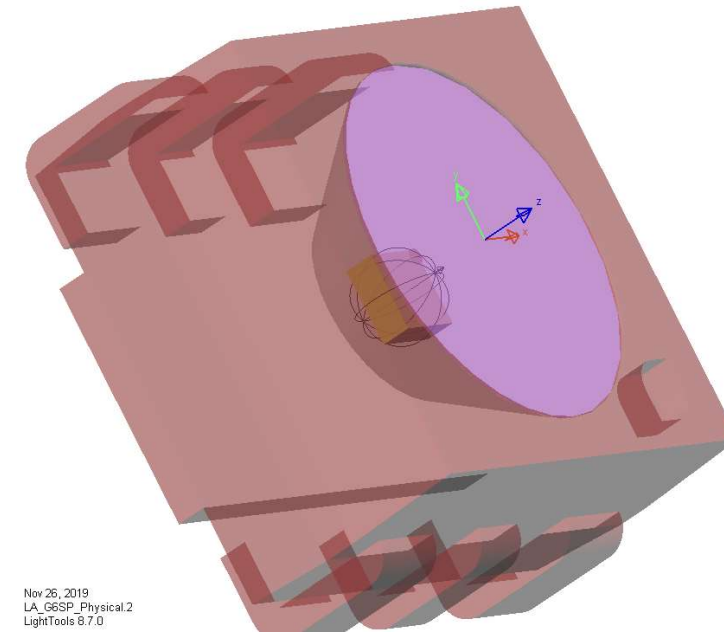
Physical modeling steps



start with „empty“ CAD



immerse silicone cup
assign refractive index
make inner surfaces 90% scatter
exit surface refracts, Fresnel split



Immerse LED chip
make top surface emitting
make surfaces absorbing
add wirebond pad

Physical modeling

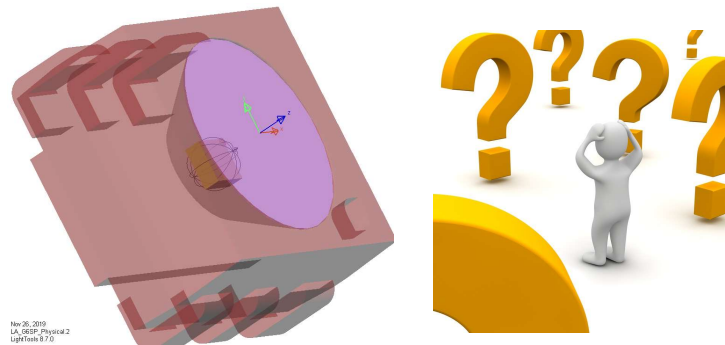
Build a detailed physical model of the source in software

Assign all relevant optical and emission properties

Sounds like „the way to go“, but not in practice: Lack of information

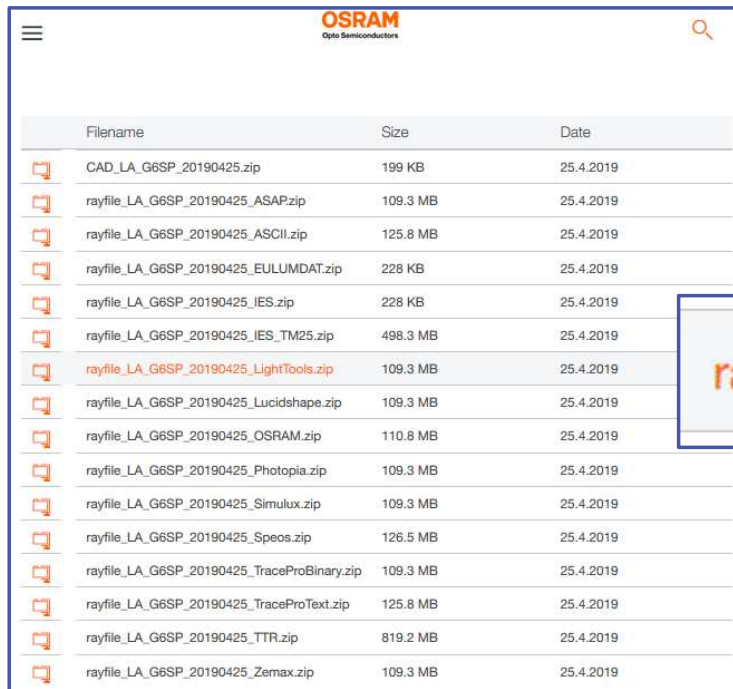
If you choose this approach, then validate. And validate again.

Against anything you know: Far field intensity, near field data from ray files, photographs..



Ray files

Monte Carlo sampling of $L_\lambda(x, y, \theta, \varphi, \lambda)$: Millions of rays, like single photon counting
Just download ray file from vendor's web site, insert and run ... or so you thought ...

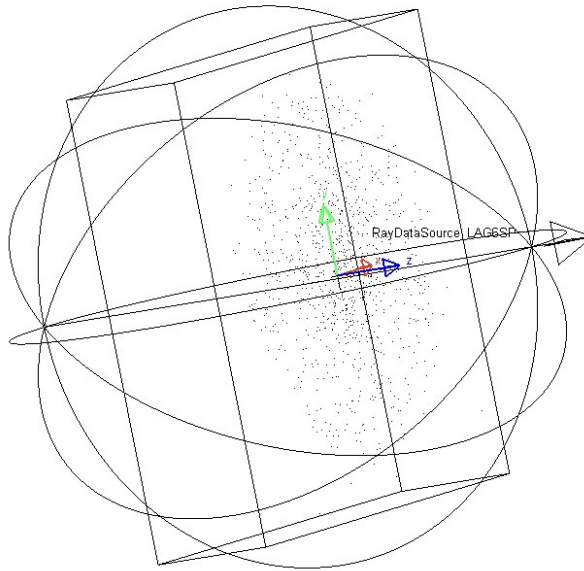


Filename	Size	Date
CAD_LA_G6SP_20190425.zip	199 KB	25.4.2019
rayfile_LA_G6SP_20190425_ASAP.zip	109.3 MB	25.4.2019
rayfile_LA_G6SP_20190425_ASCII.zip	125.8 MB	25.4.2019
rayfile_LA_G6SP_20190425_EULUMDAT.zip	228 KB	25.4.2019
rayfile_LA_G6SP_20190425_IES.zip	228 KB	25.4.2019
rayfile_LA_G6SP_20190425_IES_TM25.zip	498.3 MB	25.4.2019
rayfile_LA_G6SP_20190425_LightTools.zip	109.3 MB	25.4.2019
rayfile_LA_G6SP_20190425_Lucidshape.zip	109.3 MB	25.4.2019
rayfile_LA_G6SP_20190425_OSRAM.zip	110.8 MB	25.4.2019
rayfile_LA_G6SP_20190425_Photopia.zip	109.3 MB	25.4.2019
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rayfile_LA_G6SP_20190425_TraceProBinary.zip	109.3 MB	25.4.2019
rayfile_LA_G6SP_20190425_TraceProText.zip	125.8 MB	25.4.2019
rayfile_LA_G6SP_20190425_TTR.zip	819.2 MB	25.4.2019
rayfile_LA_G6SP_20190425_Zemax.zip	109.3 MB	25.4.2019

rayfile_LA_G6SP_20190425_LightTools.zip

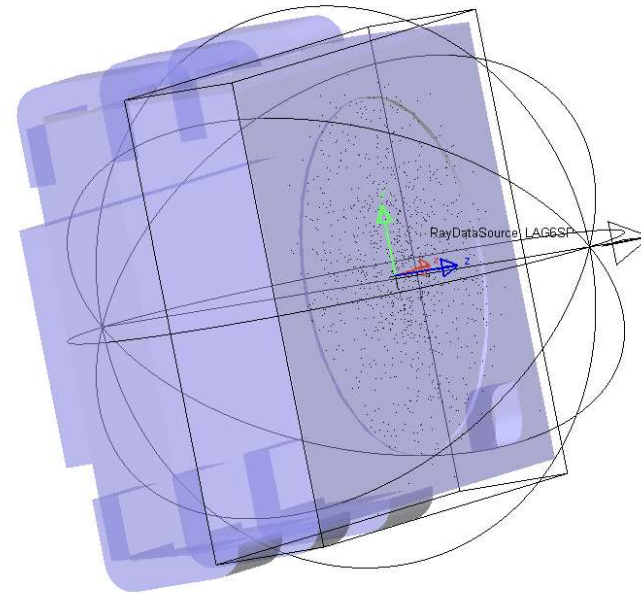
109.3 MB

Ray file workflow



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LA_G6SP_Rayfile.1
LightTools 8.7.0

load ray file

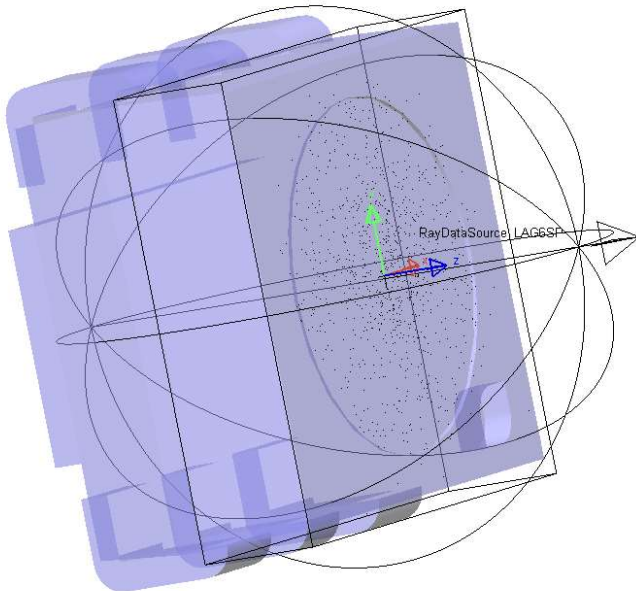


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LA_G6SP_Rayfile.1
LightTools 8.7.0

load CAD model

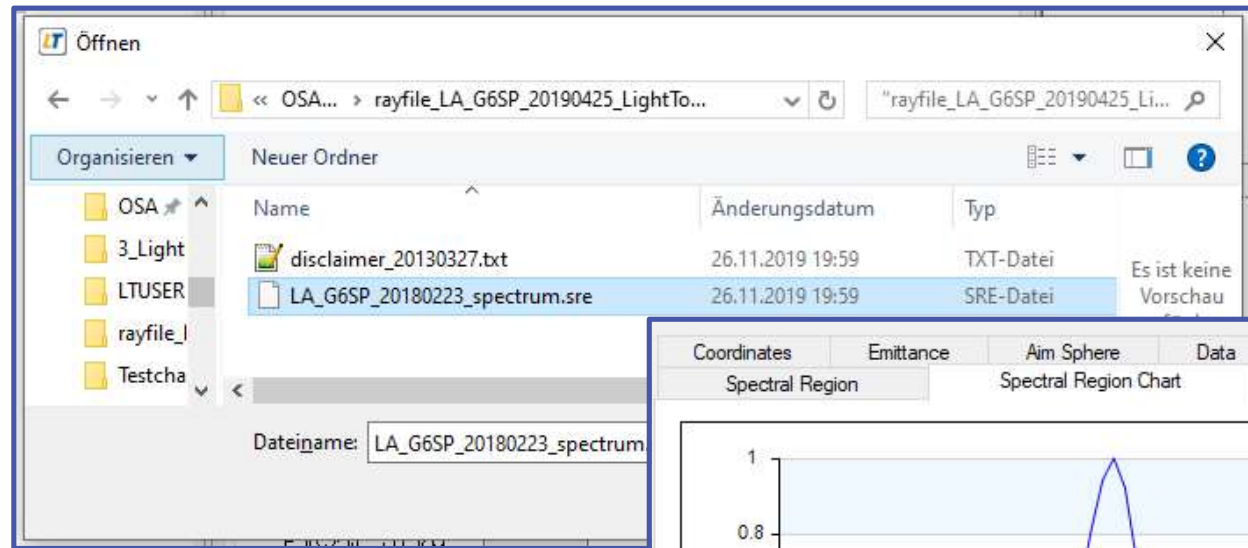
make sure they are properly aligned
group for easy, safe move/rotate

Ray file workflow

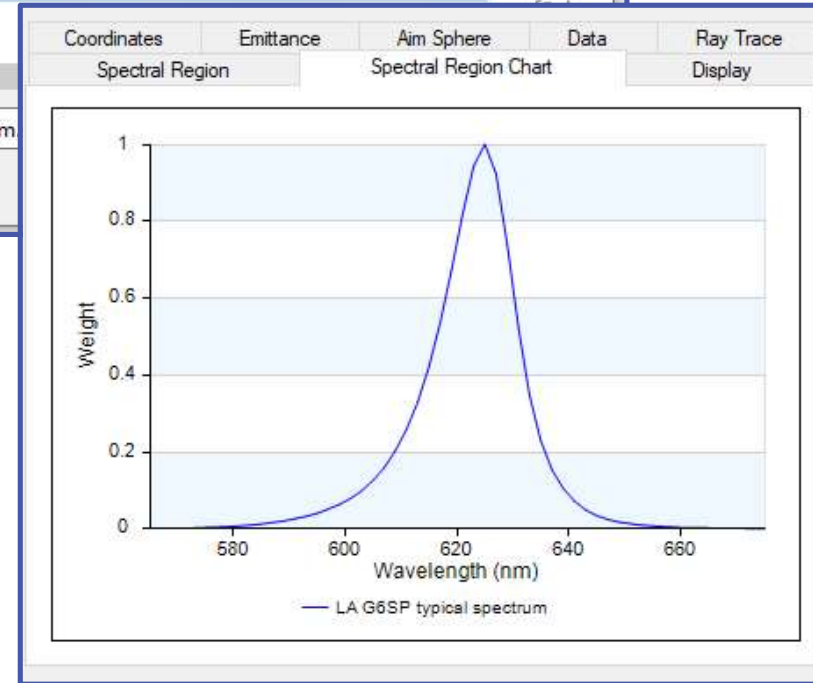


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LA_G6SP_Rayfile
LightTools 8.7.0

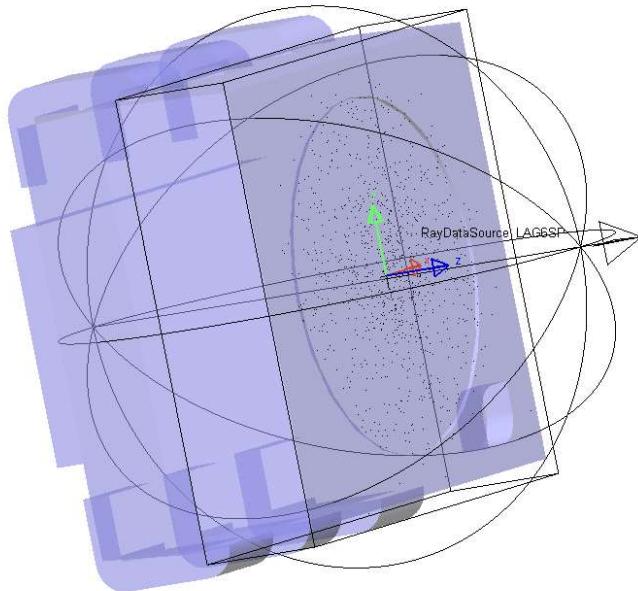
CAD data must be made
non-raytraceable
rays have „seen“ the package
already



assign correct spectrum
from vendor web site
or by „digitizing“ the data sheet



Ray file workflow



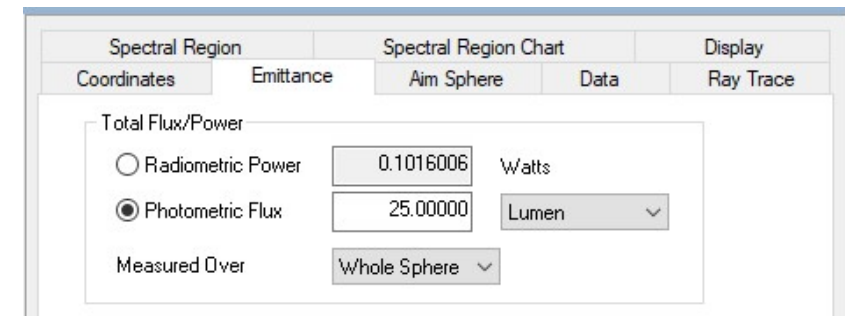
Nov 26, 2019
LA_G6SP_Rayfile.1
LightTools 8.7.0

LA G6SP

Brightness Groups

Group	Luminous Intensity ¹⁾ $I_f = 140 \text{ mA}$ min. I_v	Luminous Intensity. ¹⁾ $I_f = 140 \text{ mA}$ max. I_v	Luminous Flux ²⁾ $I_f = 140 \text{ mA}$ typ. Φ_v
DA	4.5 cd	5.6 cd	15.1 lm
DB	5.6 cd	7.1 cd	19.0 lm
EA	7.1 cd	9.0 cd	24.2 lm
EB	9.0 cd	11.2 cd	30.3 lm
FA	11.2 cd	14.0 cd	37.8 lm






assign correct flux
from data sheet



VALIDATE!

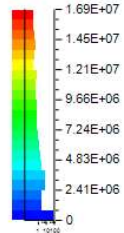
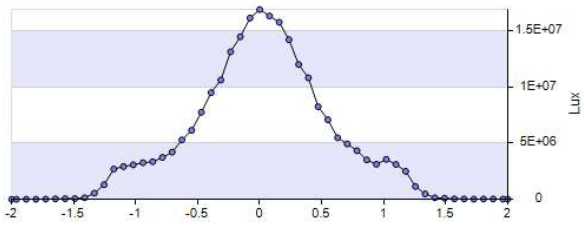
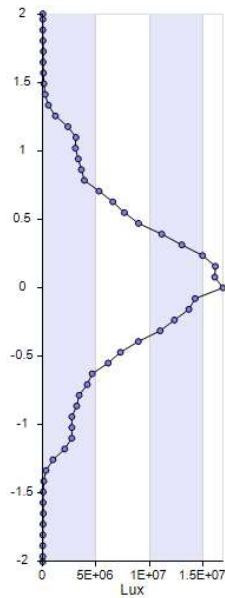
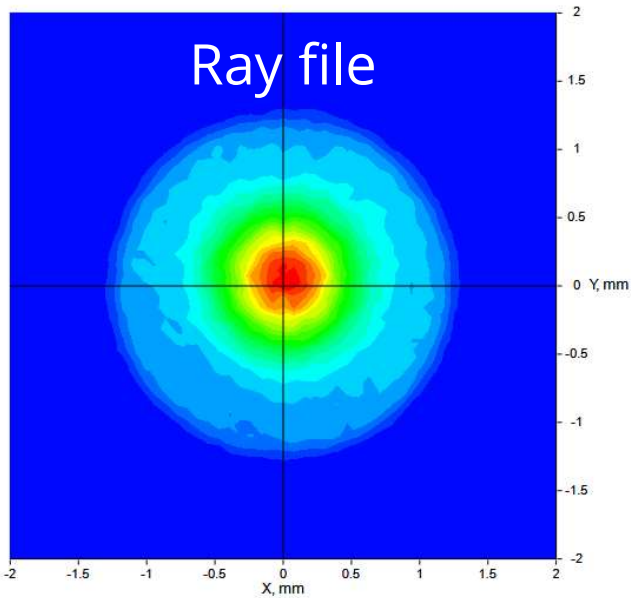
Ray file workflow

- Import ray data
- Import source CAD model, make non raytraceable, ensure proper alignment
- Assign correct spectrum
- Assign correct flux. Highly nontrivial for white or multi LEDs
- Group
- If you are lucky (e.g. OSRAM), you can use predefined library elements

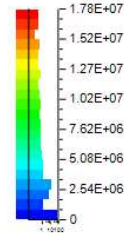
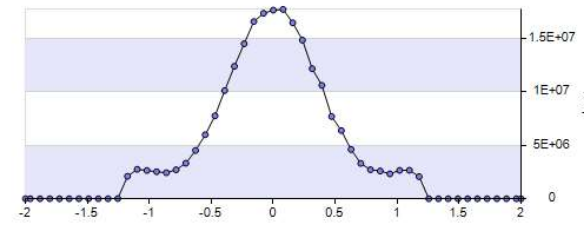
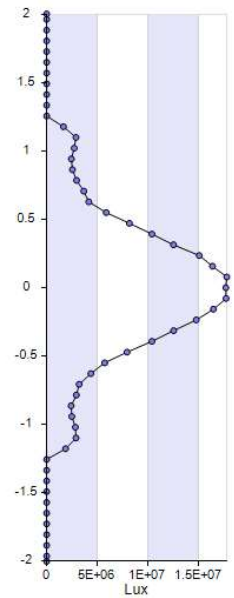
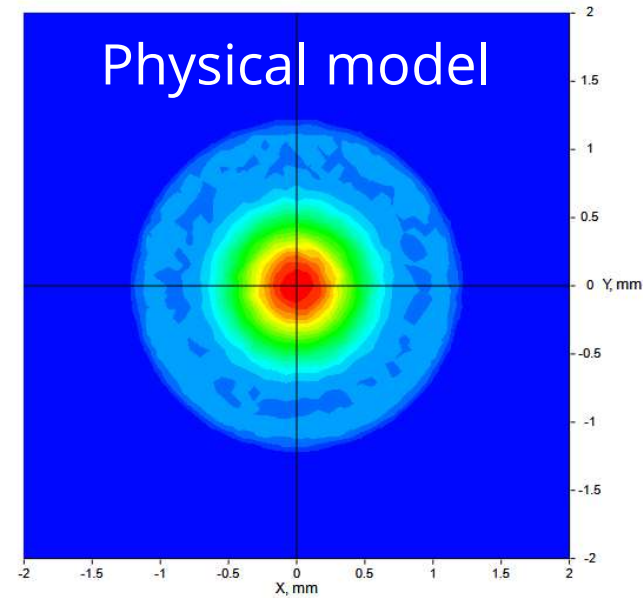
 LA_G6SP_20180223_spectrum.sre	26.11.2019 19:59	SRE-Datei	2 KB
 LA_G6SP_20190425_info.pdf	26.11.2019 19:59	Adobe Acrobat D...	189 KB
 LA_G6SP_20190425_sample_Lighttools.ent	26.11.2019 19:59	ENT-Datei	527 KB
 rayfile_LA_G6SP_5M_20190425_LightTools_7_Binary.RAY	26.11.2019 19:59	RAY-Datei	136,719 KB
 rayfile_LA_G6SP_100k_20190425_LightTools_7_Binary.RAY	26.11.2019 19:59	RAY-Datei	2,735 KB

Validating: luminous exitance

LA_G6SP_Rayfile.2 Receiver_11 Forward Simulation
Illuminance, Lux

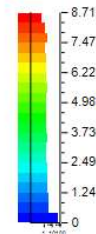
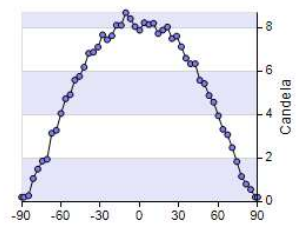
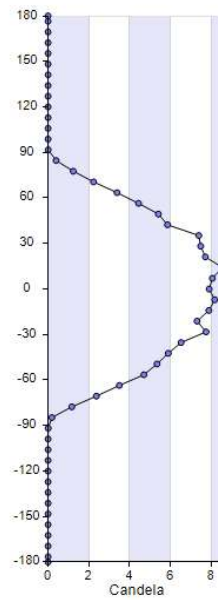
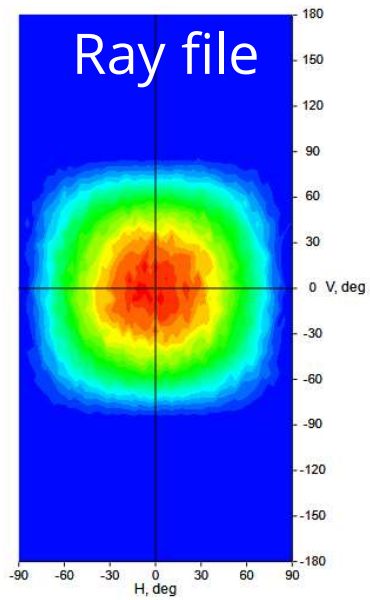


LA_G6SP_Physical.3 Receiver_11 Forward Simulation
Illuminance, Lux

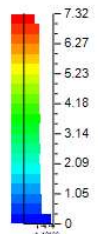
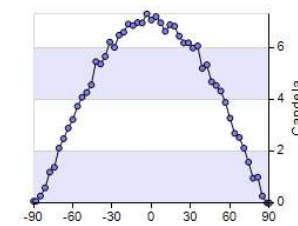
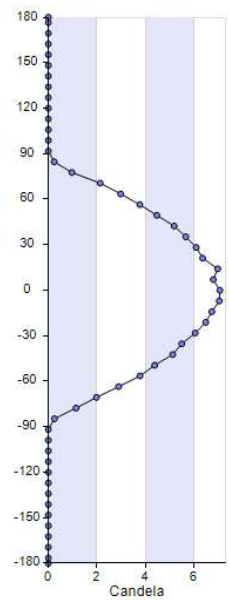
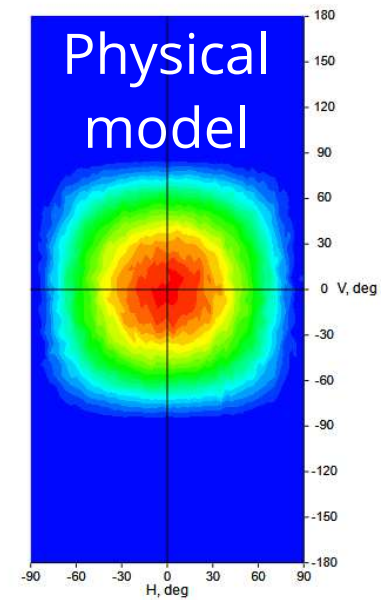


Validating: luminous intensity

LA_G6SP_Rayfile.2 Receiver_11 Forward Simulation
Luminous Intensity, Candela

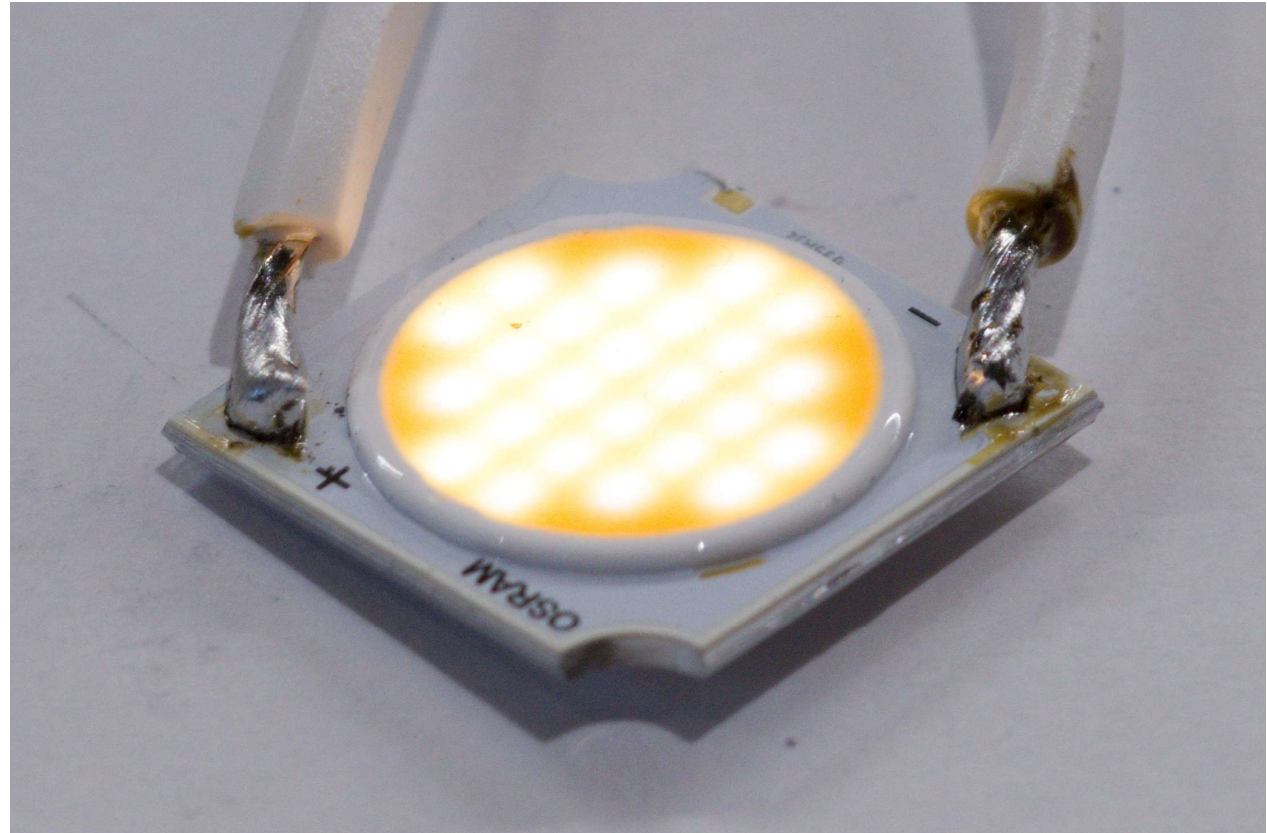


LA_G6SP_Physical.3 Receiver_11 Forward Simulation
Luminous Intensity, Candela



Modeling white LEDs from ray files: Blue + phosphor

- Chips emit blue light
- Blue light:
Partially scattered
Partially converted to yellow
- Spatial blue/yellow separation
 - Depending on LED construction
 - Here: „white pearls in yellow soup“
- Angular blue/yellow separation
 - „Color over angle“
 - If you don't know any better:
Play with $\cos^{0.8}$ for yellow, $\cos^{1.4}$ for blue angular apodization
Details would be a separate talk...



Vendor support

- OSRAM: Separate blue and yellow ray files, separate spectra, complete library elements

Various CAD formats	LCW_CQ7Pcc_160511_geometry.IGS	29.11.2018 09:02	IGS-Datei	238 KB	<div data-bbox="1832 938 2128 1037">Info file with alignment and more</div> <div data-bbox="1832 1085 2128 1189">Complete library element</div>
	LCW_CQ7Pcc_160511_geometry.SLDPRT	29.11.2018 09:02	SLDPRT-Datei	169 KB	
	LCW_CQ7Pcc_160511_geometry.STEP	29.11.2018 09:02	STEP-Datei	113 KB	
Separate blue/yellow spectra for various color bins	LCW_CQDP_6M_blue_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_6M_yellow_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7P_blue_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7P_yellow_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7S_blue_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7S_yellow_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7V_blue_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
	LCW_CQDP_7V_yellow_070411_spectrum.sre	29.11.2018 09:02	SRE-Datei	2 KB	
Blue ray files up to 5 Mio rays	LCW_CR7Pcc_210912_info.pdf	29.11.2018 09:02	Adobe Acrobat D...	434 KB	
	rayfile_LCW_CR7Pcc_blue_5M_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	361,190 KB	
	rayfile_LCW_CR7Pcc_blue_100k_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	7,224 KB	
	rayfile_LCW_CR7Pcc_blue_500k_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	36,120 KB	
Yellow ray files up to 5 Mio rays	rayfile_LCW_CR7Pcc_sample_LIGHTTOOLS.1.ent	29.11.2018 09:02	ENT-Datei	250 KB	
	rayfile_LCW_CR7Pcc_yellow_5M_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	361,211 KB	
	rayfile_LCW_CR7Pcc_yellow_100k_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	7,224 KB	
	rayfile_LCW_CR7Pcc_yellow_500k_210912_LIGHTTOOLS.RAY	29.11.2018 09:02	RAY-Datei	36,121 KB	

Conclusion

- Source modeling in illumination optics: highly nontrivial
- Skillful simplification is key
- Default: Ray files with painstakingly accurate workflow to obtain complete model
- When available, use vendor's predefined library elements / scripts with care
- Use simplified physical models, validated against ray files, for huge ray numbers
- Use even more simplified heuristic models (Lambertian, apodized) for quick work
- Always validate
- In the (distant) future: IES TM25 ray file format is capable of including ALL such features

